

conditional new trial motion by failing to renew or argue it after the district judge granted qualified immunity. The panel opinion adheres to its decision overturning the district judge's belated grant of qualified immunity; at a minimum, we are both doubtful as to the panel majority's reasoning and result on this issue.

Nevertheless, at this time a new trial, in which all issues can be assessed afresh, appears to us the best solution—taking account of the unfortunate uncertainty about just what the jury decided and the other demands on the en banc court. If the district court grants the motion for a new trial and sets aside the jury verdict, appellant will have an adequate opportunity to defend himself on a fresh record and with proper instructions.

TORRUELLA, Circuit Judge, and  
LIPEZ, Circuit Judge, concurring in the denial of rehearing en banc.

The majority and dissenting opinions speak for themselves. Whether or not there should be a new trial on remand, and what issues should or should not be addressed in any new trial, are entirely matters for the district court to decide in the first instance.



**Adam JENNINGS, Plaintiff, Appellant,**

**v.**

**Kenneth JONES, Defendant, Appellee.**

**No. 05–2522.**

United States Court of Appeals,  
First Circuit.

Heard Sept. 11, 2006.

Decided Aug. 17, 2007.

**Background:** Arrestee brought suit against state police officer, seeking dam-

ages, under § 1983, for excessive use of force and, under state law, for battery. After jury found in favor of arrestee, the United States District Court for the District of Rhode Island, Ernest C. Torres, J., 2005 WL 2043945, granted judgment as a matter of law in favor of officer on basis of qualified immunity. Arrestee appealed.

**Holdings:** The Court of Appeals, Lipez, Circuit Judge, held that:

- (1) reasonable jury could have concluded that officer applied excessive force;
- (2) officer was not entitled to qualified immunity; and
- (3) district court failed to rule on officer's motions for new trial and remittitur.

Vacated and remanded.

Lynch, Circuit Judge, filed dissenting opinion.

## 1. Federal Courts ⇌795

When a defense of qualified immunity is pressed after a jury verdict, the evidence must be construed in the light most hospitable to the party that prevailed at trial; in such an analysis, deference should be accorded to the jury's discernible resolution of disputed factual issues. 42 U.S.C.A. § 1983.

## 2. Civil Rights ⇌1429

Whether officer applied excessive force in violation of Fourth Amendment when he increased pressure on suspect's ankle several seconds after suspect stopped resisting arrest and stated that pressure already applied was hurting his previously injured ankle was for jury. U.S.C.A. Const.Amend. 4; 42 U.S.C.A. § 1983.

## 3. Arrest ⇌68(2)

To establish a Fourth Amendment violation based on excessive force, a suspect

must show that law enforcement officer employed force that was unreasonable under the circumstances; whether the force used to effect a particular seizure is reasonable must be judged from the perspective of a reasonable officer on the scene, rather than with the 20/20 vision of hindsight, and there must be careful attention to the facts and circumstances of each particular case, including the severity of the crime at issue, whether the suspect poses an immediate threat to the safety of the officers or others, and whether he is actively resisting arrest or attempting to evade arrest by flight. U.S.C.A. Const. Amend. 4; 42 U.S.C.A. § 1983.

#### 4. Civil Rights ⇐1376(2)

For purposes of qualified immunity analysis, the law is “clearly established” either if courts have previously ruled that materially similar conduct was unconstitutional, or if a general constitutional rule already identified in the decisional law applies with obvious clarity to the specific conduct at issue. 42 U.S.C.A. § 1983.

See publication Words and Phrases for other judicial constructions and definitions.

#### 5. Civil Rights ⇐1376(6)

For purposes of qualified immunity analysis, the law was “clearly established” that it was unconstitutional for police officers to increase their use of physical force after an arrestee who has been resisting arrest stopped resisting for several seconds and warned them that they were hurting previously injured ankle; officer’s conduct was such an obvious violation of the Fourth Amendment’s general prohibition on unreasonable force that a reasonable officer would not have required prior case law on point to be on notice that his conduct was unlawful. U.S.C.A. Const. Amend. 4; 42 U.S.C.A. § 1983.

#### 6. Civil Rights ⇐1376(6)

For purposes of qualified immunity analysis, an objectively reasonable officer, who increased the pressure on suspect’s ankle several seconds after suspect stopped resisting arrest and stated that the pressure already applied was hurting his previously injured ankle, would have believed that his conduct violated a clearly established constitutional right to be free from unreasonable force. U.S.C.A. Const. Amend. 4; 42 U.S.C.A. § 1983.

#### 7. Federal Civil Procedure ⇐2372.1, 2377

After granting defendant’s motion for judgment as a matter of law, district court should have also ruled conditionally on defendant’s alternative motions for new trial and remittitur. Fed.Rules Civ.Proc.Rule 50(c)(1), 28 U.S.C.A.

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Michael Bradley for appellant.

Rebecca Tedford Partington, Deputy Chief, Civil Division, Rhode Island Attorney General’s Office, for appellee.

Before TORRUELLA, LYNCH and LIPEZ, Circuit Judges.

LIPEZ, Circuit Judge.

Appellant Adam Jennings, a member of the Narragansett Indian Tribe, worked at a “smoke shop” operated by the tribe and located on Indian tribal land in Charlestown, Rhode Island. The smoke shop sold an array of cigarettes to members of the tribe and the general public. During a search of the smoke shop by the Rhode Island State Police, Jennings was arrested for disorderly conduct. Jennings initially resisted the arrest, requiring the use of force by state police officials to subdue him. As a result of that confrontation,

appellee Kenneth Jones used an “ankle turn control technique” which broke Jennings’ ankle. Jennings brought suit under 42 U.S.C. § 1983 against Jones and other officers, claiming that they had violated his Fourth Amendment rights by using excessive force to restrain him. Jennings also brought a claim under state law for battery.

Although a jury found in favor of most of the defendants, it ruled for Jennings on his excessive force and battery claims against Jones and awarded compensatory damages of \$301,100. The district court then granted Jones’ post-verdict motion for judgment as a matter of law, ruling for Jones on all three prongs of the qualified immunity inquiry. It first held that there was no constitutional violation because there was no evidence from which a reasonable jury could have concluded that the force used to subdue Jennings was excessive. It then concluded that, even if there had been a constitutional violation, Jones was entitled to qualified immunity because the relevant law was not clearly established and a reasonable officer would not have believed that the force was excessive and thus in violation of the Fourth Amendment. The court also granted judgment as a matter of law for Jones on the battery claim. Along with his motion for judgment as a matter of law, Jones filed motions for a new trial and a remittitur. In contravention of Federal Rule of Civil Procedure 50, the district court did not rule on these motions.

On appeal, Jennings challenges the court’s determinations on his Fourth Amendment claim. After careful review, we conclude that the court erred in granting qualified immunity to Jones. First, viewing the evidence in the light most

favorable to the jury verdict, we conclude that the record establishes that Jones violated Jennings’ constitutional right to be free of excessive force. Second, we find that this right was clearly established at the time of Jennings’ injury. Third, we conclude that a reasonable officer in Jones’ position would have believed that his actions violated Jennings’ constitutional right. Consequently, we vacate the judgment of the district court and order reinstatement of the jury award. However, because the district court failed to rule on Jones’ motions for a new trial and a remittitur in accordance with Federal Rule of Civil Procedure 50, we remand to that court for a ruling on those motions.

## I.

### A. Factual Background

In reviewing a grant of judgment as a matter of law following a jury verdict, “[w]e examine the record as a whole, reading the evidence in the light most favorable to the jury verdict.” *Cruz-Vargas v. R.J. Reynolds Tobacco Co.*, 348 F.3d 271, 275 (1st Cir.2003).

On July 14, 2003, Jennings was at work in a trailer referred to as the “smoke shop” owned and operated by the Narragansett tribe and located on tribal land in Charlestown, Rhode Island. The tribe and the State of Rhode Island were engaged in an ongoing dispute about whether the tribe could sell cigarettes tax-free.<sup>1</sup> Pursuant to this dispute, the Rhode Island State Police had obtained a warrant to seize the cigarettes at the smoke shop, and several plain clothes officers were stationed inside the shop. After uniformed officers arrived in marked cars in the parking lot, the undercover officers inside

1. This court addressed other issues arising out of this dispute in *Narragansett Indian Tribe v. Rhode Island*, 449 F.3d 16 (1st Cir.

2006) (en banc), which provides further background on the events that precipitated the search of the smoke shop.

the shop instructed Jennings to take a seat behind the sales counter. Jennings initially grabbed onto the counter, but then complied and seated himself behind the counter. He also complied when the state police asked him to move to a different seat.

Jennings testified that he was “upset” during these events. He complained loudly that the Rhode Island police had no right to be on his property, and he expressed concern over their treatment of his mother, who was also in the shop. He repeatedly used profanity in his comments.

Eventually, Officer Ken Bell asked Jennings to leave the shop without informing him that he was under arrest for disorderly conduct. A video taken by the state police shows that as Jennings was leaving the shop, an officer issued an order to handcuff him, and Jennings responded, “I’m not getting arrested.” The video also shows that Jennings resisted handcuffing and that several officers subsequently wrestled him to the floor. Jones was one of the officers involved in subduing Jennings. He used an ankle restraint technique called the “ankle turn control technique” to control Jennings’ leg.

During this conflict, the officers repeatedly instructed Jennings to stop resisting and to show them both of his hands because they were concerned that he might have a weapon. Jennings was initially unable to produce his left hand for

handcuffing because it was trapped underneath his body. Officer Hill, one of the officers who was attempting to subdue Jennings, testified that he pulled Jennings’ left arm out from under his body. The video shows that Hill then got up and walked away.

Jennings testified that he had ceased resisting before his arm was pulled out from underneath his body. About sixteen months prior to the smoke shop confrontation, Jennings had broken the ankle that Jones was restraining and had surgery performed on it. The officer’s use of the “ankle turn control technique” caused Jennings considerable pain. Jennings informed Jones that the force Jones was using was hurting his previously injured ankle. Jones then increased the amount of force he was using and broke Jennings’ ankle.

On the video, several seconds elapse from the time that Hill got up and left to the time that Jennings yelled in pain as his ankle was broken.<sup>2</sup> Within seconds after Jennings’ injury, the officers brought Jennings to his feet, already handcuffed, and escorted him outside the smoke shop.

## B. Procedural History

Jennings brought this action against Jones and several other police officers seeking damages under 42 U.S.C. § 1983 for excessive use of force and for battery under state law.<sup>3</sup> The officers moved for

2. There is some uncertainty as to the precise length of time that elapsed between the time that Hill got up and the time that Jennings yelled in pain. In his closing argument to the jury, Jennings’ attorney described the interval as “twelve seconds at least,” while Jennings’ appellate brief describes the interval as eighteen seconds, citing only to the videotape of the incident. Although the videotape was played for the jury several times at trial and the jury also viewed the videotape during its deliberations, no one actually testified to the

length of time that elapsed. Jones’ appellate brief describes the time as “12–15 seconds” without citation. Consequently, we will describe the length of time as “several seconds.” Dictionaries typically define “several” as “being more than two but fewer than many in number or kind.” See *Random House Dictionary of the English Language* 1754 (2d ed.1987). While this definition is necessarily inexact, we can do no better with this record.

3. This case originally was brought by Jennings, his mother Paulla Dove Jennings, and

judgment as a matter of law after the close of Jennings' evidence, raising the qualified immunity defense for the first time.<sup>4</sup> The court denied the motion with respect to Jennings' excessive force claim against Jones,<sup>5</sup> noting:

There was testimony as to Trooper Jones that he continued twisting the ankle of Mr. Jennings even after Mr. Jennings had been subdued and even after Mr. Jennings says that he told him that he'd had a previous injury to the ankle and he was breaking the ankle. So as to Detective Jones, there's enough evidence from which a jury at this point could conclude that the force was excessive.

The court did not explicitly address the issue of qualified immunity. After the close of all the evidence, defendants renewed their motion for judgment as a matter of law, but did not specifically renew their qualified immunity argument. The court again denied the motion with respect to Jennings' excessive force claim against Jones,<sup>6</sup> explaining:

[W]e have very different versions as to what happened. According to Mr. Jennings, Trooper Jones grabbed his ankle, he wasn't kicking, he wasn't doing anything that would warrant it. Trooper Jones grabbed his ankle, twisted his ankle, he told him that he had had previ-

ous surgery on the ankle, and that the ankle, he was in the process of breaking his ankle. And according to Mr. Jennings, Trooper Jones actually increased the pressure on the ankle and broke his ankle. . . . If the jury accepts Mr. Jennings' version, it might very well find that Trooper Jones used excessive force.

Again, the court did not explicitly address the issue of qualified immunity.

The case was submitted to the jury, which awarded Jennings \$301,100 in compensatory damages for his claims against Jones. Following the verdict, Jones moved for judgment as a matter of law pursuant to Federal Rule of Civil Procedure 50(b) on the ground that he was shielded from liability by the doctrine of qualified immunity. Jones also moved for a new trial, or, in the alternative, to amend the judgment by granting a remittitur.

The district court granted Jones' motion for judgment as a matter of law, concluding that it had erred in submitting the case to the jury to determine whether excessive force was used and ruling for Jones on all three prongs of the qualified immunity inquiry. It first held that there was no constitutional violation because there was no evidence from which a reasonable jury could have concluded that the force used to

Keith Huertas, another employee of the smoke shop. The plaintiffs alleged a wide array of claims against various defendants, including excessive force under the Fourth Amendment, violation of equal protection under the Fourteenth Amendment, negligent infliction of emotional distress, intentional infliction of emotional distress, false imprisonment, and assault and battery. Aside from Jennings' excessive force and battery claims against Jones, all the claims were resolved in defendants' favor, either by the court on defendants' motions for judgment as a matter of law or by the jury in its verdict. No other plaintiffs or defendants are involved in this appeal.

4. The government explains that it did not file a pretrial motion raising the qualified immunity issue because Jones and other officers were not named in their individual capacities until shortly before the deadline for filing motions.
5. At this juncture, the court granted judgment as a matter of law on various other claims that have no bearing on this appeal.
6. At this juncture, the court again granted judgment as a matter of law on various other claims that have no bearing on this appeal.

subdue Jennings was excessive. It then concluded that, even if there had been a constitutional violation, Jones was entitled to qualified immunity because the relevant law was not clearly established and a reasonable officer would not have believed that the force was excessive and thus in violation of the Fourth Amendment. In granting judgment as a matter of law, the court also held that the remaining motions for a new trial and for a remittitur had become moot.<sup>7</sup> This appeal ensued.<sup>8</sup>

## II.

[1] The issue before us is whether the district court properly found appellee Jones entitled to qualified immunity from damages. When a defense of qualified immunity is pressed after a jury verdict, we have determined that “the evidence must be construed in the light most hospitable to the party that prevailed at trial.” *Iacobucci v. Boulter*, 193 F.3d 14, 23 (1st Cir.1999); see also *Borges Colón v. Román-Abreu*, 438 F.3d 1, 18 (1st Cir.2006) (citing *Iacobucci*). In such an analysis, “deference should be accorded to the jury’s discernible resolution of disputed factual issues.” *Iacobucci*, 193 F.3d at 23. Thus, where the jury has issued a general verdict, as it did here, we “view[ ] the facts in the light most favorable to the verdict.” *Whitfield v. Meléndez-Rivera*, 431 F.3d at 8. This view of the facts persists throughout the three prongs of the qualified immunity analysis. See *Borges Colón*, 438 F.3d at 19 (rejecting, on the third prong of the qualified immunity analysis, a factual scenario proposed by defendants on the ground that “a jury easily could have found that this was not so”).

7. As we shall explain, this ruling of mootness was incorrect. See *infra* Section IV.

In this case, we must take this approach with respect to a critical factual dispute: whether Jones *increased* the force he applied after Jennings already had ceased resisting for several seconds. Jennings’ claim of excessive force does not rest on the allegation that Jones merely *used* the ankle turn control technique, but rather that Jones *increased* the amount of force he applied after Jennings had stopped resisting and stated that Jones was hurting his previously injured ankle. Indeed, this theme of increased force by Jones without justification was the core of Jennings’ case.

[2] Jennings’ opening statement immediately described this version of events to the jury. His attorney stated: “[O]ther witnesses will say that [Jennings] was warning [Jones] that he was breaking his leg. The evidence will show that . . . the way [Jones] responded to that information was to twist harder, even though there was no reason to be twisting at all.” At trial, three witnesses testified about Jones’ restraint of Jennings. Jennings himself testified: “It was almost, not just incremental . . . I’m telling the guy, look, you’re going to break my ankle and so forth, and he twisted it more.” Similarly, Domingo Monroe, who was seated across the room when the struggle occurred, testified: “Adam Jennings said, you’re hurting my ankle, it was already injured at one point in time . . . and then the officer said, well . . . if you wouldn’t resist, then your ankle . . . wouldn’t be hurting, and then as he said that, he cranked down harder on the ankle.” Finally, Daniel Piccoli testified that he observed the struggle through the open door of the smoke shop:

8. On appeal, Jennings does not contest the district court’s grant of judgment as a matter of law with respect to his state law battery claim.

Q: Mr. Piccoli, could you describe the movements, if any, of the person who was on the floor?

A: There weren't any.

...

Q: Did there come a point in time when you heard the person on the floor say something?

A: Yes.

Q: What did you hear him say?

A: He said something in regard to, "let go, you're going to break my ankle."

Q: And what, if anything, did the officer who was holding onto his ankle do?

A: Just twisted more.

Jennings' attorney emphasized this increased use of force in his closing argument:

Now, Adam Jennings himself has testified that he was on the floor, he was saying to somebody . . . you're breaking my ankle or I just had surgery. And you heard testimony that the immediate response was [ ] a greater application of force than there already had been, you heard that from Dan Piccoli.

Near the end of the closing argument, Jennings' attorney returned to this theme:

[Jones] never increased his force, he said, never decreased it. Now you tell me, if you've got constant force on somebody's ankle and their foot, why at some point does it break? . . . [D]id Trooper Jones who had Adam Jennings totally under control, lose it and just decide that because this guy was still complaining, that he was going to teach him a little bit of a lesson and put a little bit more pressure on.

As highlighted by the arguments of counsel,<sup>9</sup> the consistent testimony from Jennings and two eye-witnesses would allow a reasonable jury to conclude that Jones *increased* the force he used to restrain Jennings after Jennings had already ceased resisting. This version of events correctly construes the facts "in the light most favorable to the verdict." *Whitfield*, 431 F.3d at 8.

The district court failed to view the facts in this light. In its written decision granting judgment as a matter of law to Jones on the basis of qualified immunity, the district court stated that the testimony of the police officers was more credible than the contrary testimony of Jennings, Piccoli and Monroe. Therefore, it did not believe that "Jones continued to twist Jennings' ankle *after* Jennings had stopped resisting and was under control." (Emphasis in original.) However, the district court also correctly noted that it could not grant judgment as a matter of law on that basis. See 9A Charles Alan Wright & Arthur R. Miller, *Federal Practice and Procedure* § 2524 (2d ed.1995)(explaining that, in granting judgment as a matter of law, a court "is not free to weigh the parties' evidence or to pass on the credibility of witnesses or to substitute its judgment of the facts for that of the jury.")(internal footnotes omitted). Instead, the court granted judgment as a matter of law on the basis of qualified immunity, finding that Jennings had not presented evidence from which the jury could conclude that the force used to subdue Jennings was excessive, and that, in any case, Jones was entitled to qualified immunity because the

9. Our conclusion that a reasonable jury could have found that Jones increased the force he used after Jennings had already ceased resisting is based on the principle that we must view the evidence in the light most favorable to the jury verdict. This conclusion does not

depend on the substance of the opening statements and closing arguments. However, the opening statements and closing arguments emphasize that this principle is consistent with the way that the case was argued to the jury.

relevant law was not clearly established and a reasonable officer would not have believed that the force was excessive and thus in violation of the Fourth Amendment.

We reserve discussion of the court's ultimate ground for granting judgment as a matter of law for our qualified immunity analysis in the next section of this opinion. Here, we wish only to emphasize an important inconsistency in the district court's analysis of the evidence. The court acknowledged that it could not supplant the jury's view of the facts with its own. Assessing credibility was the jury's role, and, as the court also acknowledged, the evidence permitted a reasonable finding by the jury that Jones increased the force he used after Jennings had ceased resisting. Yet the district court's qualified immunity analysis incorporated its skepticism about the jury's fact-finding on the critical issue of whether Jones increased his use of force. At one point, the court stated in its decision that "the jury determined that Jones' use of the ankle turn control technique amounted to excessive force." (Emphasis added.) Later, it referred to Jones "maintaining" the ankle hold after Jen-

nings ceased resisting. Given the witness testimony discussed above, the district court's characterization is incomplete. Jennings and his two witnesses testified that Jones *increased* his force after Jennings ceased resisting, and we adopt this view of the evidence in accordance with the principle that we take facts in the light most favorable to the verdict.

The dissent intimates that the jury's fact-finding role may be different in a case involving qualified immunity, noting our prior statement that "the Supreme Court has not clearly indicated whether the judge may act as fact-finder when there is a factual dispute underlying the qualified immunity defense or whether this function must be fulfilled by a jury." *Kelley v. LaForce*, 288 F.3d 1, 7 n. 2 (1st Cir.2002). The dissent also claims that, by taking the facts in the light most favorable to the jury verdict, we engage in "a bit of legal fiction." It argues that we have no way of knowing what facts the jury found, and lists the various factual scenarios that the jury might have found in a lengthy footnote.<sup>10</sup> Finally, it suggests that the jury may have reached a compromise verdict.<sup>11</sup>

10. To buttress this criticism, the dissent relies on an idiosyncratic and distinguishable case. In *Iacurci v. Lummus Co.*, 387 U.S. 86, 86–87, 87 S.Ct. 1423, 18 L.Ed.2d 581 (1967), the jury was instructed that, if it found that a "hoist" was negligently designed, it should indicate which of five specified design aspects was found unsafe by answering "yes" or "no" to five questions on a special interrogatory form. The jury found negligent design, but answered only one question in the affirmative, leaving the other four blank. *Id.* at 87, 87 S.Ct. 1423. From this lack of response, the appellate court concluded that the jury would have answered the other four questions in the negative. *Id.* The Supreme Court stated that it did not "share the Court of Appeals' confidence as to the meaning" of the jury verdict, explaining that "[p]erhaps the jury intended to resolve these questions in respondent's favor; but the jury might have been unable to

agree on these issues, or it simply might not have passed upon them because it concluded that respondent had negligently designed the hoist in another respect." *Id.* at 87–88, 87 S.Ct. 1423. In *Iacurci*, the jury's lack of response to a set of specific instructions made it impossible either to "take the facts in the light most favorable to the verdict," *Whitfield*, 431 F.3d at 8, or to extract the jury's "discernible resolution of disputed factual issues," *Iacobucci*, 193 F.3d at 23. Such circumstances are not present here, where the jury issued a general verdict in favor of Jennings and we are bound, by numerous precedents, to take the facts in the light most favorable to that verdict.

11. The jury found for defendants on five other claims, indicating that it carried out its responsibilities carefully. Any judgment beyond that is pure speculation.



The dissent's speculations ignore the fundamental principle that, in civil actions, our federal judicial system "distributes trial functions between judge and jury and, under the influence—if not the command—of the Seventh Amendment, assigns the decisions of disputed questions of fact to the jury." *Gasperini v. Ctr. for Humanities, Inc.*, 518 U.S. 415, 432, 116 S.Ct. 2211, 135 L.Ed.2d 659 (1996) (citation omitted). Indeed, we acknowledged this principle in *Kelley* by stating that "when facts are in dispute, 'we doubt the Supreme Court intended this dispute to be resolved from the bench by fiat.'" *Kelley*, 288 F.3d at 7 n. 2 (quoting *Prokey v. Watkins*, 942 F.2d 67, 72 (1st Cir.1991)). Consistent with this principle, other courts have taken the facts in the light most favorable to the jury verdict in reviewing a district court's grant of judgment as a matter of law in cases involving qualified immunity. See, e.g., *Settlegoode v. Portland Pub. Schs.*, 371 F.3d 503, 503 (9th Cir.2004) ("Many facts were hotly disputed at trial. We state them here consistent with the verdict."); *Tamez v. City of San Marcos*, 118 F.3d 1085, 1091 (5th Cir.1997) ("[W]e consider all of the evidence in the light most favorable to the nonmoving party."); *Henderson v. DeRobertis*, 940 F.2d 1055, 1057 (7th Cir.1991) ("We must view all the evidence and inferences in the light most favorable to [plaintiffs], who prevailed with the jury; any conflicts in the evidence must be resolved in favor of those [plaintiffs] and every permissible inference must be drawn in their favor.").

In this case, the only view of the evidence consistent with the principle that we take the facts in the light most favorable to the jury verdict is that Jones increased the force he used to restrain Jennings after Jennings had ceased to resist and after Jennings had announced his prior ankle injury. That increased use of force broke Jennings' ankle. Our acceptance of

these facts is no legal fiction. It is an acknowledgment of the deference that we must give to juries in the performance of their fact-finding role.

With this controlling legal principle in mind, and the view of the evidence required by that principle, we turn to the legal question of Jones' entitlement to qualified immunity. Our review is de novo. *Whitfield*, 431 F.3d at 6.

### III.

The Supreme Court explained the process for determining qualified immunity in *Saucier v. Katz*, 533 U.S. 194, 121 S.Ct. 2151, 150 L.Ed.2d 272 (2001). *Saucier* held that a court first must determine whether "the facts alleged show the officer's conduct violated a constitutional right." *Id.* at 201, 121 S.Ct. 2151. Second, the court must determine whether the right was "clearly established" so that "it would be clear to a reasonable officer that his conduct was unlawful in the situation he confronted." *Id.* at 201–02, 121 S.Ct. 2151. The Supreme Court emphasized that the constitutional question must be decided before determining whether the right was clearly established to facilitate the elaboration of the law. See *id.* at 201, 121 S.Ct. 2151.

We have typically applied *Saucier* using a three-part test in which we inquire:

- (1) whether the claimant has alleged the deprivation of an actual constitutional right;
- (2) whether the right was clearly established at the time of the alleged action or inaction; and
- (3) if both of these questions are answered in the affirmative, whether an objectively reasonable official would have believed that the action taken violated that clearly established constitutional right.

*Starlight Sugar, Inc. v. Soto*, 253 F.3d 137, 141 (1st Cir.2001); see also *Wilson v. City*

of *Boston*, 421 F.3d 45, 52 (1st Cir.2005). Although this inquiry subdivides the second prong of the *Saucier* analysis into two separate questions, it is functionally identical to that analysis. Thus, we turn to this three-pronged inquiry, mindful of our obligation to evaluate any disputed evidence in the light most favorable to the jury verdict. Specifically, as we have already explained, we must take the view that Jones increased the pressure on Jennings' ankle after Jennings stopped resisting the officers and stated that the force used was hurting his previously injured ankle.

#### A. Prong One: The Constitutional Violation

In granting Jones' motion for judgment as a matter of law, the district court indicated that Jennings had not presented sufficient evidence for a reasonable jury to find that Jones had used excessive force in violation of the Constitution.<sup>12</sup> To explore this question, we must first examine what constitutes excessive force under the Fourth Amendment, and then determine whether the evidence presented here was sufficient to support the jury verdict.

[3] To establish a Fourth Amendment violation based on excessive force, a plaintiff must show that the defendant officer employed force that was unreasonable under the circumstances. See *Graham v. Connor*, 490 U.S. 386, 397, 109 S.Ct. 1865, 104 L.Ed.2d 443 (1989). Whether the force used to effect a particular seizure is reasonable "must be judged from the perspective of a reasonable officer on the scene, rather than with the 20/20 vision of

hindsight." *Id.* at 396, 109 S.Ct. 1865. The reasonableness inquiry is objective, to be determined "in light of the facts and circumstances confronting [the officers], without regard to their underlying intent or motivation." *Id.* at 397, 109 S.Ct. 1865. There must be "careful attention to the facts and circumstances of each particular case, including the severity of the crime at issue, whether the suspect poses an immediate threat to the safety of the officers or others, and whether he is actively resisting arrest or attempting to evade arrest by flight." *Id.* at 396, 109 S.Ct. 1865.

We recognize the difficult situation confronting the police. It is undisputed that Jennings was challenging authority and resisting arrest. For much of the struggle, the police could not see Jennings' hands, and they reasonably could have believed that he might have a weapon. In making an arrest, a police officer has "the right to use some degree of physical coercion or threat thereof to effect it." *Id.* The fact that Jennings' ankle was broken does not, in itself, prove a constitutional violation: "[T]he use of force is an expected, necessary part of a law enforcement officer's task of subduing and securing individuals suspected of committing crimes." *Lee v. Ferraro*, 284 F.3d 1188, 1200 (11th Cir.2002).

However, the focus of Jennings' excessive force claim was not merely Jones' use of force, but rather Jones' *increased* use of physical force after Jennings had ceased resisting for several seconds and stated that the force Jones was using was hurting his previously injured ankle. Jennings

<sup>12</sup> Appropriately, the jury was not asked to address the qualified immunity issue. Nevertheless, in delivering the general verdict on Jennings' claim of excessive force under the Fourth Amendment, the jury essentially was addressing the first prong of the qualified immunity inquiry. Because we now address the question of qualified immunity after this

jury verdict for the plaintiff, our task on the first prong of the qualified immunity analysis is to "inquire whether the evidence at trial, viewed in the light most favorable to the verdict, is legally sufficient to support the jury's verdict that the plaintiff was deprived of a constitutional right." *Wilson*, 421 F.3d at 54.

used one of Jones' own witnesses to help establish that such force was unreasonable. Defendants initially called Officer Delaney, an instructor at the Rhode Island State Police Training Academy, to provide testimony about the training of officers and the use of various restraint techniques. During Jennings' cross-examination the parties agreed to treat Delaney as an expert witness.<sup>13</sup> Delaney testified that the ankle turn control technique is taught to police officers as "a compliance technique and a restraint technique devised to control somebody from kicking." These techniques are taught in conjunction with the "Use of Force Continuum," a chart explaining that the degree of force that an officer uses should correlate with the degree of resistance offered by the arrestee. On cross-examination, Delaney testified that it was appropriate for an officer to continue to apply the ankle turn control technique after a suspect stops kicking:

Q: [If] Adam Jennings is not kicking and his hands have been put behind his back and officers are attempting to put the flex cuffs on him . . . would it be appropriate for an officer in the position of Trooper Jones to still be twisting his ankle?

A: It would be appropriate for him to *maintain* that control over the leg.

(Emphasis added.)

However, Delaney's testimony about the continuum of force also supports the view that it would be unreasonable for an offi-

cer to *increase* his use of force when an arrestee has ceased to resist. Delaney testified during cross-examination that the continuum of force was a "two way street," meaning that, if the level of resistance changes, the level of force should be adjusted upward or downward correspondingly:

Q: [E]ven if an officer feels at one point in time that one level of force is appropriate, he is supposed to adjust the amount of force he uses in response to a lessening of the arrestee; isn't that true?

A: Yes. That would be the Trooper's own assessment of where that lies, yes, sir.

Still on cross-examination, Delaney testified further:

Q: You don't get stuck at any level, an officer has to be cognizant of what's going on during the arrest and adjust his use of force accordingly, right?

A: Correct.

The district court's jury instructions noted that a factor in determining excessive force is whether "the degree of force used and also whether the degree of force was proportional to what was appropriate under the circumstances." Moreover, Jennings' closing argument specifically connected the content of Delaney's testimony to Jones' increased use of force:

Now, the Judge is going to instruct you that, as does the Use of Force Continuum . . . what goes up can come down

13. During the government's direct examination of Delaney, Jennings' attorney objected to certain questions because Delaney had not been qualified as an expert. The record does not disclose why the government had not qualified Delaney as an expert, but the court sustained Jennings' objections on these grounds. However, on cross-examination Jennings' attorney also attempted to ask Delaney questions that would ordinarily require expert qualifications. When the government

objected, Jennings' attorney indicated that he now wanted Delaney to be qualified as an expert. The court stated that if Jennings wanted to use Delaney as an expert, the government would also have the opportunity, on redirect, to ask the questions requiring expert qualifications to which Jennings had originally objected. The parties agreed to this arrangement, and the court subsequently allowed both parties to use Delaney as an expert.

and should come down if there's no need any longer to be applying that kind of force. Now, Adam Jennings himself has testified that he was on the floor, he was saying to somebody . . . you're breaking my ankle or I just had surgery. And you heard testimony that the immediate response was [ ] a greater application of force than there already had been . . .

Thus, guided by the court's instructions on proportional force, the jury could conclude from Delaney's testimony that it would have been unreasonable for an officer to *increase* the pressure on Jennings' ankle several seconds after Jennings stopped resisting arrest and, moreover, stated that the pressure already applied was hurting his previously injured ankle.

The district court considered Delaney's testimony. It noted that "Delaney did acknowledge that the continuum of force was a 'two-way street,' meaning that, if the level of resistance changes, the level of force used should be adjusted upward or downward to correspond to what is appropriate at the level of resistance." Critically, though, the court failed to relate Delaney's testimony to the view of the evidence that we must take in light of the jury verdict. It explained that "Delaney testified that it would have been appropriate for Jones to *maintain* the ankle turn control technique even if Jennings was not kicking and the officers were 'just trying to get the flex cuffs on him.'" (Emphasis added.) However, Jones did not simply *maintain* the ankle turn control technique after Jennings gave up resistance; rather, he increased pressure to the point that he broke Jennings' ankle.

In finding that a reasonable jury could not have concluded that Jones used excessive force, the district court relied on our decision in *Isom v. Town of Warren*, 360 F.3d 7 (1st Cir.2004). In that case, the police used pepper spray on Robert Isom,

a "distraught, seemingly suicidal man, who had briefly held two hostages and was refusing to comply with continuous officer requests that he put down an axe." *Id.* at 11. After the spray stopped, Isom "responded not by dropping to the ground, as the officer had hoped, but by raising the axe and running toward two officers." *Id.* at 8. The officers then shot and killed Isom. *Id.*

At trial, the representative of Isom's estate argued that the use of pepper spray in that situation was "a colossal misjudgment, resulting in a needless and wrongful death," and that no reasonable officer would have used pepper spray under such circumstances. *Id.* However, we found this argument inadequately supported because "[i]n the presence of such danger, the plaintiffs could not prevail at trial without providing evidence that would bring into question the officers' judgment call to use pepper spray." *Id.* at 11. Because the plaintiff did not present any such evidence, we found that "[t]here was no evidence from which the jury could rationally draw the conclusion that the officers' actions were objectively unreasonable." *Id.* at 12.

The district court held that this case is "markedly similar to *Isom*," quoting *Isom*'s explanation for finding that the plaintiff had not presented sufficient evidence:

No expert testified that, under the circumstances faced by Detective Clancy, no reasonable officer would have used pepper spray; in fact, the plaintiffs did not produce any expert testimony at all. Nor did the plaintiff produce any written policy or text stating that the use of pepper spray in circumstances such as those faced by Clancy was not reasonable.

*Id.* The district court also emphasized *Isom*'s holding that, "[f]or the jurors to

have been given an opportunity to exercise their common sense on the ultimate question of whether no objectively reasonable officer would have used pepper spray, there must have been some basis in the evidence on which to ground that determination.” *Id.* Relying on these propositions from *Isom*, the district court found that there was an absence of any evidence that ‘no objectively reasonable officer’ would have used the level of force used by Jones and, therefore, the jury unfairly was put in the untenable position of trying to decide that question without sufficient evidence of the applicable standard for measuring the lawfulness of Jones’ conduct.

As a result, the district court concluded that in this case, as in *Isom*, there was no basis in the evidence to support a jury finding of excessive force.<sup>14</sup>

Contrary to the district court’s assessment, this case differs from *Isom* in two important respects. First, in contrast to the plaintiff in *Isom*, Jennings did provide expert testimony about the use of force. He directed the jury to the testimony of Officer Delaney on the Use of Force Continuum, a concept relevant to the court’s own instructions about the proportionality of force under the circumstances. As described above, Jennings’ closing argument emphasized that “the Judge is going to instruct you that, as does the Use of Force Continuum, like I said, what goes up can come down and should come down if there’s no need any longer to be applying that kind of force.” Thus, unlike the plaintiff in *Isom*, Jennings explicitly directed the jury to expert testimony in the record

that could assist the jury in determining that no reasonable officer under the circumstances Jones confronted would have applied more pressure to Jennings’ ankle.

We acknowledge that the expert testimony in this case was not precisely the sort described in *Isom*. As the district court noted, “[n]o expert testified that, under the circumstances faced by [Jones], no reasonable officer would have” acted as Jones did. *Isom*, 360 F.3d at 12. In fact, Delaney testified to the contrary on redirect examination by the government:

Q. Did Officer Ken Jones use force that you would consider reasonable under the circumstances?

A. Yes.

However, Officer Delaney’s testimony in response to the next few questions makes clear that he did not make his assessment with the relevant factual circumstances in mind. When asked what factors informed his finding of reasonableness, Delaney explained:

The fact that, you know, the suspect did not comply with the order of arrest, that he was assaultive, he was trying to kick the Trooper and he was offering enhanced defiance by bringing his arms in, *at that point* the appropriate application of force, which was the technique employed by Trooper Jones.

(Emphasis added.) The factors Delaney lists all occurred prior to the time that Jennings ceased to resist, and Delaney’s testimony thus indicates only that the use of force was reasonable “at that point” in time. Given that, viewing the evidence in

14. The dissent claims that we do a “disservice” to the district court by stating that the district court would have reached a different conclusion from the jury on the excessive force issue. However, the district court explicitly included in its qualified immunity analysis the conclusion that “Jennings failed

to present any evidence that Jones’ actions deviated from the standard of conduct that should have been expected from an objectively reasonable police officer under the circumstances.” This statement is equivalent to a holding that there was no excessive force.

the light most favorable to the verdict, Jones increased the force he used after Jennings ceased resisting, Delaney's expert testimony about the Use of Force Continuum actually supports a finding that the force Jones used was excessive.

Second, although this case happened to include expert testimony by Officer Delaney, we do not read *Isom* to require such testimony to support a finding that an officer's use of force was unreasonable.<sup>15</sup> *Isom* requires only that "there must have been some basis in the evidence on which to ground" a finding of excessive force, leaving open the possibility that some cases may be susceptible to a common sense determination by the jury. *Isom* itself involved pepper spray, a substance whose use may be unfamiliar to many jurors, and consequently the question of whether it is reasonable to use pepper spray in an attempt to subdue a distraught but threatening suspect may have been best addressed through expert testimony. By contrast, this case involves the common sense proposition that it is not reasonable for police officers to increase their use of physical force after an arrestee who has been resisting arrest stops resisting for several seconds and warns the officers that they are hurting his previously injured ankle. Although Officer Delaney did not offer expert testimony that no reasonable officer would have acted as Jones did under the circumstances, he did offer expert testimony that gave the jury a useful framework for thinking about the excessive force issue. Thus informed, the ju-

rors were in a better position to apply their common sense to the facts of this case.<sup>16</sup>

Other courts have recognized that some factual scenarios permit common sense determinations by the jury as to whether the police used excessive force. In *Kopf v. Skyrn*, 993 F.2d 374, 379 (4th Cir.1993), the Fourth Circuit noted:

[A] blanket rule that expert testimony is generally admissible in excessive force cases would be just as wrong as a blanket rule that it is not.

The facts of every case will determine whether expert testimony would assist the jury. Where force is reduced to its most primitive form—the bare hands—expert testimony might not be helpful.

See also *Adevale v. Whalen*, 21 F.Supp.2d 1006, 1014 (D.Minn.1998) ("If plaintiff's version of the facts is believed, the jury could conclude without expert testimony that [defendant] used excessive force, and that his actions caused plaintiff's broken arm."). This case, involving force applied with bare hands, did not require expert testimony to establish whether the force used was reasonable.

In keeping with our decision in *Isom*, a reasonable jury could have exercised its common sense, informed by Officer Delaney's expert testimony, to find that Jones used excessive force by increasing pressure on Jennings' ankle after Jennings stopped resisting for several seconds and stated that Jones was using force that hurt

15. Jennings argues that the district court erred in requiring expert testimony to prove excessive force. However, the district court did not actually impose this requirement. Instead, it noted that the jury had to have "sufficient evidence of the applicable standard for measuring the lawfulness of Jones' conduct." We read this as a requirement that Jennings produce *some* evidence, whether in the form of expert testimony, lay testimony, or other

evidence, from which the jury could evaluate the reasonableness of Jones' conduct.

16. We are not suggesting that Officer Delaney's expert testimony was or was not essential to the submission of the excessive force issue to the jury. We are simply explaining how that testimony might have been useful to the jury in this case.

his previously injured ankle. Consequently, we conclude that Jones violated Jennings' Fourth Amendment right to be free from an unreasonable seizure.

#### **B. Prong Two: Whether the Law Was Clearly Established**

[4, 5] The second prong of the qualified immunity analysis asks “whether the constitutional right . . . was ‘clearly established’ at the time of the incident such that it would ‘be clear to a reasonable officer that his conduct was unlawful in the situation he confronted.’” *Riverdale Mills Corp. v. Pimpare*, 392 F.3d 55, 65 (1st Cir.2004) (quoting *Saucier*, 533 U.S. at 202, 121 S.Ct. 2151). We consider whether existing case law gave the defendants “fair warning that their conduct violated the plaintiff’s constitutional rights.” *Suboh v. Dist. Attorney’s Office of Suffolk*, 298 F.3d 81, 93 (1st Cir.2002). In other words, the law is clearly established either if courts have previously ruled that materially similar conduct was unconstitutional, or if “a general constitutional rule already identified in the decisional law [applies] with obvious clarity to the specific conduct” at issue. *United States v. Lanier*, 520 U.S. 259, 271, 117 S.Ct. 1219, 137 L.Ed.2d 432 (1997). We therefore consider whether materially similar cases or general Fourth Amendment principles gave Jones fair warning that it was unconstitutional for police officers to increase their use of physical force after an arrestee who has been resisting arrest stops resisting for several seconds and warns them that they are hurting his previously injured ankle.

We conclude that Jones had such notice. In *Smith v. Mattox*, 127 F.3d 1416 (11th Cir.1997), the Eleventh Circuit denied

qualified immunity to a police officer accused of breaking the plaintiff’s arm while putting on handcuffs.<sup>17</sup> According to the plaintiff, he was at his mother’s house when a uniformed police officer, acting on a tip from an informant, entered the yard. The plaintiff then “raised [a] baseball bat in a threatening posture” and ignored the officer’s order to drop it. *Id.* at 1418. When the officer threatened to shoot, the plaintiff fled. He soon encountered the police officer again, and then plaintiff “docilely submitted to arrest upon [the officer’s] request for him to ‘get down.’” *Id.* In the process of putting on handcuffs, the officer bent the plaintiff’s arm in a way that caused discomfort. *Id.* When the plaintiff complained, the police officer, “with a grunt and a blow—but no sign of anger,” broke his arm so severely that it required surgery for multiple fractures. *Id.* The court concluded that such use of force would be excessive and that the officer was not entitled to qualified immunity. *Id.*

Although *Smith* helps to demonstrate that the law protecting Jennings from Jones’ increased use of force was clearly established, our conclusion does not depend on this strikingly similar case. Instead, *Smith* emphasizes the obvious unconstitutionality of increasing the force used on an arrestee to such a degree that a broken ankle results, after the arrestee has ceased resisting for several seconds and stated that the force already used is hurting his previously injured ankle. The Supreme Court has explained that

general statements of the law are not inherently incapable of giving fair and clear warning, and in other instances a general constitutional rule already iden-

17. Since the court’s ruling was made in the context of summary judgment, it took the facts in the light most favorable to the plaintiff. 127 F.3d at 1417. The point seemingly

made by the dissent about this case (that a jury might not ultimately find those facts) does not undermine the value of the case as indicative of clearly established law.

tified in the decisional law may apply with obvious clarity to the specific conduct in question, even though ‘the very action in question has [not] previously been held unlawful.’

*Lanier*, 520 U.S. at 271, 117 S.Ct. 1219 (1997) (citation omitted). Accordingly, we conclude that Jones’ conduct was such an obvious violation of the Fourth Amendment’s general prohibition on unreasonable force that a reasonable officer would not have required prior case law on point to be on notice that his conduct was unlawful. Indeed, even in *Smith*, which was decided six years before the incident at issue here, the court concluded that the law was clearly established against the use of increased force on a suspect no longer offering resistance because “the unlawfulness of the conduct is readily apparent even without clarifying caselaw.” 127 F.3d at 1420.<sup>18</sup>

Other circuits have rejected qualified immunity without a prior case exactly on point. In *Rice v. Burks*, 999 F.2d 1172 (7th Cir.1993), the Seventh Circuit noted that a plaintiff can defeat a qualified immunity defense

without identifying a closely analogous case if he show[s] that the force used was so plainly excessive that the police officers should have been on notice that they were violating the Fourth Amendment. Indeed, police officers should not be shielded from liability just because their excessive use of force happens to be original.

18. The dissent misconstrues the Supreme Court’s precedents relating to qualified immunity when it states that “[t]he very fact-intensive nature of the test for excessive force itself requires particularized prior case law.” It is true that the “right allegedly violated must be defined at the appropriate level of specificity before a court can determine if it is clearly established,” *Wilson v. Layne*, 526 U.S. 603, 615, 119 S.Ct. 1692, 143 L.Ed.2d 818 (1999) (emphasis added), but this requirement does

*Id.* at 1174 (internal citations omitted). Similarly, other courts have found that case law is not required where the constitutional violation is obvious. *See, e.g., Gray ex rel. Alexander v. Bostic*, 458 F.3d 1295, 1306 (11th Cir.2006) (rejecting qualified immunity for handcuffing compliant nine-year-old girl because “[e]ven in the absence of factually similar case law, an official can have fair warning that his conduct is unconstitutional when the constitutional violation is obvious”); *Smith*, 127 F.3d at 1419 (stating that law is clearly established when “the official’s conduct lies so obviously at the very core of what the Fourth Amendment prohibits that the unlawfulness of the conduct was readily apparent to the official, notwithstanding the lack of case law”); *Casteel v. Pieschek*, 3 F.3d 1050, 1053 (7th Cir.1993) (stating that plaintiffs may show that the violation was clearly established using “either a closely analogous case or evidence that the defendants’ conduct is so patently violative of the constitutional right that reasonable officials would know without guidance from the courts”).

Although the dissent professes to accept, *arguendo*, that Jones increased the force he used to restrain Jennings after Jennings had ceased resisting for several seconds, it continues to describe a different version of events with the cases it cites to show that the law was not clearly established. Some of these cases involve the use, rather than the increase, of force.<sup>19</sup>

not imply that the relevant *case law* must be particularized to address the alleged violation. Rather, once the right allegedly violated has been defined, the court must examine whether “the unlawfulness of particular conduct will be apparent *ex ante* to reasonable public officials.” *See Brady v. Dill*, 187 F.3d 104 (1st Cir.1999) (citing *Wilson*, 526 U.S. at 613, 119 S.Ct. 1692).

19. *Rodriguez v. Farrell*, 294 F.3d 1276, 1278–79 (11th Cir.2002); *Jackson v. City of Bremer-*



Others are inapplicable because the arrestee was still resistant.<sup>20</sup> Critically, these cases do not address the key conduct at issue here: the increased use of force on a previously resisting but now non-resisting arrestee.<sup>21</sup> The dissent's reliance on such cases demonstrates its refusal to acknowledge that Jones' increased use of force was integral to Jennings' excessive force claim and that, consistent with our obligation to take the facts in the light most favorable to the jury verdict, we must accept this version of the facts in evaluating qualified immunity.

When an individual has been forcibly restrained by several officers, has ceased resisting arrest for several seconds, and has advised the officers that the force they are already using is hurting a previously injured ankle, we cannot think of any basis for increasing the force used to such a degree that a broken ankle results. At the time of Jones' action, both existing caselaw and general Fourth Amendment principles had clearly established that this use of force was excessive in violation of the Constitution.

**D. Prong Three: Whether a Reasonable Officer Would Have Believed a Violation Occurred**

[6] The final prong of the qualified immunity analysis is "whether an objectively reasonable official would have believed that the action taken violated that clearly established constitutional right." *Starlight*, 253 F.3d at 141. As we have previously explained, "[i]t is not always evident at the time an official takes an action that

a clearly established right is involved. For example, the factual situation might be ambiguous or the application of the legal standard to the precise facts at issue might be difficult." *Riverdale Mills*, 392 F.3d at 61. Thus, even if an officer's conduct violated clearly established Fourth Amendment law, he may still be eligible for qualified immunity if he was reasonably mistaken as to the degree of force he should have used.

At first glance, this inquiry appears indistinguishable from that in the first prong. Both involve the reasonableness of the officer's conduct. However, the key distinction is that prong one deals with whether the officer's conduct *was* objectively unreasonable, whereas prong three deals with whether an objectively reasonable officer would have *believed* the conduct was unreasonable. See *Saucier*, 533 U.S. at 204–05, 121 S.Ct. 2151 (explaining that "claims of excessive force in the context of arrests . . . should be analyzed under the Fourth Amendment's 'objective reasonableness standard'" but that "[i]f the officer's mistake as to what the law requires is reasonable . . . the officer is entitled to the immunity defense" (internal citation omitted)).

The third prong analysis seems nonsensical at first blush because, in effect, officers receive protection if they acted reasonably in exercising unreasonable force. In *Anderson v. Creighton*, 483 U.S. 635, 643, 107 S.Ct. 3034, 97 L.Ed.2d 523 (1987), the Supreme Court acknowledged the argument made by the appellant in that case that "[i]t is not possible . . . to say that one

*ton*, 268 F.3d 646, 650–53 (9th Cir.2001); *Eberle v. City of Anaheim*, 901 F.2d 814, 820 (9th Cir.1990).

20. *Huang v. Harris County*, No. 00–20806, 2001 WL 822534 (5th Cir. June 22, 2001) (unpublished disposition); *Brownell v. Figel*, 950 F.2d 1285, 1288, 1293 (7th Cir.1991).

21. Indeed, the dissent's insistence on "particularized prior cases with similar facts," see *supra* note 18, is inconsistent with its use of these cases, which, for the reasons already stated, differ significantly from the circumstances present here.

‘reasonably’ acted unreasonably.” However, the Court excused this apparent contradiction as merely linguistic, explaining:

We have frequently observed, and our many cases on the point amply demonstrate, the difficulty of determining whether particular searches or seizures comport with the Fourth Amendment. Law enforcement officers whose judgments in making these difficult determinations are objectively legally reasonable should no more be held personally liable in damages than should officials making analogous determinations in other areas of law.

*Id.* at 644, 107 S.Ct. 3034 (internal citation omitted). Thus, qualified immunity affords protection to officers who reasonably, yet mistakenly, employ excessive force in violation of the Fourth Amendment.

Again, we are sympathetic to the situation that Jones confronted. Jennings had to be subdued while he was resisting arrest, and the chaos caused by his struggle may have made it difficult for Jones to gauge the appropriate level of force. These circumstances would arguably allow a reasonable officer in Jones’ circumstances to believe that it was lawful to *maintain* the level of force he used even after Jones ceased resisting.

However, we reiterate that we must take the facts in the light most favorable to the jury verdict. *See, e.g., Iacobucci v. Boulter*, 193 F.3d 14, 23 (1st Cir.1999). Thus, we accept that Jones increased, rather than merely maintained, the force he applied to Jennings’ ankle, even after Jennings had ceased resisting and stated that Jones was hurting his previously injured ankle.<sup>22</sup>

Under such circumstances, even the “added measure of protection” provided by the third prong of the qualified immunity analysis does not insulate Jones from damages. *Cox v. Hainey*, 391 F.3d 25 (1st Cir.2004). We find that an objectively reasonable officer in Jones’ circumstances would not have believed that it was lawful to *increase* the amount of force that he used after Jennings ceased resisting and stated that Jones was hurting him.<sup>23</sup>

Because the first and third prongs of the qualified immunity analysis are so closely related in these Fourth Amendment excessive force cases, the evidence that supports our conclusion on the first prong, that a reasonable jury could have found that the force Jones used was unreasonable, is likewise relevant here, on the third prong, to demonstrate that an objectively reasonable officer in Jones’ position would have believed that the force used was unreasonable. More specifically, Officer Delaney’s testimony about the training that officers

22. *See supra* Section II for a description of the evidence on this point.

23. The dissent argues that Jones’ use of force was justified because Jennings was not totally secured at the time his ankle was broken and the officers were having a difficult time getting the flex cuffs on Jennings. The more important point, however, is that Jennings had ceased resisting before Officer Hill got up and walked away, and, as shown on the video, several seconds elapsed between the time that Officer Hill left and the time that Jennings yelled in pain as his ankle was broken. Thus, any difficulty or delay that the officers experi-

enced in handcuffing Jennings was not due to resistance on Jennings’ part, and does not alter our conclusion that an objectively reasonable officer in Jones’ circumstances would not have believed that it was lawful to *increase* force after Jennings ceased resisting and stated that the restraint was hurting his previously injured ankle. Relatedly, the dissent’s statement that “Hill got out of the way because other officers were having trouble cuffing Jennings” incorrectly suggests that Jennings was still resisting when Hill got up and walked away.

receive and the Use of Force Continuum is relevant both to the prong one question of whether there was a violation at all and to the prong three question, which we address here, of whether a reasonable officer in Jones' circumstances would have believed that his conduct violated the Constitution.

Officer Delaney's testimony about the training that officers undergo and the Use of Force Continuum made clear that officers should adjust their force in a manner proportional to the resistance offered by the arrestee. Instead, Jones adjusted his force inversely, increasing the force he used after Jennings stopped resisting and stated that the restraint was causing him pain. Under such circumstances, a reasonable officer would have believed that increasing his use of force would violate Jennings' constitutional right to be free from excessive force.<sup>24</sup>

The district court concluded that Jones was entitled to qualified immunity because a reasonable officer in his position would not have believed that his conduct violated Jennings' constitutional rights. However, this conclusion again indicates that the court did not construe the facts in the light most favorable to the jury verdict. In discussing the third prong of the qualified immunity analysis, the court stated that "the evidence clearly demonstrates that, even if he was mistaken, Jones reasonably could have believed that his *utilization* of the ankle turn control technique was lawful." (Emphasis added.) As we have repeatedly emphasized, the conduct at issue was not the mere *utilization* of the tech-

nique, but rather the increase of force after Jennings ceased resisting. It is this increased force that an objectively reasonable officer would not have believed was lawful.

The dissent once again avoids the central issue—Jones' use of increased force on a nonresisting arrestee—by describing Jones' conduct and Delaney's testimony in sanitized terms. It states that "Jones testified that he tried to *secure* Jennings' ankle," emphasizes Delaney's testimony that "it was appropriate for Jones to continue *using* the same compliance technique," and refers repeatedly to the "use" of the ankle turn control technique. (Emphases added.) These characterizations ignore the view of the facts we must take in light of the jury verdict and, consequently, result in a misapplication of the qualified immunity analysis.

In light of the circumstances, we hold that a reasonable officer in Jones' position would have believed that increasing the force with which he restrained Jennings was a violation of Jennings' constitutional right to be free from excessive force. Thus, Jones is not entitled to qualified immunity.

#### IV.

We conclude that the district court erred in granting judgment as a matter of law to appellee Jones based on qualified immunity. Jones' use of increased force after Jennings ceased resisting violated the Fourth Amendment, the law was clearly established, and a reasonable officer in

24. In our discussion of prong one, we were careful to point out that we were not suggesting that Delaney's testimony was or was not essential to the jury's determination that the force used by Jones was excessive. We simply explained how that testimony could have been helpful to the jury in reaching its excessive force verdict. We make a similar point

here. The Delaney testimony is certainly relevant to the prong three determination that was to be made by the court: whether a reasonable official would have believed that the force being used was excessive. However, we are not suggesting that this testimony was or was not essential to that legal determination.

Jones' circumstances would have believed that his conduct was a violation. Therefore, we vacate the district court's decision on that motion and reinstate the jury verdict.

[7] At the close of trial, in addition to his motion for judgment as a matter of law, Jones filed alternative motions for a new trial and a remittitur. After granting his motion for judgment as a matter of law, the district court held that the alternative motions were moot. This holding was error. Federal Rule of Civil Procedure 50(c)(1) requires the district court to rule conditionally on such motions in the event that the grant of judgment as a matter of law is overruled on appeal.

We now remand to the district court for a ruling on the undecided motions. However, since we already have vacated the district court's ruling on Jones' motion for judgment as a matter of law, its ruling on the other motions will not be conditional. Instead, it will control the future course of proceedings. We do not retain jurisdiction over the case.

***So ordered.***

LYNCH, Circuit Judge, dissenting.  
With respect, I dissent.

The majority opinion holds that a trial court, to whom the ultimate decision on qualified immunity is granted, erred in granting qualified immunity; it committed error, the majority says, because a jury found by a general verdict that Officer Jones had used excessive force.

#### I. *Relevant History*

It is worth explaining how this situation, which arose from a mistake by the trial judge (which the judge later acknowledged), came to pass.

The plaintiffs were permitted to amend their complaint shortly before the deadline

for filing pretrial motions to give names to the John Doe state trooper defendants, including Officer Jones, and bring suit against them in their individual capacities. By the time of the pre-trial conference, the district court had apparently indicated a disinclination to resolve the matters of defense by summary judgment, noting that light would be shed on the facts at trial.

At the close of the plaintiff's evidence at trial, the officers moved for a ruling on their defenses, including the qualified immunity defense under Rule 50. The district court granted JMOL to defendants on a number of claims but, apparently overlooking the immunity issue, said the remaining claims stated an issue for the jury. At the close of all the evidence, but before the verdict, the remaining defendants renewed their motion for JMOL. The court granted judgment to all but three defendants, including Jones. After the verdict, the court ruled that it had erred in not granting the Rule 50 JMOL motion with respect to the § 1983 excessive force claim and the state law battery claim against Jones. *Jennings v. Pare*, No. 03-572-T, 2005 WL 2043945, at \*5 (D.R.I. Aug.24, 2005).

The jury returned a verdict against Jones on the excessive force claim under the Fourth Amendment and awarded damages of \$301,100. The jury verdict simply stated, "As to the claims by Adam Jennings against Kenneth Jones, Fourth Amendment claim for excessive force, the jury finds for the plaintiff, Adam Jennings." There were no special interrogatories which the jury answered to make specific findings of fact.

After the jury verdict, Jones filed three motions: for remittitur under Rule 59(e); for JMOL under Rule 50(b); and for a new trial under Rule 59. The trial court allowed Jones' motion for JMOL and decided that the other two motions were, ac-

cordingly, moot. *Id.* at \*1. Judgment was entered for Jones simultaneously with the court's ruling on the three motions. In light of the requirements of Rule 50(c)(1), the court erred in holding the new trial motion was moot; it should have ruled on the merits of the new trial motion.

In granting JMOL, the court held that despite the jury verdict Officer Jones was nonetheless entitled to immunity. *Id.* The district court found that, accepting that the force used was unreasonable and excessive, the officer was entitled to immunity under the second and third prongs of the analysis because (1) clearly established law did not fairly warn the officer his actions were unconstitutional, and (2) even if the law was clearly established so as to give the officer fair notice that his actions were unconstitutional, the court still concluded that "Jones reasonably could have believed that his utilization of the ankle turn control technique was lawful." *Id.* at \*10–11.

## II. Qualified Immunity

Appellate review of the immunity conclusion is de novo. *Whalen v. Mass. Trial Court*, 397 F.3d 19, 23 (1st Cir.2005).

Two particular rules apply in this situation. Under *Hunter v. Bryant*, 502 U.S. 224, 112 S.Ct. 534, 116 L.Ed.2d 589 (1991), the question of immunity is an issue for the trial court, not the jury, to determine. *Id.* at 228, 112 S.Ct. 534. The Supreme Court has not yet addressed the question of what role jury findings play in the judicial immunity determination, nor has this circuit. See, e.g., *Kelley v. LaForce*, 288 F.3d 1, 7 n. 2 (1st Cir.2002) ("[T]he Supreme Court has not clearly indicated whether the judge may act as fact-finder

when there is a factual dispute underlying the qualified immunity defense or whether this function must be fulfilled by a jury."); *Ringuette v. City of Fall River*, 146 F.3d 1, 6 (1st Cir.1998) ("Something of a 'black hole' exists in the law as to how to resolve factual disputes pertaining to qualified immunity when they cannot be resolved on summary judgment prior to trial."). No clear answer has emerged from the circuits. *Gasperini v. Center for Humanities, Inc.*, 518 U.S. 415, 116 S.Ct. 2211, 135 L.Ed.2d 659 (1996), cited by the majority, is not an immunity case and does not resolve this question, which we have recognized as being open in the years since *Gasperini* was decided.

Secondly, the merits inquiry about whether an officer used excessive force does not resolve the immunity inquiry. *Saucier v. Katz*, 533 U.S. 194, 204–06, 121 S.Ct. 2151, 150 L.Ed.2d 272 (2001). A holding on the merits is not dispositive of the issue of qualified immunity. *Cookish v. Powell*, 945 F.2d 441, 443 (1st Cir.1991). Thus, whatever deference is owed to the jury findings on prong one of immunity, the court was free to grant immunity, as it did, on prongs two and three. The officer here could both have applied excessive force and, at the same time, be entitled to immunity: an officer in Jones' position could have reasonably believed he was not violating constitutional rights. *Saucier*, 533 U.S. at 206, 121 S.Ct. 2151. The district court recognized this, and there is no inconsistency between its conclusion that Jones is entitled to immunity and the jury verdict.

The district court summarized its reasons for granting immunity<sup>25</sup>:

25. Those reasons did not include the fact that the trial court would itself have reached a different conclusion from the jury on the excessive force issue. The district court, based on its own assessments of the credibility of

the witnesses and the weight of the evidence, expressed its view that Jones had not used excessive force. *Jennings*, 2005 WL 2043945, at \*6. Nonetheless, the district court expressly stated this was not the basis for its immunity

First, Jennings failed to present any evidence that Jones' actions deviated from the standard of conduct that should have been expected from an objectively reasonable police officer under the circumstances. Second, even if Jones' use of the "ankle turn control technique" is viewed as amounting to excessive force it did not violate any "clearly established" constitutional prohibition. Finally, the undisputed evidence demonstrates that it was "objectively reasonable" for Jones to believe that he was acting lawfully.

*Jennings*, 2005 WL 2043945, at \*5.

In reviewing the district court's grant of immunity on JMOL, I assume *arguendo* that the evidence is taken in the light most favorable to the jury verdict.<sup>26</sup>

#### A. *Effect of the Jury's Verdict*

Two thoughts should be removed from the picture at the outset. First, Jones did not break Jennings' ankle with reckless or callous indifference to Jennings' federal rights. Second, he did not knowingly violate the law. The jury verdict cannot, as a matter of law, be taken to establish these points because they were not elements of the claim that went to the jury. There was no basis for punitive damages here. See *Smith v. Wade*, 461 U.S. 30, 56, 103

S.Ct. 1625, 75 L.Ed.2d 632 (1983) (punitive damages under § 1983 available only "when the defendant's conduct . . . involves reckless or callous indifference to the federally protected rights of others"). Indeed, the law of this case is that the evidence was insufficient to support such a finding. See *Mandel v. Boston Phoenix, Inc.*, 456 F.3d 198, 210 (1st Cir.2006). Although the amended complaint sought punitive damages, the district court held that there was no basis in the evidence to instruct the jury on the issue. Further, plaintiff did not object to the lack of jury instructions on punitive damages, and he does not challenge the omission of such instructions on appeal. Moreover, on the evidence it is clear that Jones was not "plainly incompetent," and he did not "knowingly violate the law." *Malley v. Briggs*, 475 U.S. 335, 341, 106 S.Ct. 1092, 89 L.Ed.2d 271 (1986). So denial of immunity cannot rest on those grounds.

In my view, the majority's reversal of the trial judge's grant of immunity undercuts the interests protected by the immunity doctrine. The purposes of granting qualified immunity include: avoiding "excessive disruption of government," *Harlow v. Fitzgerald*, 457 U.S. 800, 818, 102 S.Ct. 2727, 73 L.Ed.2d 396 (1982); giving "a fairly wide zone of protection in close cases," *Roy v. Inhabitants of Lewiston*, 42

holding, acknowledging that a motion for JMOL does not permit a court to make its own assessment regarding the weight of the evidence. *Id.* at \*7. The majority, however, states that "the district court's qualified immunity analysis incorporated its skepticism about the jury's fact-finding on the critical issue of whether Jones increased his use of force." The majority does a disservice to the district court by suggesting it did something it expressly said it did not do.

26. Where we are reviewing a denial of qualified immunity by a judge *which is consistent with a jury verdict*, we have said that "the evidence must be construed in the light most

hospitable to the party that prevailed at trial." *Iacobucci v. Boulter*, 193 F.3d 14, 23 (1st Cir.1999); see also *Borges Colón v. Román-Abreu*, 438 F.3d 1, 18 (1st Cir.2006); *Whitfield v. Meléndez-Rivera*, 431 F.3d 1, 6 (1st Cir.2005). We have held that there is no prohibition on a judge's reasonably accepting the jury's findings as his or her own for purposes of qualified immunity. See *Iacobucci*, 193 F.3d at 23. We have never explicitly discussed the reverse situation, where the judge awards immunity in the face of a jury finding that there was a constitutional violation. Jones has not made an argument as to this point, so I bypass it.

F.3d 691, 695 (1st Cir.1994); allowing officers “reasonably [to] anticipate when their conduct may give rise to liability for damages,” *Davis v. Scherer*, 468 U.S. 183, 195, 104 S.Ct. 3012, 82 L.Ed.2d 139 (1984); providing “ample room for mistaken judgments,” *Malley*, 475 U.S. at 343, 106 S.Ct. 1092; shielding officers from liability when the law did not clearly proscribe the actions they took, *Mitchell v. Forsyth*, 472 U.S. 511, 528, 105 S.Ct. 2806, 86 L.Ed.2d 411 (1985); and protecting “all but the plainly incompetent or those who knowingly violate the law,” *Malley*, 475 U.S. at 341, 106 S.Ct. 1092. The common theme of protecting reasonable judgment calls by officers, such as this one, exists throughout qualified immunity law.

It is not inconsistent for an officer to have violated constitutional rights, as the jury found here, but still be entitled to immunity on the various prongs (described below) of the immunity test. See *Sallier v. Brooks*, 343 F.3d 868, 871–72, 879–80 (6th Cir.2003) (holding that prison clerks were entitled to immunity despite jury verdict against them because it was not clearly established at the time that mail from the courts was protected “legal mail”); *Figg v. Schroeder*, 312 F.3d 625, 636–37 (4th Cir.

2002) (holding that officers were entitled to qualified immunity on first prong of test despite jury verdict because evidence at trial did not establish unreasonableness of seizures under the Fourth Amendment); *Clue v. Johnson*, 179 F.3d 57, 60, 61–62 (2d Cir.1999) (holding that transit authority director was entitled to immunity despite jury verdict for plaintiffs because law was not clearly established at the time that plaintiffs’ activities were constitutionally protected from employer retaliation); *Warlick v. Cross*, 969 F.2d 303, 310 (7th Cir.1992) (holding that, although jury found officer not to have had probable cause for arrest, officer was entitled to immunity because law was not clearly established as to circumstances in which officer found himself).

The majority reasons that the jury, by its general verdict, necessarily found that (1) Jennings had stopped resisting and had announced his prior ankle injury, and (2) Jones nonetheless increased the twisting pressure on Jennings’ ankle and broke it. The majority’s reasoning entails a bit of legal fiction, since we do not know what the jury found and these facts certainly were not necessary to the verdict.<sup>27</sup> On

**27.** The Supreme Court noted in *Iacurci v. Lummus Co.*, 387 U.S. 86, 87 S.Ct. 1423, 18 L.Ed.2d 581 (1967), reversing a court of appeals for entering JMOL, “We do not share the Court of Appeals’ confidence as to the meaning [of the jury verdict] in light of the trial court’s instructions . . . .” *Id.* at 87, 87 S.Ct. 1423. Nothing in the jury instructions here required that the jury necessarily base its verdict on the majority’s proposed factual findings. The district court quite properly did not instruct that the theory of plaintiff’s case required these two findings. The jury was instructed that in determining whether Jones used excessive force it could consider whether Jennings posed a threat to the safety of others; whether the threat was immediate and serious; whether Jennings was disrupting the search of the smoke shop; whether Jennings was actively resisting arrest; the degree of

force used; the seriousness of the offense for which Jennings was being arrested; and whether the degree of force was proportional to what was appropriate under the circumstances. *Iacurci* cannot be written off as idiosyncratic, nor is it easily distinguishable.

Further, the facts themselves provide alternatives, and it is far from obvious on what subsidiary facts the verdict rested. The jury could have found that the seriousness of the injury, a broken ankle, was not justified by the charges Jennings was arrested on—disorderly conduct. This theory was argued by plaintiff’s counsel at closing, and was consistent with the jury instructions. Or the jury could have concluded that the application of force sufficient to break Jennings’ ankle was itself excessive, whether or not Jennings had continued to resist, and whether or not Jones increased the amount of force. The jury

this record, there is considerable ambiguity and no certainty about what underlying factual conclusions motivated the *general* verdict.

This is an important issue. It is true that where the question is whether there is sufficient evidence to support a jury verdict (the usual question on a motion for JMOL), the appellate court will take all facts in favor of the verdict. But there is no attack on the sufficiency of the jury verdict, as to at least the second and third prongs of the immunity analysis. The attack is on the trial judge's separate conclusion, a determination assigned to the judge and not the jury, that Jones is entitled to immunity. This raises the question of how the judge, in evaluating immunity, is required to treat a general jury verdict, and that is precisely the type of black hole in the law we discussed in *Ringuette*, 146 F.3d at 6, and in *Kelley*, 288 F.3d at 7 n. 2.

Further, as a matter of logic, it does not necessarily follow from a rule that a *general verdict* will be upheld by taking facts in favor of the verdict when a number of theories could support the verdict, that the jury has found a particular combination of facts, or that the judge, on the immunity

issue, must deem the jury to have found particular facts. Indeed, the majority acknowledges that the trial judge here thought the jury verdict was based on a different theory and facts other than the two facts the majority now insists were found.

These are important issues on which it would be helpful to have guidance from the Supreme Court. But ultimately this case need not resolve those issues because I believe the majority is wrong, even within its own set of assumptions. Even if we assume, *arguendo*,<sup>28</sup> that the rule that facts must be taken in support of the verdict permits the majority to assume its two facts, the district court's finding of qualified immunity must nonetheless stand. I will assume *arguendo* that Jennings met the first prong. Even so, the officer is nonetheless entitled to immunity on the next two prongs.<sup>29</sup>

#### B. Second Prong: Clearly Established Law

Officer Jones was undisputably acting within the scope of his authority and his discretion. The burden then is on plaintiff

could have concluded that it was unreasonable for Jones to maintain the same force once Jennings said something about his ankle. Or it could have concluded that Jones maintained the same level of force when, in its view, that level was excessive to begin with. It may also be, as the district court noted, that the jury concluded that Jones "continued to twist Jennings' ankle *after* Jennings had stopped resisting." *Jennings*, 2005 WL 2043945, at \*6. That is not a conclusion that Jones "increased" the pressure, and again shows that the jury did not necessarily find the facts as the majority assumes.

There is another reason not to conclude that the verdict against Jones necessarily entailed the majority's two factual findings. At the start of trial, there were seven individual defendants. There were also three plaintiffs, including Jennings' mother. These plaintiffs asserted twenty-one different claims. On the

six claims that went to the jury, the jury ruled against plaintiffs on all claims except for the excessive force claim against Jones. Jurors sometimes reach compromise verdicts.

28. In my view, the majority's focus on its two facts as necessary findings does not represent "the jury's discernible resolution of factual issues," *Iacobucci*, 193 F.3d at 23, but for these purposes I will assume *Iacobucci* is satisfied.

29. The district court held, on the first prong, that the jury verdict that there had been excessive force was not supported by any evidence that no objectively reasonable officer would have applied the ankle turn control technique as Jones did. *Jennings*, 2005 WL 2043945, at \*7. It is not necessary to discuss this finding.



to demonstrate the existence of clearly established constitutional law which the officer is said to have violated. *Davis*, 468 U.S. at 197, 104 S.Ct. 3012; *Horta v. Sullivan*, 4 F.3d 2, 13 (1st Cir.1993).

The second prong of the qualified immunity test asks whether the constitutional right in question was “‘clearly established at the time of the alleged violation’ such that a reasonable officer would ‘be on notice that [his] conduct [was] unlawful.’” *Riverdale Mills Corp. v. Pimpare*, 392 F.3d 55, 61 (1st Cir.2004) (alterations in original) (quoting *Suboh v. Dist. Attorney’s Office*, 298 F.3d 81, 90 (1st Cir.2002)); see also *Saucier*, 533 U.S. at 202, 121 S.Ct. 2151. This inquiry is a specific one, in which it is necessary to consider the particular circumstances faced by the officer. See *Saucier*, 533 U.S. at 201, 121 S.Ct. 2151 (“This inquiry [under the second prong] . . . must be undertaken in light of the specific context of the case, not as a broad general proposition.”); see also *Brosseau v. Haugen*, 543 U.S. 194, 199–200, 125 S.Ct. 596, 160 L.Ed.2d 583 (2004); *Suboh*, 298 F.3d at 90. Although the facts of prior cases need not be “exactly on all fours with the facts of this case” in order to conclude that a right was clearly established, *Suboh*, 298 F.3d at 94, “the prior case law must give the officer reasonable notice that the specific conduct [he] is alleged to have committed in this litigation is unlawful,” *Riverdale Mills*, 392 F.3d at 66. Again, the burden is on the plaintiff to make this showing, and the district court correctly held that plaintiff had failed.

In the end, the majority’s holding that the law was so clearly established as to put the officer on clear notice that his overall use of force, even increasing force, when the detainee had stopped struggling (regardless of other circumstances) was unconstitutional rests on two propositions. The first is that clear notice is established

by a single case from the Eleventh Circuit which is said to be so close to this case as to have put Jones on appropriate notice. The second is that there is no need for particularized notice because notice of general principles is enough. Indeed, the majority goes so far as to reason that it should have been perfectly obvious to Jones that his use of force was excessive, despite the fact that the only expert testimony was directly to the contrary and the district court, which heard the case, concluded otherwise. The jury verdict made no conclusion on this issue, nor could it have.

#### 1. *Lack of Prior Case Law*

There is no First Circuit case which gave Jones appropriate notice, nor is there a clear consensus of other persuasive authority giving such notice.

To start, a single opinion from another circuit is not, as a matter of law, sufficient to meet the plaintiff’s burden of showing the law is clearly established. In *Wilson v. Layne*, 526 U.S. 603, 119 S.Ct. 1692, 143 L.Ed.2d 818 (1999), the Supreme Court concluded that the law on a particular issue was not clearly established, and stated:

[Plaintiffs] have not brought to our attention any cases of *controlling authority* in their jurisdiction at the time of the incident which clearly established the rule on which they seek to rely, nor have they identified a *consensus* of cases of *persuasive authority* such that a reasonable officer could not have believed that his actions were lawful.

*Id.* at 617, 119 S.Ct. 1692 (emphases added). *Wilson* rejected reliance on one case as sufficient. *Id.* at 616–17, 119 S.Ct. 1692. The case there, parenthetically, was a summary judgment case, *id.* at 608, 119 S.Ct. 1692, like *Smith v. Mattox*, 127 F.3d

1416 (11th Cir.1997), on which the majority relies.

In *Brady v. Dill*, 187 F.3d 104 (1st Cir.1999), we expressly adopted *Wilson*'s holding in concluding that the law was not clearly established at the time of the defendants' conduct. *Id.* at 116. We also applied the *Wilson* rule without dispute in *Savard v. Rhode Island*, 338 F.3d 23, 28 (1st Cir.2003) (equally divided en banc court). The majority thus cannot rely on the single decision of *Smith v. Mattox* to give fair notice.

Further, *Smith* does not provide such fair notice to the officer, but supports the view that immunity was correctly granted. *Smith* merely affirmed the district court's denial of summary judgment on qualified immunity grounds because inferences, just barely, could be drawn that the force used was obviously and patently excessive. *Id.* at 1419. In *Smith*, the plaintiff had earlier threatened the officer with a baseball bat, but had then dropped the bat, run through a backyard, down a driveway, and into another street, and then returned to the driveway of his mother's house, where the officer found him. *Smith* said he then docilely submitted to arrest, got down on the ground as requested, and offered no resistance. Nonetheless, the officer struck him a blow which broke his arm in multiple places. *Id.* at 1418. Notably, *Smith* held that other inferences would permit a finder of fact to conclude that the officer had behaved reasonably. That was because

even if *Smith* was not actively resisting arrest at the very moment the force was applied, he was before that moment; [the officer] could reasonably have believed that without some force restraining *Smith*, he would have resumed either his attacks or his flight. Thus, it was not unreasonable for [the officer] to

think that he was entitled to use some force to put *Smith* into cuffing posture. *Id.* *Smith* does not help Jennings; it helps Jones. The majority also argues that Jones offered no contrary precedent to *Smith*. That is not true, and the majority confuses who has the burden to show clear notice.

Other court of appeals cases, in addition to *Smith*, tend to support the constitutionality of Jones' actions and so undercut plaintiff's claims that Jones was on clear notice from prior case law that his particular application of force was unreasonable. Many of these cases involve situations, as here, where officers were attempting to handcuff an individual who had been resistant. See *Rodriguez v. Farrell*, 294 F.3d 1276, 1278–79 (11th Cir.2002) (finding no excessive force, and noting that an officer need not credit an arrestee's claims of pain, especially when the arrestee is in the process of being handcuffed); *Jackson v. City of Bremerton*, 268 F.3d 646, 650–53 (9th Cir.2001) (finding no excessive force where plaintiff suffered a fractured finger after officer pushed plaintiff to the ground for purpose of handcuffing her despite being told of preexisting back and shoulder injuries, and where plaintiff had earlier posed a threat to officers' safety and ability to control a crowd); *Huang v. Harris County*, No. 00–20806, 2001 WL 822534, at \*10 (5th Cir. June 22, 2001) (holding that force was reasonable where officer broke resisting arrestee's thumb by twisting her wrist, in an effort to "prevent her from kicking him . . . and place her in handcuffs"); *Brownell v. Figel*, 950 F.2d 1285, 1288, 1293 (7th Cir.1991) (finding no constitutional deprivation where officers employed two different pain techniques, application of pressure on the plaintiff's knuckles and on a nerve behind his jaw); *Eberle v. City of Anaheim*, 901 F.2d 814, 820 (9th Cir.1990) (upholding the use of a "finger control hold" to remove a belliger-

ent spectator from a sports arena). Under these cases, an officer in Jones' position could reasonably have concluded that his conduct was not unconstitutional.

## 2. *Need for Particularity and Obviousness*

For a variety of Fourth Amendment claims involving reasonableness and judgment calls, this circuit has required that plaintiff refer to particularized prior cases with similar facts. *E.g.*, *Buchanan v. Maine*, 469 F.3d 158, 168–69 (1st Cir. 2006); *Riverdale Mills*, 392 F.3d at 65–66; *Napier v. Town of Windham*, 187 F.3d 177, 189 (1st Cir.1999). That is because the Fourth Amendment's touchstone of reasonableness generally requires careful consideration of the totality of the circumstances. “[F]or the most part per se rules are inappropriate in the Fourth Amendment context,” and consideration of the “totality of the circumstances” is required. *United States v. Drayton*, 536 U.S. 194, 201, 207, 122 S.Ct. 2105, 153 L.Ed.2d 242 (2002).<sup>30</sup>

**30.** Other circuits have taken a similar approach to particularity in the context of Fourth Amendment reasonableness inquiries, especially in excessive force cases. *See Walker v. City of Orem*, 451 F.3d 1139, 1151 (10th Cir.2006) (noting in a Fourth Amendment unreasonable detention case that “allegations of constitutional violations that require courts to balance competing interests may make it more difficult to find the law ‘clearly established’ when assessing claims of qualified immunity” (quoting *Medina v. City & County of Denver*, 960 F.2d 1493, 1498 (10th Cir.1992)) (internal quotation marks omitted)); *Williams v. Kaufman County*, 352 F.3d 994, 1012 (5th Cir.2003) (holding that prolonged detention was unlawful, but affirming qualified immunity because applicable Supreme Court law, which “allow[ed] a seizure without probable cause when the proper balance [was] struck between law enforcement and personal security interests,” failed to put officer on notice); *Priester v. City of Riviera Beach*, 208 F.3d 919,

In excessive force cases, our rule is that there is an even greater emphasis on the requirement of particularity, where officers act under pressure and must make very quick judgments. *See Wilson*, 526 U.S. at 615, 119 S.Ct. 1692 (“[T]he right allegedly violated must be defined at the appropriate level of specificity before a court can determine if it was clearly established.”); *Priester v. City of Riviera Beach*, 208 F.3d 919, 926 (11th Cir.2000) (observing that “generally no bright line exists for identifying when force is excessive”).<sup>31</sup> The test for excessive force “does not always give a clear answer as to whether a particular application of force will be deemed excessive by the courts. This is the nature of a test which must accommodate limitless factual circumstances.” *Saucier*, 533 U.S. at 205, 121 S.Ct. 2151. “[T]he Supreme Court has cautioned that in many cases the generalized holdings of [*Tennessee v. Garner*, 471 U.S. 1, 105 S.Ct. 1694, 85 L.Ed.2d 1 (1985),] and [*Graham v. Connor*, 490 U.S. 386, 109 S.Ct. 1865, 104 L.Ed.2d 443 (1989),] will not provide sufficient notice to police officers” as to what constitutes ex-

926 (11th Cir.2000) (“In the context of . . . excessive force claims, we have noted that generally no bright line exists . . .; we have therefore concluded that unless a controlling and materially similar case declares the official’s conduct unconstitutional, a defendant is usually entitled to qualified immunity.”).

**31.** As one commentator has noted in light of the chaotic circumstances surrounding most excessive force claims, while “there may be cases . . . where the law was so clearly settled that the finding of a constitutional violation would mean that the defendant loses on qualified immunity as well[, such cases] will be relatively rare in the Fourth Amendment . . . excessive force setting because of the very fact-specific nature of these issues.” 2 S. Nahmod, *Civil Rights and Civil Liberties Litigation: The Law of Section 1983* § 8:19.50, at 103 (4th ed.2006).

cessive force. *Whitfield v. Meléndez-Rivera*, 431 F.3d 1, 8 (1st Cir.2005). As reasoned above, Jennings has not provided any such particularized prior case.

There is an exception to the need for particularized prior law where the police conduct is so excessive and lies so obviously at the core of what the Fourth Amendment prohibits that the unlawfulness of the conduct would have been readily apparent to an officer. See *United States v. Lanier*, 520 U.S. 259, 270–71, 117 S.Ct. 1219, 137 L.Ed.2d 432 (1997); *Brady*, 187 F.3d at 116. The majority tries to fit within this exception. It reasons that it was so obvious that the use of force was excessive that Jones was clearly on notice for purposes of the second prong. The majority attempts to justify its obviousness conclusion by saying there is a clear and obvious dividing line between use of force and increased use of force. It cites no cases for that point, and the case law, described earlier, goes the other way. The majority's conclusion is not supported by the facts or by the case law.

As the district court pointed out, there are no cases holding that the use of the ankle turn control technique, which itself involves the use of varying degrees of force, is unconstitutional. *Jennings*, 2005 WL 2043945, at \*9. Indeed, the use of pain, even when an individual complains of pain, is an established technique to bring an arrestee under control and to prevent possible injury to an officer. Case law has clearly established that the use of similar application-of-pressure techniques, even those involving increasing amounts of pain, does not amount to excessive force. There certainly are cases in which an officer's use of force is so obviously excessive that the officer is on clear notice; this is not one of them.

C. *Third Prong: Whether an Objectively Reasonable Officer Could Have Concluded that Jones' Actions Were Lawful*

The third prong of our qualified immunity test asks “whether a reasonable officer could have concluded that his actions did not violate [the] plaintiff[’s] constitutional rights.” *Tremblay v. McClellan*, 350 F.3d 195, 199 (1st Cir.2003). This inquiry acknowledges that “law enforcement officials will in some cases reasonably but mistakenly conclude that [their conduct] is . . . lawful.” *Anderson v. Creighton*, 483 U.S. 635, 641, 107 S.Ct. 3034, 97 L.Ed.2d 523 (1987). In *Saucier*, the Supreme Court explained how the third prong applies in excessive force cases:

It is sometimes difficult for an officer to determine how the relevant legal doctrine, here excessive force, will apply to the factual situation the officer confronts. An officer might correctly perceive all of the relevant facts but have a mistaken understanding as to whether a particular amount of force is legal in those circumstances. If the officer's mistake as to what the law requires is reasonable, however, the officer is entitled to the immunity defense.

533 U.S. at 205, 121 S.Ct. 2151.

Under the third prong, an officer who makes “a reasonable judgment call” is entitled to qualified immunity. *Buchanan*, 469 F.3d at 170. “The calculus of reasonableness must embody allowance for the fact that police officers are often forced to make split-second judgments—in circumstances that are tense, uncertain, and rapidly evolving—about the amount of force that is necessary in a particular situation.” *Graham*, 490 U.S. at 396–97, 109 S.Ct. 1865. On these facts, an objectively reasonable officer could have believed that

Jones' use of force—and its degree—was lawful.<sup>32</sup>

There are a number of reasons for this. First, this was an instance of quick judgment by an officer in a chaotic situation. The district court stated that the entire series of these events took place in a chaotic scene over the course of about one minute. The key events, from when Officer Hill got up from the floor to when Jennings shouted in pain, took place within "several seconds." Jennings has represented the time in question to last anywhere from twelve to eighteen seconds. In this short time frame, a reasonable officer easily could have made mistakes as to Jennings' degree of resistance, the degree of risk Jennings posed to the officers, and the appropriate level of force to employ.

Concern over the safety of the officers and others was entirely reasonable. Jones testified he tried to secure Jennings' ankle

both for his own safety, to prevent Jennings from kicking him while he was kneeling next to Jennings, and to lessen Jennings' resistance to arrest. He was also concerned that Jennings might have a weapon because he could not see Jennings' hands. Jennings himself acknowledged that at least one of his hands was not visible for a time. There is no doubt Jennings was resisting the officers earlier in the encounter. That was shown in the videotape.

Even if Jennings had just stopped kicking and flailing, the undisputed evidence demonstrates that (1) Jennings was not totally secured at the time his ankle was broken, (2) Jennings had posed a threat to the safety of the officers and others just seconds before, (3) the officers were having a difficult time getting the flex cuffs on Jennings, and (4) Jones' overall use of force was, in the opinion of the expert, reasonable under the circumstances.<sup>33</sup> Lt.

**32.** The district court reached its conclusion based on the following factual findings, which have ample support in the record.

Jones did not know why Jennings was being arrested or whether he was armed. Nor could Jones have known, with any certainty, why Jennings failed to heed orders to show his hands. Moreover, even if Jennings had stopped actively resisting, Jones had no way of knowing whether Jennings would resume kicking or resisting if Jones released his ankle hold.

In addition, . . . Jones and other troopers at the Academy were taught that the ankle control technique is appropriate to subdue an arrestee who is actively resisting; to protect against the possibility that an arrestee who previously engaged in assaultive behavior might resume that behavior and/or to induce compliance by an arrestee who is passively resisting. Furthermore, Delaney, the only expert witness who testified, indicated that Jones acted properly and in accordance with departmental policy regarding use of the ankle turn control technique.

*Jennings*, 2005 WL 2043945, at \*11. The district court's conclusion rests on subsidiary

factual findings, which are not clearly erroneous.

**33.** The majority argues that Lt. Delaney's assessment of the reasonableness of Jones' actions did not keep "the relevant factual circumstances in mind" because the factors listed by Delaney to support his view "all occurred prior to the time that Jennings ceased to resist." The majority's argument is misguided. Delaney listed a number of factors he considered relevant to his opinion, including Jennings' noncompliance and assaultive behavior, but these were not the only circumstances he had in mind. The trial transcript makes clear that Delaney's opinion was based on the same videotape the majority says demonstrates that Officer Hill walked away after pulling Jennings' left arm out from under his body.

Further, the majority fails in its attempt to distinguish "use of force" from "increase in force" as a matter of evidence. The transcript is clear that the expert was asked about the reasonableness of Jones' use of force *overall*, not about the reasonableness of the use of the ankle turn control technique without an

Delaney, the only expert witness on use of force, testified that *until* Jennings was “totally cuffed up and secured,”<sup>34</sup> it was appropriate for Jones to continue using the same compliance technique as he had, and alternative compliance techniques were not acceptable. Not even Jennings asserts that he was secured in handcuffs at the time his ankle was broken. Nor did any of his witnesses. Jennings asserted only that he had stopped moving and was not resisting arrest. And Officer Hill was clear that Jennings was not in cuffs when Hill stood up. Indeed, Hill got out of the way because other officers were having trouble cuffing Jennings.

The majority says it is irrelevant that Jennings was not handcuffed; the only important consideration is that Jennings had stopped struggling. Not so. Jennings had just been subdued by Hill; Hill then got up and Jennings could, until he was cuffed, have started up again at any time. An officer could reasonably view this as a time of great risk, and even greater risk than when Hill had subdued Jennings. The majority claims that expert testimony supports its view that any increase in force once Jones stopped struggling was unreasonable. The expert said just the opposite. Delaney testified that the degree of force was a judgment call, and that resistance was one factor and risk was another. Even if Jones were wrong about the degree of risk, his judgment was not unreasonable.

Jennings’ argument is that regardless of whether he was cuffed, and even if the ankle turn control technique is acceptable, Jones applied the technique with too much

force. But that is precisely in the area of judgment calls which are protected by qualified immunity. The district court directly addressed the degree of force or tension which Jones applied to the ankle, concluding that

[t]he ambiguity of the factual situation confronting Jones; the “split second” nature of the decision that he was required to make; the existence of established departmental policy permitting use of the ankle control technique under such circumstances; and the absence of any case law prohibiting its use, virtually compel the conclusion that it was objectively reasonable for Jones to believe that he acted lawfully.

*Jennings*, 2005 WL 2043945, at \*11.

Under our case law, the district court committed no error in finding qualified immunity on the basis that this was a protected judgment call. *See Buchanan*, 469 F.3d at 170; *Cox v. Hainey*, 391 F.3d 25, 31–32 (1st Cir.2004); *Vargas-Badillo v. Diaz-Torres*, 114 F.3d 3, 7 (1st Cir.1997).

Thus, the majority errs in assuming the jury necessarily found two facts and in reinstating the jury verdict based on those ungrounded assumptions. The majority has reinstated that jury verdict. While I disagree with that, the majority correctly remands to the district court to rule, *ab initio*, on the motions for new trial and for remittitur.

For the reasons stated above, I respectfully dissent as to the majority’s holdings.



increase in force. Even if the central issue in the case is the increase in force on Jennings’ ankle, Delaney’s testimony directly addresses the reasonableness of Jones’ overall conduct.

**34.** Delaney testified specifically about the period when officers were trying to get Jennings

“cuffed up and secured.” He explained that flex cuffs, which are made of plastic, are more difficult to apply than metal cuffs, and that it is very hard to get them on someone who does not want to be handcuffed.