

1 **IN THE SUPREME COURT OF THE STATE OF NEW MEXICO**

2 **Opinion Number:** _____

3 **Filing Date:** _____

4 **NO. 31,224**

5 **STATE OF NEW MEXICO,**

6 Plaintiff-Respondent,

7 **v.**

8 **DAVID HARRISON,**

9 Defendant-Petitioner.

SUPREME COURT OF NEW MEXICO

FILED

JUN - 8 2010

Hutton for Hutton

10 **ORIGINAL PROCEEDING ON CERTIORARI**

11 **Thomas J. Hynes, District Court Judge**

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1 **OPINION**

2 **MAES, Justice.**

3 {1} In this appeal, we must determine whether a state, county, or local peace
4 officer,¹ who is not cross-commissioned with the Bureau of Indian Affairs (BIA) or
5 an Indian nation, tribe, or pueblo, *see* NMSA 1978, § 29-1-11 (2005), has the
6 authority to pursue an Indian into Indian country to investigate an off-reservation
7 crime committed in the officer's presence. We conclude that state officers have the
8 authority to enter Indian country to investigate off-reservation crimes committed by
9 Indians, so long as their investigation does not infringe on tribal sovereignty by
10 circumventing or contravening a governing tribal procedure. Because the state
11 officer's investigation in this case did not circumvent or contravene any governing
12 tribal procedures codified in the Navajo Nation Code, we affirm the conviction of
13 David Harrison (Defendant) for driving while intoxicated (DWI) in violation of
14 NMSA 1978, Section 66-8-102 (1953, as amended through 2005).

15 **I. FACTS AND PROCEDURAL HISTORY**

16 {2} On August 31, 2005, at approximately 10:30 a.m., Emerson T. Charley, Jr., a
17 deputy with the San Juan County Sheriff's Office, was on patrol duty on County Road
18 6675 in San Juan County, New Mexico. Deputy Charley was driving east on the
19 county road when he noticed a westbound vehicle traveling at a high rate of speed.

20 ¹For ease of reference, we hereinafter refer collectively to state, county, and
21 local peace officers as "state officers."

1 Using his radar, Deputy Charley determined that the vehicle was traveling fifty-six
2 miles per hour in a thirty-five miles per hour zone. Deputy Charley engaged the
3 emergency lights on his patrol car, turned around, and followed the vehicle "to let the
4 driver know that [he] was actually going to try to stop him." After the vehicle failed
5 to yield, Deputy Charley activated and changed the tone of his siren to get the driver's
6 attention. However, the vehicle continued driving westbound, crossing a bridge that
7 separates San Juan County from the Navajo Reservation. While crossing the bridge,
8 Deputy Charley observed a large clear bottle containing yellow liquid being tossed
9 out of the passenger side window. The vehicle finally stopped one-third of a mile
10 into the Navajo Reservation.

11 {3} Deputy Charley approached the vehicle and noticed that the driver, Defendant,
12 had blood-shot, watery eyes and smelled moderately of alcoholic beverage. Deputy
13 Charley asked Defendant what he had thrown out of the passenger side window, and
14 Defendant responded that it was a bottle of Budweiser. At this point, Deputy Charley
15 asked Defendant to exit the vehicle and perform a series of field sobriety tests. First,
16 Defendant performed the walk and turn test, during which Deputy Charley observed
17 two clues of impairment—Defendant miscounted and used his arms for balance.
18 Second, Defendant performed the one-legged stand test, during which Deputy
19 Charley again observed two clues of impairment—Defendant miscounted and
20 dropped his foot three times. On the basis of his training and experience as a patrol

1 officer, Deputy Charley determined that Defendant had been driving while
2 intoxicated.

3 {4} At some point during the stop, Deputy Charley learned that Defendant was a
4 member of the Navajo Nation. Deputy Charley knew that he had no authority to
5 arrest a Navajo Nation member on the Navajo Reservation, so he contacted the
6 Navajo Police Department for assistance. However, the Navajo Police Department
7 was unable to provide assistance, and Deputy Charley testified that "the only thing
8 [he] could do was have [Defendant] try to find a ride. [Defendant] attempted to make
9 a phone call, wasn't able to find somebody, and he decided he was going to walk
10 back to some family's residence."

11 {5} Deputy Charley subsequently secured an arrest warrant, which was executed
12 in compliance with Navajo Nation Code requirements. Defendant was charged by
13 criminal complaint in state court with a fourth or subsequent offense of DWI contrary
14 to Section 66-8-102. Following a jury trial, Defendant was convicted of DWI and
15 sentenced to three years of imprisonment.

16 {6} Defendant appealed from the judgment of the trial court to the Court of
17 Appeals, claiming that "the evidence of his performance on field sobriety tests should
18 have been suppressed because they were administered by a state police officer who
19 is not cross-commissioned with the Bureau of Indian Affairs (BIA) or the Navajo
20 Nation, Defendant is Navajo, and the tests were administered following a stop on the

1 Navajo Nation.” *State v. Harrison*, 2008-NMCA-107, ¶ 1, 144 N.M. 651, 190 P.3d
2 1146. The Court of Appeals acknowledged that Deputy Charley lacked the authority
3 to arrest Defendant on the Navajo Reservation, but nonetheless concluded that he
4 “had authority to stop Defendant on the Navajo Reservation to investigate the traffic
5 offense he observed off the Navajo Reservation and to determine if Defendant was
6 a member of the Navajo Nation.” *Id.* ¶¶ 8, 11; *see United States v. Patch*, 114 F.3d
7 131, 133-34 (9th Cir. 1997). The Court further concluded that the field sobriety tests
8 did not violate the Fourth Amendment to the United States Constitution because
9 Defendant performed the tests voluntarily. *Harrison*, 2008-NMCA-107, ¶ 14.
10 Accordingly, the Court held that Defendant’s jurisdictional claim was moot and
11 affirmed Defendant’s conviction and sentence. *Id.* ¶¶ 14, 16.

12 {7} We granted Defendant’s petition for writ of certiorari pursuant to NMSA 1978,
13 Section 34-5-14(B) (1972) and Rule 12-502 NMRA to determine whether a state
14 officer, who is not cross-commissioned with the BIA or the Navajo Nation, has the
15 authority to enter the Navajo Reservation and investigate an off-reservation crime
16 committed by a member of the Navajo Nation. *State v. Harrison*,
17 2008-NMCERT-008, 145 N.M. 255, 195 P.3d 1267.

18 **II. DISCUSSION**

19 {8} Defendant committed the crime of DWI on both state and tribal land.
20 However, Deputy Charley acquired the evidence supporting Defendant’s DWI

1 conviction from a traffic stop and investigation conducted exclusively in Indian
2 country.² Defendant and Amici Curiae, the Navajo Nation and the Pueblo of Santa
3 Ana, concede that Deputy Charley had the authority to stop Defendant in Indian
4 country and detain him pending the arrival of the Navajo police. However, they argue
5 that Deputy Charley exceeded the scope of his authority by conducting a brief
6 criminal investigation in Indian country, which included the administration of field
7 sobriety tests. Additionally, the Pueblo of Santa Ana claims that Deputy Charley's
8 administration of field sobriety tests constituted a de facto arrest, which violated tribal
9 sovereignty under New Mexico law.

10 {9} The authority of state officers to investigate off-reservation crimes in Indian
11 country is a question of law, which we review de novo. *See State v. Ochoa*,
12 2008-NMSC-023, ¶ 10, 143 N.M. 749, 182 P.3d 130 (2008) ("The application and
13 interpretation of law is subject to a de novo review."). Although our state judiciary
14 has addressed this issue before, our analysis is also guided by federal law, because
15 "the laws of the United States 'shall be the supreme Law of the Land; and the Judges
16 in every State shall be bound thereby. . . .'" *State v. Romero*, 2006-NMSC-039, ¶ 7,
17 140 N.M. 299, 142 P.3d 887 (quoting U.S. Const. art. VI, cl. 2); *see also Cohen's*
18 *Handbook of Federal Indian Law* § 5.01[1] (Nell Jessup Newton ed. 2005) ("The

19 ²It is undisputed that the Navajo Nation satisfies the statutory definition of
20 "Indian country" set forth in 18 U.S.C. § 1151 (2006).

1 supremacy clause ensures that laws regulating Indian affairs and treaties with tribes
2 supersede conflicting state laws or state constitutional provisions.”).

3 **A. Preservation**

4 {10} “To preserve a question for review it must appear that a ruling or decision by
5 the district court was fairly invoked” Rule 12-216(A) NMRA. “Matters outside
6 the record present no issue for review.” *State v. Smith*, 92 N.M. 533, 536, 591 P.2d
7 664, 667 (1979). As the appellant, it is Defendant’s burden to provide this Court with
8 a brief statement “explaining how the issue [on appeal] was preserved in the court
9 below, with citations to authorities, record proper, transcript of proceedings or
10 exhibits relied on.” Rule 12-213(A)(4) NMRA. “When an issue is not preserved in
11 this manner, our review generally is limited to consideration of jurisdictional
12 questions, issues of general public interest, or matters involving fundamental error or
13 fundamental rights of a party.” *State v. Foster*, 1999-NMSC-007, ¶ 47, 126 N.M.
14 646, 974 P.2d 140; *see also* Rule 12-216(B).

15 {11} Defendant’s brief-in-chief fails to include the requisite statement regarding
16 preservation. However, the trial transcript reveals that, at the beginning of the trial,
17 Defendant requested and received a continuing objection on his “Motion to Suppress
18 Evidence based on the fact that [Defendant] was pulled over on the reservation.” The
19 record proper and trial transcript contain no further information regarding
20 Defendant’s suppression motion or the trial court’s ruling. Thus, on the record before

1 us, we do not know what evidence Defendant sought to suppress, the basis for the
2 trial court's ruling, or whether the challenged evidence ultimately was presented to
3 the jury. In the absence of such information, we are compelled to conclude that
4 Defendant's claim was not preserved for appellate review.

5 {12} Nonetheless, we exercise our discretion under Rule 12-216(B) "to consider an
6 issue not preserved below under the general public interest exception." *State v.*
7 *Pacheco*, 2007-NMSC-009, ¶ 11, 141 N.M. 340, 155 P.3d 745. "Although this
8 exception should be used sparingly," *id.*, we do so in this case because of the
9 important public interest in defining the state's authority to pursue an Indian into
10 Indian country to investigate off-reservation crimes. In the absence of guidance from
11 this Court, state officers run the risk of infringing on tribal sovereignty, a result which
12 cannot be sanctioned. *Farmington v. Benally (Benally II)*, 119 N.M. 496, 499, 892
13 P.2d 629, 632 (Ct. App. 1995). Accordingly, we review the merits of Defendant's
14 claim on appeal.

15 **B. State Criminal Jurisdiction in Indian Country**

16 {13} "As a general principle, a state does not have jurisdiction over crimes
17 committed by an Indian in Indian country." *State v. Frank*, 2002-NMSC-026, ¶ 12,
18 132 N.M. 544, 52 P.3d 404. However, a state generally has jurisdiction over crimes
19 committed by an Indian off the reservation. *See State v. Quintana*, 2008-NMSC-012,
20 ¶ 9, 143 N.M. 535, 178 P.3d 820; *Blatchford v. Gonazles*, 100 N.M. 333, 339, 670

1 P.2d 944, 950 (1983); *see also Kake v. Egan*, 369 U.S. 60, 75 (1962) (“It has never
2 been doubted that States may punish crimes committed by Indians, even reservation
3 Indians, outside of Indian country.”). When a crime occurs both inside and outside
4 of Indian country, state courts acquire concurrent jurisdiction with tribal and federal
5 courts. *See State v. Clark*, 2000-NMCA-052, ¶ 5, 129 N.M. 194, 3 P.3d 689 (“New
6 Mexico has historically held that it . . . has jurisdiction over crimes that [begin in
7 Indian country and] continue into State territory.”); *Cohen’s, supra*, § 9.06 (“Most
8 courts addressing this issue have concluded that when a crime occurs both inside and
9 outside of Indian country, state courts acquire concurrent jurisdiction over the crimes
10 that occurred at least partially within the state’s territorial jurisdiction.”).

11 {14} Although a state lacks jurisdiction over crimes committed by *Indians* in Indian
12 country, a state has limited jurisdiction over crimes committed by *non-Indians* in
13 Indian country. Specifically, a state has jurisdiction over “crimes by non-Indians
14 against non-Indians . . . and victimless crimes by non-Indians.” *Solem v. Bartlett*, 465
15 U.S. 463, 465 n.2 (1984) (citation omitted); *see also Draper v. United States*, 164
16 U.S. 240, 245 (1896) (holding that the states have exclusive jurisdiction over the
17 murder of a non-Indian by a non-Indian in Indian country); *United States v.*
18 *McBratney*, 104 U.S. 621, 624 (1881) (same). Because most traffic offenses are
19 victimless crimes, “in which neither an Indian nor Indian property is involved,” the
20 states have subject matter jurisdiction to adjudicate these offenses in state court.

1 *Cohen's, supra*, § 9.03[1]; *see also State v. Warner*, 71 N.M. 418, 421-22, 379 P.2d
2 66, 68-69 (1963) (holding that DWI is a victimless crime and, therefore, the state had
3 jurisdiction to arrest and prosecute a non-Indian defendant who committed the
4 offense of DWI in Indian country). *But see State v. Branham*, 2004-NMCA-131, ¶¶
5 2, 16, 136 N.M. 579, 102 P.3d 646 (holding that a state police officer had no authority
6 to arrest and charge the non-Indian defendant with the crimes of DWI, driving with
7 a suspended or revoked license, resisting, evading or obstructing an officer, and
8 speeding because those crimes were committed in Indian country).

9 **1. State Officer's Authority to Stop a Vehicle in Indian Country**

10 {15} We are not bound by Defendant's and Amici Curiae's concession regarding
11 Deputy Charley's authority to stop Defendant's vehicle in Indian country. *Foster*,
12 1999-NMSC-007, ¶ 25. Accordingly, we take this opportunity to examine a state
13 officer's authority to stop a vehicle in Indian country for a traffic violation committed
14 in the officer's presence.

15 {16} We find the Ninth Circuit Court of Appeals's opinion in *Patch* to be
16 instructive. The defendant in *Patch* was Indian, and "[a]ll material acts, including the
17 alleged traffic violation, took place in Indian country." 114 F.3d at 132. The state
18 police officer pursued the defendant's vehicle to determine "whether the driver was
19 a tribal member, whom [the officer] had no authority to arrest, or a nonmember,
20 whom he could arrest for traffic violations on a state highway." *Id.* at 132-33. The

1 defendant refused to stop, but drove to his sister's house, where a physical altercation
2 between the defendant and the officer ensued. *Id.* at 133. As a result, the defendant
3 was charged with simple assault in violation of 18 U.S.C. § 113(a)(5) (1994). On
4 appeal, the defendant claimed that the state police officer had no authority to pursue
5 and stop his vehicle in Indian country. *Patch*, 114 F.3d at 134.

6 {17} The Ninth Circuit Court of Appeals noted that, "[a]s a practical matter, without
7 a stop and inquiry, it is impossible for [a state] officer to tell who is operating an
8 offending vehicle." *Id.* at 133-34. The Court held that such a stop and inquiry is a
9 logical application of *Terry v. Ohio*, 392 U.S. 1 (1968), because the state officer

10 needed to make only a brief stop to ascertain [the defendant's] identity.
11 Such a stop would be a brief, limited detention to ask one question.
12 Like the stop in *Terry*, its purpose would further a legitimate law
13 enforcement objective: to determine whether the suspect was a tribal
14 member. [The state officer] had the authority under *Terry* to stop
15 vehicles . . . to determine his jurisdiction to issue a citation.

16 *Patch*, 114 F.3d at 134.; *cf. State v. Schmuck*, 850 P.2d 1332, 1335 (Wash. 1993) (en
17 banc) (holding that tribal sovereignty "necessarily includes the authority to stop a
18 driver on the reservation to investigate a possible violation of tribal law and
19 determine if the driver is an Indian, subject to the jurisdiction of that law").

20 {18} We agree with the conclusion of the Ninth Circuit Court of Appeals that a state
21 officer has the authority to stop an offending vehicle in Indian country to determine
22 whether the officer has jurisdiction to investigate and enforce violations of the traffic

code. *Patch*, 114 F.3d at 134. In this case, Deputy Charley observed Defendant speeding off-reservation and throwing a clear bottle of yellow liquid out the passenger side window of his vehicle. Accordingly, Deputy Charley had the authority to stop Defendant's vehicle on the Navajo Reservation to determine the scope of his authority to investigate the off-reservation traffic offenses committed in his presence.

2. Scope of a State Officer's Authority to Investigate in Indian Country an Off-Reservation Traffic Offense Committed by an Indian

{19} Having determined that Deputy Charley had the authority to stop Defendant's vehicle in Indian country, we next address the scope of Deputy Charley's authority to investigate the off-reservation traffic offenses committed in his presence. The scope of a state officer's investigative authority in Indian country necessarily is dependent on the scope of the state's criminal jurisdiction, which, in turn, is dependant on two factors: (1) whether the defendant is Indian or non-Indian, and (2) whether the traffic violation occurred inside or outside of Indian country. *See Cohen's, supra*, § 9.07 ("The investigative authority of officers is . . . generally limited to the criminal jurisdiction of their government."). If the defendant is *non-Indian* and the traffic offense was victimless in nature, then the state officer has full authority to conduct a complete criminal investigation, regardless of whether the violation occurred *inside or outside* of Indian country. *See Oliphant v. Suquamish Indian Tribe*, 435 U.S. 191, 212 (1978) ("Indian tribes do not have inherent

1 jurisdiction to try and to punish non-Indians.”), *superseded in part by statute on other*
2 *grounds as stated in United States v. Lara*, 541 U.S. 193, 205-07 (2004). This is
3 because the state has criminal jurisdiction over crimes committed by non-Indians
4 outside of Indian country and victimless crimes committed by non-Indians inside of
5 Indian country. However, if the defendant is *Indian* and the traffic offense occurred
6 *inside* of Indian country, as in *Patch*, then the state officer’s investigative authority
7 is limited to ascertaining the defendant’s identity and detaining the defendant pending
8 the arrival of the proper authorities. *See Patch*, 114 F.3d at 134. This is because the
9 state lacks jurisdiction over crimes committed by Indians inside of Indian country.

10 {20} The more complicated question, and the one presented in this case, is the scope
11 of a state officer’s authority to investigate traffic offenses committed by an *Indian*
12 *outside of Indian country*. As previously explained, the state has jurisdiction over
13 off-reservation crimes committed by Indians. However, a state officer’s investigative
14 authority in Indian country necessarily is limited by tribal sovereignty; i.e., “the right
15 of reservation Indians to make their own laws and be ruled by them.” *Williams v.*
16 *Lee*, 358 U.S. 217, 220 (1959); *see also Nevada v. Hicks*, 533 U.S. 353, 366 (2001)
17 (holding that state jurisdiction to investigate off-reservation crimes in Indian country
18 is not federally preempted, because “[n]othing in the federal statutory scheme
19 prescribes, or even remotely suggests, that state officers cannot enter a reservation
20 (including Indian-fee land) to investigate or prosecute violations of state law

1 occurring off the reservation”). To resolve the issue on appeal, we must determine
2 whether Deputy Charley’s actions, administering field sobriety tests to an Indian
3 driver in Indian country, violated the tribal sovereignty of the Navajo Nation.

4 {21} We begin our analysis with *Benally v. Marcum* (*Benally I*), in which state
5 officers pursued and arrested an Indian driver on the Navajo Nation for off-
6 reservation traffic violations committed in the officers’ presence. 89 N.M. 463, 464-
7 66, 553 P.2d 1270, 1271-73 (1976), *holding limited in part by Benally II*, 119 N.M.
8 at 499, 892 P.2d at 632. This Court noted that, under the Navajo Tribal Code, only
9 Navajo police officers had the authority to apprehend an “Indian [who] has
10 committed a crime outside of Indian Country and is present in the Navajo ‘Indian
11 Country’ and using it as an asylum from prosecution by the state.” *Id.* at 464-65, 553
12 P.2d at 1271-72 (quoting Navajo Tribal Code, tit. 17, § 1001 (1970)). We held that
13 Defendant’s arrest violated the tribal sovereignty of the Navajo Nation “because it
14 circumvented and was contrary to the orderly procedure for extradition from the
15 Navajo Reservation provided for in” the Navajo Tribal Code. *Id.* at 464, 553 P.2d at
16 1271.

17 {22} Our holding in *Benally I* was “based on the existence of a valid procedure for
18 extradition in the Navajo Tribal Code.” *Benally II*, 119 N.M. at 498, 892 P.2d at 631;
19 *see also State v. Yazzie*, 108 N.M. 677, 679, 777 P.2d 916, 918 (Ct. App. 1989)
20 (“*Benally I*” held that when an Indian commits a crime off-reservation, and is located

1 on the reservation, tribal extradition procedures must be followed. If they are not, the
2 arrest is illegal.”). Where a valid extradition procedure exists, the arrest of an Indian
3 on Indian land is illegal, regardless of whether the state officers are in fresh pursuit,
4 *Benally II*, 119 N.M. at 498, 892 P.2d at 631, or whether the state’s “interests are
5 great and a serious crime is involved,” *Yazzie*, 108 N.M. at 679, 777 P.2d at 918.

6 {23} Most courts that have addressed a state officer’s authority to conduct criminal
7 investigations in Indian country also “have found that a determination of whether
8 such an exercise of state authority infringes on tribal sovereignty turns on the
9 existence of a governing tribal procedure.” *State v. Mathews*, 986 P.2d 323, 337
10 (Idaho 1999)³; *see also, e.g., Arizona ex rel. Merrill v. Turtle*, 413 F.2d 683, 686 (9th
11 Cir. 1969) (holding that the State of Arizona lacked the authority to extradite the
12 Indian defendant from Indian country because the Navajo Nation has “codified and
13 does now exercise its extradition power. This power cannot now be assumed by or

14 ³We note that, unlike New Mexico, Idaho has assumed partial criminal
15 jurisdiction over crimes committed by Indians in Indian country pursuant to Public
16 Law 280. 67 Stat. 588 (1953) (permitting states to assume criminal jurisdiction over
17 crimes committed by or against Indians in Indian country, with the consent of the
18 Indian tribe (codified as amended at 25 U.S.C. § 1321(a) (2006)); Idaho Code Ann.
19 § 67-5101 (1963) (assuming criminal jurisdiction over certain offenses). However,
20 *Mathews* involved the off-reservation crime of murder, and “the State of Idaho . . . did
21 not assume jurisdiction over murder crimes or the execution of state court search
22 warrants within Indian country.” 986 P.2d at 334. Accordingly, “the limitations on
23 state criminal jurisdiction over crimes committed within Indian country,” were fully
24 applicable to the Idaho Supreme Court’s analysis in *Mathews*. *Id.* at 335.

1 shared with the State of Arizona without ‘infring(ing) on the right of reservation
2 Indians to make their own laws and be ruled by them.’” (citation omitted)). In the
3 absence of a governing tribal procedure, the exercise of state authority to conduct a
4 criminal investigation in Indian country does not infringe on tribal sovereignty
5 because it does not affect the right of Indians to make their own laws and be ruled by
6 them. *See Mathews*, 986 P.2d at 337 (“We agree with the view that tribal sovereignty
7 is not infringed when a state court issued search warrant is executed within Indian
8 country where the state possesses jurisdiction over the underlying crime and where
9 tribal law does not provide a procedure for executing the warrant within Indian
10 country.”); *LeClair v. Powers*, 632 P.2d 370, 375-76 (Okla. 1981) (holding that the
11 service of state process in Indian country did not interfere “with the self-governing
12 activities of the Indian tribe” because it did not violate any governing provision of the
13 tribal code). *But see State v. Cummings*, 679 N.W.2d 484, 488 (S.D. 2004) (holding
14 that the “State has no jurisdiction to act on the reservations in South Dakota”).

15 {24} The general consensus among our sister states regarding a state officer’s
16 authority to investigate off-reservation crimes in Indian country also is supported by
17 *Hicks*, which held that “[s]tate sovereignty does not end at a reservation’s border,”
18 because “an Indian reservation is considered part of the territory of the State.” 533
19 U.S. at 361-62 (internal quotation marks and citation omitted). The Court noted that,
20 although the states lack jurisdiction to implement substantive criminal laws regulating

1 “on-reservation conduct involving only Indians,” the states retain jurisdiction to
2 execute state criminal process in Indian country for off-reservation crimes. *Id.* at 362-
3 63 (internal quotation marks and citation omitted). The Court broadly defined the
4 term “process” as ““any means used by a court to acquire or exercise its jurisdiction
5 over a person or over specific property.”” *Id.* at 364 (quoting *Black’s Law Dictionary*
6 1084 (5th ed. 1979)). The Court reasoned that “the reservation of state authority to
7 serve process is necessary to prevent [such areas] from becoming an asylum for
8 fugitives from justice.” *Id.* (internal quotation marks and citation omitted).
9 Accordingly, the Court held that

10 tribal authority to regulate state officers in executing process related to
11 the violation, off reservation, of state laws is not essential to tribal self-
12 government or internal relations—to “the right to make laws and be
13 ruled by them.” The State’s interest in execution of process is
14 considerable, and even when it relates to Indian-fee lands it no more
15 impairs the tribe’s self-government than federal enforcement of federal
16 law impairs state government.

17 *Id.*

18 {25} Although the Court’s analysis in *Hicks* focused on the execution of a state
19 search warrant, the term “process” is not limited to warrants or summons, but, rather,
20 encompasses all state criminal process or procedure. *Black’s Law Dictionary* 1325
21 (9th ed. 2004) (“The term process is not limited to summons. In its broadest sense it
22 is equivalent to, or synonymous with, procedure, or proceeding.” (internal quotation
23 marks and citation omitted)). We conclude that field sobriety tests are procedural,

1 rather than substantive, in nature because they are the investigative method by which
2 the state enforces its substantive law prohibiting DWI. *See State ex rel. Gesswein v.*
3 *Galvan*, 100 N.M. 769, 770, 676 P.2d 1334, 1335 (1984) (“It is well settled that a
4 substantive law creates, defines, or regulates rights while procedural law outlines the
5 means for enforcing those rights and obtaining redress.”). Accordingly, pursuant to
6 *Hicks*, Deputy Charley had the authority “to enter a reservation (including Indian-fee
7 lands) for enforcement purposes.” *Hicks*, 533 U.S. at 363.

8 {26} Defendant and Amicus Curiae Santa Ana Pueblo claim that our reliance on
9 *Hicks* is misplaced because the above quoted language constitutes non-binding dicta.
10 Specifically, they claim that the United States Supreme Court’s analysis was joined
11 by only two other justices and was not necessary to the opinion’s holding. We
12 disagree. First, the opinion of the Court was delivered by Justice Scalia and joined
13 by five other Justices—Chief Justice Rehnquist and Justices Ginsburg, Kennedy,
14 Souter, and Thomas. *Id.* at 354. Thus, a majority of the Court joined the analysis
15 regarding state authority to investigate off-reservation crimes committed by Indians
16 in Indian country. Second, although *Hicks* involved “tribal court . . . jurisdiction
17 over civil claims against state officials who entered tribal land to execute a search
18 warrant against a tribe member suspected of having violated state law outside the
19 reservation,” the Court’s analysis of *state criminal investigative jurisdiction* was
20 essential to its holding. *Id.* at 355 (emphasis added). The Court held that the tribal

1 court lacked jurisdiction to adjudicate the Indian plaintiff's civil claim because the
2 tribe lacked jurisdiction to regulate the execution of state criminal process in Indian
3 country for off-reservation crimes. *Id.* at 357-65. Accordingly, we reject Defendant's
4 and Amicus Curiae's claim that *Hicks* is inapplicable to this case.

5 {27} We recognize that the United States Supreme Court's holding in *Hicks* could
6 be construed broadly to suggest that state officers who are investigating off-
7 reservation crimes in Indian country need not comply with governing tribal
8 procedures. However, New Mexico has a unique and venerable tradition of deferring
9 to a "[t]ribal government's exercise of the sovereign power vested in them." *Benally*
10 *I*, 89 N.M. at 467, 553 P.2d at 1274; *see State v. Nysus*, 2001-NMCA-023, ¶ 5, 130
11 N.M. 431, 25 P.3d 270 (noting that "the holding in *Benally I* has been limited by New
12 Mexico case law to apply only to Native Americans illegally arrested on Indian land
13 because of the unique circumstances of tribal sovereignty"); *cf. Garcia v. Gutierrez*,
14 2009-NMSC-044, ¶ 65, 147 N.M. 105, 217 P.3d 591 ("Our state has a long and
15 laudable tradition of comity between state and tribal courts . . ."). In light of this
16 tradition, we conclude that the courts of this state have adopted greater protection for
17 tribal sovereignty as a matter of state law. *See State v. Javier M.*, 2001-NMSC-030,
18 ¶ 24, 131 N.M. 1, 33 P.3d 1 ("[W]hile the federal constitution provides a minimum
19 level of protection below which the states may not descend, states remain free to
20 provide greater protection." (internal quotation marks and citation omitted)); *Cohen's*,

1 *supra*, § 9.07 (noting that “nothing in *Hicks* prevents a state from cooperating with
2 tribal governments by requiring its officials to seek tribal court warrants before
3 conducting searches on tribal land”).

4 {28} The Navajo Nation does not have a tribal procedure governing the
5 administration of field sobriety tests. In the absence of such a codified procedure, we
6 cannot conclude that Deputy Charley’s actions infringed on the right of the Navajo
7 Nation to make its own laws and be ruled by them. As the Court of Appeals correctly
8 observed,

9 Officer Charley scrupulously respected Navajo Nation sovereignty.
10 Officer Charley recognized the limits of his authority and did not arrest
11 Defendant. After concluding that Defendant was driving while
12 intoxicated, Officer Charley was faced with a predicament because he
13 recognized he had no authority to arrest Defendant and because no
14 Navajo police officers were available. Rather than allowing a suspected
15 drunk driver to get back into his vehicle and possibly injure or kill
16 people, Officer Charley allowed Defendant the opportunity to try getting
17 someone else to give him a ride. Learning that no ride was available,
18 Officer Charley allowed Defendant to leave the scene walking. There
19 was no injury to the sovereignty of the Navajo Nation.

20 *Harrison*, 2008-NMCA-107, ¶ 15.

21 {29} In its amicus curiae brief, the Navajo Nation indicates that it is willing to enter
22 into a cross-commission agreement with San Juan County. If such an agreement had
23 existed in this case, then there would be no grounds for this appeal: Deputy Charley
24 would have had legal authority to pursue Defendant into the Navajo Nation, conduct

1 the field investigation, and arrest Defendant in full compliance with the sovereignty
2 of the Navajo Nation. Cross-commission agreements are consistent with this State's
3 venerable tradition of cooperation and comity between state and tribal governments,
4 and we encourage San Juan County to enter into a such an agreement with the Navajo
5 Nation in order to protect the citizens of this State, who reside both on and off the
6 reservation, from the danger of DWI, a problem which transcends borders.

7 **C. Whether Defendant's Detention Ripened into a De Facto Arrest**

8 {30} Lastly, Defendant and Amicus Curiae Santa Ana Pueblo claim that Deputy
9 Charley's detention of Defendant exceeded the bounds of a permissible traffic stop
10 and ripened into a de facto arrest. They argue that this de facto arrest infringed on the
11 sovereignty of the Navajo Nation because it violated the tribe's governing extradition
12 procedures.

13 {31} Under the Fourth Amendment to the United States Constitution, "police
14 officers may stop a person for investigative purposes where, considering the totality
15 of the circumstances, the officers have a reasonable and objective basis for suspecting
16 that particular person is engaged in criminal activity.'" *State v. Werner*, 117 N.M.
17 315, 317, 871 P.2d 971, 973 (1994) (quoting *United States v. Williams*, 962 F.2d
18 1218, 1223 (6th Cir. 1992)). "An officer who makes a valid investigatory stop may
19 briefly detain those he suspects of criminal activity to verify or quell that suspicion."
20 *Id.*

1 New Mexico courts follow the two-part test set forth in *Terry* to analyze
2 the reasonableness of an officer's actions during a traffic stop. *State v.*
3 *Duran*, 2005-NMSC-034, ¶ 23, 138 N.M. 414, 120 P.3d 836. Under
4 *Terry*, "the officer's action [must have been] justified at its inception,
5 and . . . it [must have been] reasonably related in scope to the
6 circumstances which justified the interference in the first place." *Terry*,
7 392 U.S. at 19-20, 88 S. Ct. 1868; *accord Duran*, 2005-NMSC-034, ¶
8 23, 138 N.M. 414, 120 P.3d 836.

9 *State v. Funderburg*, 2008-NMSC-026, ¶ 13, 144 N.M. 37, 183 P.3d 922. "A court
10 should consider both the length of the detention and the manner in which it is carried
11 out when determining whether a lawfully-initiated investigatory detention has become
12 unlawfully extended." *State v. Sewell*, 2009-NMSC-033, ¶ 17, 146 N.M. 428, 211
13 P.3d 885; *see also Funderburg*, 2008-NMSC-026, ¶ 16 ("An officer's continued
14 detention of a suspect may be reasonable if the detention represents a graduated
15 response to the evolving circumstances of the situation.").

16 {32} Deputy Charley initiated the traffic stop in this case, because he observed
17 Defendant speeding and throwing a clear bottle of yellow liquid from his vehicle.
18 After stopping Defendant, Deputy Charley noticed that Defendant had blood-shot,
19 watery eyes and smelled moderately of alcohol. In response to questioning,
20 Defendant admitted that the discarded bottle contained alcohol. Deputy Charley
21 suspected that Defendant had been driving while intoxicated and, therefore,
22 administered a series of field sobriety tests. Based upon Defendant's performance on
23 these tests, Deputy Charley determined that Defendant was impaired by alcohol to the

1 slightest degree. Defendant was free to, and indeed did, leave the scene of the
2 investigation by walking to the home of a nearby relative. Deputy Charley
3 subsequently secured a warrant for Defendant's arrest, which was executed in
4 compliance with Navajo Nation Code procedures.

5 {33} It is undisputed that Deputy Charley had a reasonable and objective basis for
6 suspecting Defendant of criminal activity and, therefore, that the initial stop of
7 Defendant's vehicle was lawful. Although Defendant and Amicus Curiae Santa Ana
8 Pueblo allege that the length and manner of Defendant's detention exceeded that
9 which was necessary for Deputy Charley to quell or verify his initial suspicion of
10 criminal activity, there is no evidence in the record to support this allegation. *See*
11 *State v. Williamson*, 2000-NMCA-068, ¶¶ 5-16, 129 N.M. 387, 9 P.3d 70 (holding
12 that the defendant was not under de facto arrest during a routine traffic stop, despite
13 a brief detention following the administration of field sobriety tests); *Armijo v. State*
14 *Transp. Dep't*, 105 N.M. 771, 773, 737 P.2d 552, 554 (Ct. App. 1987) (holding that
15 the defendant was not under de facto arrest during a routine traffic stop, even though
16 he was "asked to repeat the field sobriety tests and answer questions posed by [a]
17 second officer"). We therefore reject Defendant's claim that his detention ripened
18 into a de facto arrest, which infringed on the sovereignty of the Navajo Nation.

1 **III. CONCLUSION**

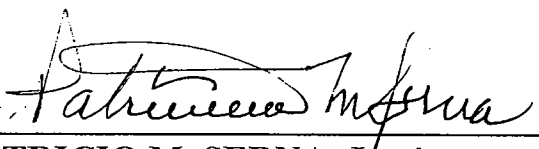
2 {34} We conclude that state officers have the authority to enter Indian country to
3 investigate off-reservation crimes committed in their presence by Indians, so long as
4 the investigation does not infringe on tribal sovereignty by circumventing or
5 contravening a governing tribal procedure. The traffic stop in this case, which
6 included the administration of field sobriety tests, did not circumvent or contravene
7 the Navajo Nation Code and, therefore, did not infringe on the sovereignty of the
8 Navajo Nation. Accordingly, we affirm Defendant's DWI conviction.

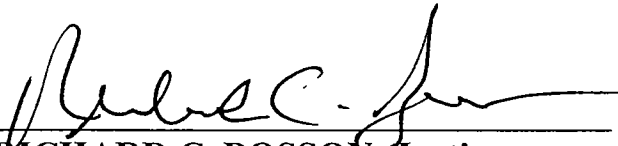
9 {35} **IT IS SO ORDERED.**

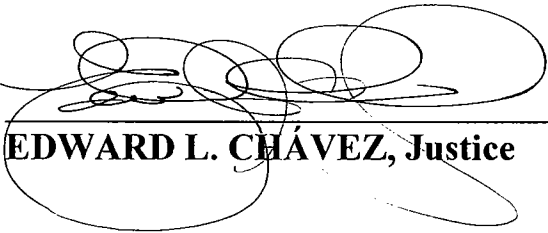
10
11 
PETRA JIMENEZ MAES, Justice

12 **WE CONCUR:**

13 
14 **CHARLES W. DANIELS, Chief Justice**

15 
16 **PATRICIO M. SERNA, Justice**

1 
2 **RICHARD C. BOSSON, Justice**

3 
4 **EDWARD L. CHÁVEZ, Justice**