

1 Dorothy Alther (SBN 140906)  
2 California Indian Legal Services  
3 609 S. Escondido Blvd.  
4 Escondido, CA 92025  
5 760-746-8941  
6 Fax 760-746-1815  
7 dalther@calindian.org

8 Jasmine Andreas (SBN 264437)  
9 California Indian Legal Services  
10 873 N. Main St., Suite 120  
11 Bishop, CA 93514  
12 760-873-3581  
13 Fax 760-873-7461  
14 jandreas@calindian.org

15 Attorneys for Plaintiff  
16 BISHOP PAIUTE TRIBE

17 UNITED STATES DISTRICT COURT  
18 EASTERN DISTRICT OF CALIFORNIA

19 BISHOP PAIUTE TRIBE ) Case No. 1:15-CV-00367--JLT  
20 Plaintiff, )  
21 vs. ) PLAINTIFF’S CONSOLIDATED  
22 INYO COUNTY; WILLIAM LUTZE, Inyo ) OPPOSITION TO DEFENDANTS’  
23 County Sheriff; THOMAS HARDY, Inyo ) MOTIONS TO DISMISS  
24 County District Attorney. )  
25 ) Date: June 2, 2015  
26 Defendants. ) Time: 9:30 A.M.  
27 ) Dept.: To Be Assigned  
28 ) Before: Magistrate Jennifer L. Thurston  
District Judge: To Be Assigned

29 **INTRODUCTION**

30 Defendants, pursuant to FRCP 12(b)(6), filed independent Motions to Dismiss on the  
31 grounds that Plaintiff (“Tribe”)has failed to state claim upon which relief can be granted.  
32

1 The Tribe seeks declaratory relief asking for clarification and settling, as a matter of  
2 federal law, its inherent authority over non-Indian offenders who violate tribal and state law on  
3 tribal lands, as well as, prospective injunctive relief preventing the defendants from further  
4 arrests and prosecutions of its law enforcement officers when exercising tribal authority. As  
5 demonstrated below, each defendant has acted directly or indirectly in creating the current  
6 controversy at the heart of the Tribe’s complaint, and is therefore subject to the Tribe’s request  
7 for equitable relief.  
8

9  
10 **LEGAL ARGUMENT**

11 Under Rule 12(b)(6), “dismissal for failure to state a claim is proper ‘only if it is clear  
12 that no relief could be granted under any set of facts that could be proved consistent with the  
13 allegations.’” *Cervantes v. City of San Diego*, 5 F.3d 1273, 1274 (9th Cir.1993). Rule 12(b)(6)  
14 should be read in conjunction with Rule 8(a), which requires “a short and plain statement of the  
15 claim showing that the pleader is entitled to relief.” 5A Charles A. Wright & Arthur R. Miller,  
16 Federal Practice and Procedure 1355–56 (1990). Moreover, a court “must accept all material  
17 allegations in the complaint as true, and construe them in the light most favorable [to the  
18 plaintiff].” *NL Industries v. Kaplan*, 792 F.2d 896 (9th Cir.1986). The Tribe has met this  
19 standard and the Defendants’ Motions to Dismiss should be denied

20 **A. Inyo County is Subject to Declaratory and Injunctive Relief**  
21 **Because the Inyo County Sheriff was Acting as a County Official and**  
22 **Policymaker When Investigating and Arresting the Tribe’s Law Enforcement**  
23 **Officer in Violation of Established Federal Law.**

24 In consultation with defense counsel, it was agreed that the Tribe’s relief against the  
25 County is limited to the actions of the Inyo County Sheriff, and not the District Attorney—under  
26 federal and state case-law holding that a district attorney carrying out his or her prosecutorial  
27 functions is acting as a state—not county, official. *Bishop Paiute Tribe v. County of Inyo et.al*,

1 275 F.3d 893,908-909 (9th Cir. 2002), (*vacated and remanded on other grounds* 538 U.S. 702  
2 (2003) citing *Pitts v. County of Kern*, 17 Cal.4th 340 (1998).

3 The County moves to dismiss the Tribe’s complaint on the grounds that the County does  
4 not, and cannot, control the actions of the County Sheriff. Because the Sheriff acts within his  
5 discretion and capacity as independent elected official, no relief for his alleged “wrongful” acts  
6 can be obtained from the County. Contrary to the County’s assertion, federal law has established  
7 that pursuant to California law, a sheriff is a “county official” and that a County is liable if the  
8 Sheriff’s actions complained of were taken in his/her capacity as a final County policymaker.  
9

10 In *Bishop Paiute Tribe v. County of Inyo et.al*, supra., the County, much like here, sought  
11 to distance itself from the actions of its, then Sheriff, in an effort to avoid responsibility for his  
12 wrongdoings alleged in the Tribe’s 42 U.S.C. §1983 action. In that case, the Tribe sought  
13 monetary damages, declaratory and injunctive relief from the County, the County Sheriff and  
14 District Attorney for the improper issuance and execution of a search warrant that resulted in the  
15 seizure of tribal documents from the Tribe’s gaming facility located on tribal lands. The court  
16 held that the County *was responsible and liable* for the actions of its Sheriff because he was a  
17 County official and was a final County policy maker at the time the search warrant was obtained  
18 and executed against the Tribe.  
19

20 In reaching its holding the court made a two-part inquiry on when a local government is  
21 liable for an official’s conduct: (1) did the official have final policymaking authority concerning  
22 the action alleged to have caused the particular violation of the federal constitutional, statute or  
23 law; and (2) was the official policymaker acting for the local government for the purposes of the  
24 particular act. Both inquiries require the court to look to the California Constitution, applicable  
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1 statutes, and state case law. *Bishop Paiute Tribe v. Inyo County*, 275 F.3d at 906 citing  
2 *McMillian v. Monroe County*, (Alabama), 520 U.S. 781 (1997).

3 Responding to the first inquiry and after its review of California law, the court  
4 conclusively found, that “[T]here is no doubt that the” Sheriff has “final decision making  
5 authority to obtain and execute search warrants for the County of Inyo.” *Bishop Paiute Tribe v.*  
6 *Inyo County* 275 F.3d at 910.

7  
8 Turning to the second inquiry, the Court followed the analytical framework set out in  
9 *McMillian*, where the Supreme Court, after careful review of the Alabama Constitution and state  
10 law, found the Monroe County Sheriff and Monroe County District Attorney were state officials  
11 and policymakers. The court in *Bishop Paiute Tribe* found that unlike the Alabama Constitution  
12 in *McMillian*, the California Constitution did not designate sheriffs as a member of the executive  
13 branch, but instead they were defined under Article XI, “Local Government”, Section 4 which  
14 provides that the “County Charter shall provide for ... an elected sheriff...” Also, distinguished  
15 from *McMillian*, Article IV, Section 8 of the Constitution does not provide for the impeachment  
16 of a sheriff., Instead, sheriffs are removed from office following the accusations of the County  
17 Grand Jury. California Government Code (“Gov. Code”) §3069. *Id.* at 907.

18  
19  
20 The court also found relevant that under California statutory law, specifically Gov. Code  
21 §24000(a) and (b) it defines Sheriffs as a “County Officers” and that the [County] Board of  
22 Supervisors set their salaries. Gov. Code §25300. Sheriffs must be registered to vote in their  
23 respective counties. Gov. Code § 24001. The county has authority to supervise the sheriff’s  
24 conduct and use of public funds. Gov. Code §25303. Finally, the Court looked at Gov. Code  
25 §26603 which requires sheriffs in California to attend upon and obey state courts within their  
26 county. *Id.* at 907.  
27  
28

1 While the Court acknowledged California Constitutional and statutory provisions  
2 providing for supervisory authority by the Attorney General over sheriffs, it found:

3 However, “supervision by the Attorney General does not alter the status of the sheriff  
4 [and district attorney] as elected county officials.” *Brewster v. County of Shasta*, 112 F.  
5 Supp. 2d 1185, 1190 (E.D. Cal. 2000); See also *People v. Brophy*, 120 P. 2d 946,953  
6 (Cal.Dist.Ct.App.1942) (Noting that constitutional oversight does not “contemplate  
absolute control and direction of such officials ...Especially is this true as to sheriffs and  
district attorneys...” Id. at 907-908.

7 The County further argues that it is specifically prohibited under Gov. Code § 25303  
8 from obstructing the “investigative functions of the sheriff ...” However, when confronted with a  
9 similar argument, the federal court in *Brewster v. County of Shasta*, 112 F. Supp. 2d 1185, 1190  
10 (E.D. Cal. 2000), looked to the California Supreme Court holding in *Dibb v. San Diego County*,  
11 8 Cal. 4th 1200 (Cal. 1994). Drawing from *Dibb* the court found:

12 ... [the Supreme Court] rejected the argument that §25303 limited the county’s  
13 authority to monitor county officials solely to their fiscal conduct. (citation omitted)  
14 Rather the court observed that, under that statute, a county board of supervisors has the  
15 power to ‘supervise the county officials in order to assure that they faithfully perform  
16 their duties.’ The court further explained that ‘the operations of a sheriff’s department  
17 ...and conduct of employees of th[at] department are legitimate concerns of the board of  
18 supervisors.’ The court did not perceive that such general supervisory powers were in  
19 conflict with the obligation of the board not to obstruct the sheriff’s offices  
20 investigative function. *Brewster*, 112 F. Supp. 2d 1189-1190.

21 Both *Brewster* and *Dibb* are cited as support by the 9th Circuit in the *Bishop Paiute Tribe v. Inyo*  
22 *County* case. *Id.* at 907 and 910.

23 As in *Bishop Paiute Tribe*, the County is responsible for the actions of the Sheriff—a  
24 county policymaker—when he violated established federal law by obtaining and executing an  
25 arrest warrant against the Tribe’s law enforcement officer. The County is also responsible for the  
26 Sheriff’s actions as a final policymaker when he issued his January 6, 2014 “Cease and Desist”  
27 order threatening the Tribe, and its law enforcement officers, with future criminal arrests,

1 prosecutions and injunctive action, based on the Tribe’s police officers carrying out their legal  
2 and lawful duties. This “order” demonstrates a patent misunderstanding of federal law and  
3 inherent tribal authority. The “order” does not cite any legal authority, yet seemingly empowers  
4 the Sheriff to determine what is and is not the lawful exercise of tribal police authority over non-  
5 Indians. As a matter of County policy, Inyo County is coextensively liable for its policy, as  
6 expounded in the Sheriff’s “Cease and Desist” order as the Sheriff.  
7

8 The Tribe’s legal action against the County, seeks only to clarify the law, and settle the  
9 ongoing controversy between a County official, its Sheriff—whose policies and actions have and  
10 will continue result in further injury to the Tribe and threaten the peace and security on its  
11 Reservation for tribal and non-tribal members alike.  
12

13  
14 B. The Inyo County Sheriff’s January 6, 2015 “Cease and Desist”  
15 Order Threatening Future Arrests and Prosecutions of Tribal  
16 Officers Violates Established Federal Law and the Tribe’s  
17 Inherent Authority Over Actions Involving Non-Indians.

18 Defendant, William Lutze (Sheriff), moves for dismissal on the grounds that his “Cease  
19 and Desist” order to the Tribe merely placed the Tribe on notice of the illegal actions of its police  
20 officers, who are not California peace officers, and that the “order” was neither unlawful nor  
21 wrongful. The Sheriff’s “order” had no legal authority, made inaccurate statements regarding the  
22 authority of Tribal Officers and federal law. The “order” was transmitted officially, with the sole  
23 intent to intimidate and harass the Tribe, and dissuade its officers from protecting the public.  
24 Given the events that took place the day before the issuance of the “order”, it was a calculated  
25 overreach by a County official.  
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1 The Sheriff's January 6, 2015 "Cease and Desist" order must be read in context. On  
2 January 5, 2015, an arrest warrant had been issued and Sheriff arrested Tribal Officer Daniel  
3 Johnson. Also, on January 5, 2015, Defendant, District Attorney, filed a four count criminal  
4 complaint against Office Johnson in state Superior Court. Reeling from such overtly threatening  
5 conduct, the Tribe then received an order from the Sheriff informing it that more arrests and  
6 prosecutions were forthcoming, unless the Tribe's officers stopped "illegally exercising state  
7 police power under the color of authority of Bishop Paiute tribal law, notwithstanding applicable  
8 federal law." (Complaint, Exhibit 3). The actions taken by the Sheriff and the District Attorney  
9 against Tribal Officer Johnson, coupled with the "Cease and Desist" order from the Sheriff, left  
10 the Tribe with a "Hobson's Choice." Should the Tribe order its Officers not to interact or engage  
11 with non-Indian on the Reservation who are or have committed state criminal offenses, which  
12 threaten community safety, or should it's Officers continue to carry out tribal inherent authority  
13 and federal law and run the risk of being arrested by the Sheriff and prosecuted for false arrest,  
14 impersonating a state officer, and using lawful restraint when necessary?  
15  
16

17  
18 The actions taken by the Defendants, and particularly the "Cease and Desist" order,  
19 compel the Tribe to file this declaratory action. The authority of tribal law enforcement officers  
20 over non-Indians who commit crimes on the Reservation, must clearly be defined in order to  
21 avoid further interference from the Defendants and threatening public safety on the reservation.  
22

23 The Sheriff's January 6, 2015 "order" typifies what is at issue in the Tribe's federal  
24 action. Sheriff Lutze equates tribal officers' on reservation duties of stopping, restraining and  
25 detaining a non-Indian, while conducting an investigation for a violation of state law, as (1)  
26 making an unlawfully arrest that constitutes false imprisonment, and (2) the tribal officer  
27 enforcing state law which he or she are not authorized to do, are therefore guilty of  
28

1 impersonating a state officer. Further, if force used during the restraining or detaining of the non-  
2 Indian, the tribal officers will not be treated as a law enforcement officer carrying out duties  
3 within their scope of employment, but rather as a private individual subject to criminal battery.

4 Statements from the January order (Complaint, Exhibit 3) demonstrate this  
5 misunderstanding:  
6

7 1. “The following documented instances of illegal exercise of law enforcement authority  
8 by Tribal Police include...*illegal detentions, false arrest, battery, illegal searches of persons and  
9 property...*”;

10 2. (In recounting the event on December 24, 2014 the order states) “...Tribal Officer  
11 Daniel Johnson arrested a female for alleged trespass on tribal lands and violation of state  
12 restraining order. ... During Officer’s Johnson’s attempted arrest of the female, he committed  
13 felony battery...”;

14 3. “Therefore. this office orders the Tribal Police immediately (A) cease and desist the  
15 unlawful exercise of California peace officer authority both within and outside the tribal  
16 property. ...”;

17 4. “If Tribal Police does not comply ...Tribal Police employees will be subjected to  
18 arrest and criminal prosecution for applicable charges as well as Penal Code § 538d (Fraudulent  
19 impersonation of a Peace Officer).”

20 The January order further states that the Sheriff’s Office will seek injunctive relief and an order  
21 for court costs and attorney fees, should the Tribe fail to comply with the order. Such assertions  
22 and allegation cannot be squared with the Tribe’s authority and federal law which allows tribal  
23 law enforcement officers to legally take the specified actions, and constitute a subversion of  
24 public safety by Inyo County’s Sheriff.

25 Incongruently, the Sheriff maintains that he was and is not ordering cessation of “lawful  
26 tribal authority.” However, the Tribe and federal law determines “lawful tribal authority” over  
27 non-Indians, not the Inyo County Sheriff, as a policymaker for the County, and the District  
28 Attorney. *National Farmers Union Ins. Cos. v. Crow Tribe*, 471 U.S. 845, 851–52(1985) (This



1 Court has frequently been required to decide questions concerning the extent to which Indian  
2 tribes have retained the power to regulate the affairs of non-Indians. In all of these cases, the  
3 governing rule of decision has been provided by federal law); *Santa Ynez Band of Mission*  
4 *Indians v. Torres*, 262 F.Supp.2d 1038, 1041 (C.D.Cal.2002)(...the tribe's inherent powers must  
5 necessarily arise under federal law, since federal law defines the outer boundaries of an Indian  
6 tribe's power over non-Indians.)

7  
8 Allowing Defendants to determine what is and is not proper tribal authority over non-  
9 Indians, by resorting to state criminal actions against the Tribe's Police officers, directly  
10 infringes on the Tribe's authority as a sovereign government. The practical effect of such a result  
11 is that defendants would be allowed to usurp tribal and federal authority with state authority in  
12 determining what is permissible and impermissible tribal police conduct in cases involving non-  
13 Indians committing state crimes and violations of tribal law on tribal lands. The Sheriff's  
14 Motion to Dismiss should be denied.

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17 C. The Court is not Barred from Granting the Tribe's Declaratory and  
18 Prospective Injunctive Relief Against the Inyo County District Attorney.

19 The District Attorney seeks to dismiss the Tribe's complaint on several grounds: (1) the  
20 complaint is barred by the Eleventh (11<sup>th</sup>) Amendment; and (2) the Court is precluded from  
21 enjoining the current state criminal proceedings under *Younger v. Harris*, 37 U.S. 401 (1971),  
22 and the federal Anti-Injunction Act, 28 U.S.C. § 2283. The District Attorney's arguments are  
23 misplaced and based on a misunderstanding of the relief the Tribe is seeking in its action.

24  
25 After consultation with defense counsel it has become apparent that Defendants are  
26 confused regarding the relief sought by the Tribe. The Tribe is not seeking to enjoin or interfere  
27 with the pending state criminal case against Tribal Officer Daniel Johnson. Had the Tribe sought  
28

1 such relief its complaint would have asked for a permanent injunction against the District  
2 Attorney enjoining his prosecution of Office Johnson. Such permanent injunctive relief  
3 ordinarily is preceded by an immediate request for a Temporary Restraining Order and  
4 Preliminary Injunction pending the outcome of the Tribe's federal lawsuit. The Tribe has not  
5 sought such pre-trial injunctive relief.

6  
7 The Tribe's relief, is proper under 28 U.S.C. § 2201, as a Declaration that the Tribe has  
8 inherent authority, recognized and affirmed under federal law, to stop, restrain, detain,  
9 investigate violations or possible violations of tribal, state or federal law by non-Indians on tribal  
10 lands and to deliver the non-Indian to the proper law enforcement authorities. Once declared, the  
11 Tribe seeks a *prospective* injunction against future criminal charges and prosecution against its  
12 officers when and while exercising their lawful authority. The Tribe's request for a prospective  
13 injunction is proper and a common form of relief under 28 U.S.C. § 2202, which allows:  
14 "Further necessary or proper relief based on a declaratory judgment or decree may be granted,  
15 after reasonable notice and hearing, against any adverse party whose rights have been determined  
16 by such judgment."  
17

18  
19 In light of the clarification of the relief sought by the Tribe, it now turns to District  
20 Attorney's Motion to Dismiss.

21 1. Under the doctrine of *Ex Parte Young*, the 11<sup>th</sup> Amendment does not bar the Tribe's  
22 Declaratory and Prospective Injunctive relief against the Inyo County District Attorney.

23  
24 In support of the District Attorney's argument for dismissal on 11<sup>th</sup> Amendment grounds,  
25 he cites 2 cases in which the plaintiffs sued the county district attorney for monetary damages  
26 under state and/or federal civil rights statutes. *County of Los Angeles v. Superior Court*, 181  
27 Cal.App.4<sup>th</sup> 218 (2<sup>nd</sup> App. Dist. 2009) (plaintiffs sought damages for actions of the district  
28

1 attorney for violation of their civil rights under California Civil Code section 52.1 and 42 U.S.C.  
2 § 1983(“section 1983”); *Pitts v. County of Kern*, 17 Cal.4<sup>th</sup> 340 (1998)(individual whose  
3 convictions for child molestation were reversed on appeal brought actions seeking damages and  
4 attorney fees against the county, the district attorney, the district attorney's employees, and other  
5 defendants, asserting numerous civil rights violations pursuant to section 1983). These cases are  
6 consistent with the well-established rule that the 11<sup>th</sup> Amendment will bar a suit against a state  
7 official sued in his or her official capacity because such a suit is not against the official but rather  
8 against the official’s office and thus no different than a suit against the state itself. *County of Los*  
9 *Angeles v. Superior Court*, 181 Cal.App.4<sup>th</sup> at 233; *Leon v. County of San Diego et. al*, 115 F.  
10 Supp.2d 1197,1200 (S.D. CA. 2000) citing *Will v. Michigan Dept. of State Police*, 491 U.S. 58  
11 (1989). However, these cases are inapposite to the equally well-established rule that the 11<sup>th</sup>  
12 Amendment is *not* a bar to a suit against a state official, including a district attorney, in which the  
13 plaintiff seeks declaratory and prospective injunction relief. *Ex Parte Young*, 209 U.S. 123  
14 (1908).

15  
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17  
18 The *Ex Parte Young* doctrine provides an exception to a state’s and state official’s 11<sup>th</sup>  
19 Amendment immunity defense if the suit is seeking a declaration that a state official is acting in  
20 violation of federal law and must be enjoined from doing so in order to prevent future injury to  
21 the plaintiff and others who are subject the state official’s conduct. “The rule of *Ex Parte Young*  
22 ‘gives new life to the Supremacy Clause’ by providing a pathway to relief from continuing  
23 violations of federal law by a state or its officers.” *Los Angeles County Bar Ass’n. v. Eu*, 979  
24 F.2d 697, 704 (9<sup>th</sup> Cir. 1992), citing *Green v. Mansour*, 474 U.S. 64 (1985).

25  
26 *Ex Parte Young* is directly applicable to and controls the current case. As the Tribe has  
27 explained above, it seeks a declaration that federal law allows its law enforcement officers to  
28

1 stop, restrain, conduct an investigation and detain a non-Indian who has violated tribal, state,  
2 and/or federal law and deliver the non-Indian to local law enforcement authorities. By  
3 prosecuting the Tribe's police officer and the threat of future prosecutions against tribal police  
4 officers for their actions while detaining a non-Indian on the Reservation, who is or has violated  
5 tribal and state law, the District Attorney is violating the Tribe's inherent authority and federal  
6 law over non-Indians.

7  
8 Knowing that the current prosecution cannot be enjoined or interfered with, the Tribe's  
9 declaratory action merely seeks to protect its tribal officers from future arrest and prosecution by  
10 clarifying and affirming the Tribe's authority over non-Indians. The Tribe seeks only  
11 prospective relief in this case and as such the 11<sup>th</sup> Amendment presents no barrier to the Tribe's  
12 request for declaratory and prospective injunctive relief against the District Attorney in his  
13 official capacity in order to prevent continued violations of federal law in the future.

14  
15 2. The *Younger v. Harris* abstention is not applicable to the Tribe's case and is not a  
16 basis for dismissal.

17 Relying on *Younger v. Harris*, 37 U.S. 401 (1971), the District Attorney argues that the  
18 Tribe's case must dismiss because the court is precluded from exercising equitable jurisdiction to  
19 enjoin ongoing state criminal case against Tribal Officer Johnson. Because the Tribe is not  
20 seeking to enjoin any ongoing state criminal action, abstention under *Younger* is not applicable  
21 and cannot be used as a basis for dismissal.

22  
23 The *Younger* doctrine is also not applicable to the current case since because the Tribe is  
24 not a party to the ongoing state criminal proceeding. In *Younger*, the plaintiff, while being  
25 criminal prosecuted in the state, simultaneously filed a federal action seeking to enjoin the state  
26 prosecution on the ground that the state's criminal syndicalism law which he was being  
27

1 prosecuted under, was unconstitutional. The Supreme Court held that equitable relief was  
2 unwarranted since the state proceeding was ongoing at the time the plaintiff filed his federal  
3 action, he could have raised his constitutional objection during his prosecution and there was no  
4 showing that the prosecution was brought in bad faith. The policy considerations underlying the  
5 Court's holding are grounded in principles of judicial economy, as well as, comity and the proper  
6 state-federal relationship. *Green v. City of Tucson*, 255 F.3d 1086, (9<sup>th</sup> Cir. 2001)(*overruled in*  
7 *part on other grounds by Gilbertson v. Albright*, 381 F.3d 965 (9<sup>th</sup> Cir. 2004))

9 Although the *Younger* doctrine has been expanded over the years to cover civil and  
10 administrative enforcement actions and requests for declaratory relief ( *Huffman v. Pursue, Ltd.*  
11 420 U.S. 529 (1975); *Samuels v. Mackell*, 401 U.S. 66 (1971)), federal courts remain reluctant to  
12 extend the doctrine to cases in which the federal plaintiff is not a party to the ongoing state  
13 proceeding. As the 9<sup>th</sup> Circuit found in *Vasquez v. Rackauckas*, 734 F.3d 1025, (2013),

15 'usually, federal plaintiffs who are not also parties to pending litigation in state court may  
16 proceed with their federal litigation' without being barred under *Younger*. Citing *Green v.*  
17 *City of Tucson*, 255 F.3d 1086, 1099 (9<sup>th</sup> Cir. 2001) Only under 'quite limited  
18 circumstances' may *Younger* 'oust a district court of jurisdiction over a case where the  
19 plaintiff is not a party to an ongoing state proceeding.' *Green v. City of Tucson*, 255 F.3d  
20 at 1100. 'Such circumstances are present only when a federal plaintiff's interests are 'so  
intertwined with those of the state court party that ... interference with the state court  
proceeding is inevitable.'" supra. at 1035.

21 On the issue of "intertwined interests" sufficient to bar a federal plaintiff's action who is  
22 not a party to the ongoing state proceedings, the 9<sup>th</sup> Circuit Court has stated stressed that:  
23 "Younger is a circumscribed exception to mandatory federal jurisdiction; it is not intended to cut  
24 a broad swath through the fabric of federal jurisdiction relegating parties to state court litigation  
25 whenever state litigation could resolve a federal question." *Green v. City of Tucson*, 255 F.3d  
26 1099. "Congruence of interests is not enough, nor is the identity of [legal] counsel...", to invoke  
27

1 abstention. *Id.* at 1100. “Litigation in another case that presents ‘essentially identical’ interests  
2 to those of the [federal] plaintiff is not sufficient to bar a separate plaintiff pursuing his own  
3 lawsuit.” *Richards v. Jefferson County*, 517 U.S. 793,796 (1996). Further, the fact that a federal  
4 plaintiff could have intervened in the state ongoing proceedings to assert his or her claim but did  
5 not, is insufficient to abstain under *Younger*. *Id.* at 800. Common legal counsel and similar  
6 business activities and problems between the state party and the federal plaintiff are insufficient  
7 to bar the federal plaintiff’s action. *Doran v. Salem Inn, Inc.*, 422 U.S. 922, 928 (1975). See  
8 also, *Steffel v. Thompson*, 415 U.S. 452 (1974)(two protesters who were both warned of arrest if  
9 they continued engaging in handbilling, one proceeded and was prosecuted and the other one  
10 was not but feared prosecution. The latter was not barred under *Younger* from filing a federal  
11 action for declaratory relief challenging the law prohibiting protesting through handbills in  
12 designated areas); *Women’s Services et.al. v. Douglas*, 653 F.3d 355 (8<sup>th</sup> Cir. 1981)(“Federal  
13 court was not required to abstain from considering challenge brought by physician and  
14 professional corporation to certain state abortion statutes, even though another physician  
15 employed by the professional corporation was facing state prosecution for violating this  
16 statute”); *Wooley v. Maynard*, 430 U.S. 705 (1977)(where a plaintiff is seeking wholly  
17 prospective relief from enforcement that would not interfere with an ongoing state proceeding,  
18 *Younger* abstention is not appropriate.)

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22  
23 The current case does meet the requirements or trigger the policy considerations for  
24 application of *Younger* abstention. The Tribe is not seeking to enjoin or interfere in Officer  
25 Johnson’s criminal case. The Tribe is not a party to the current ongoing state criminal proceeding  
26 nor is the Tribe’s interests so “intertwined” with those of defendant Officer Johnson that granting  
27 the Tribe declaratory relief will inevitably interfere with the state court’s proceeding. The  
28

1 ultimate outcome and result of the criminal case against Officer Johnson will be limited to the  
2 facts of that case and have no direct or controlling impact on the Tribe or its other law  
3 enforcement officers. While the Tribe is certainly interested in Officer Johnson's criminal case,  
4 the Tribe cannot become a party to such criminal proceedings in order to raise its tribal interests  
5 in protecting its inherent authority. As found by both Supreme Court and the 9<sup>th</sup> Circuit, "absent  
6 extraordinary circumstance, each plaintiff is entitled to his own day court and that therefore the  
7 mere existence of litigation brought by other parties with similar interests does not bar a plaintiff  
8 from pursuing his own litigation." *Green v. City of Tucson*, 255 F.3d 1086, 1100 (9th Cir.2001),  
9 citing *Richards v. Jefferson County*, 517 U.S. 793 (1996).

11 3. The Anti-Injunction Act is not applicable to the Tribe's case and is not a basis for  
12 dismissal.

13 The District Attorney provides little substantive argument to his defense that the Tribe's  
14 case must be dismissed because the Anti-Injunction Act (AIA), 28 U.S.C. § 2283, except to state  
15 that the AIA bars a federal court from granting an injunction to stay proceedings in state court  
16 and that the AIA applies to declaratory judgments if those judgments have the same effect as an  
17 injunction. As discussed at length above, the Tribe is not seeking to enjoin the pending criminal  
18 prosecution of Office Johnson. The Tribe's request for injunctive relief is *prospective* and  
19 intended to prevent future arrests and prosecutions its law enforcement officers who are  
20 authorized to restrain and detain non-Indians who have or are committing a crime on the  
21 Reservation. Further, the District Attorney has proffered no factual argument, and the Tribe fails  
22 to see any which would support a claim that its declaratory relief, if grant, will have essentially  
23 the same impact and result as this court ordering a stay enjoining the current prosecution of  
24 Officer Johnson.  
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1 Finally, the Supreme Court has found that the AIA and its predecessors “do not preclude  
2 injunctions against the institution of state court proceedings, but only bar stays of suits already  
3 instituted. See *Ex parte Young*, supra.” *Dombrowski v. Pfister*, 380 U.S. 479, 484, FN 2 (1965)

4 **CONCLUSION**

5 Defendants have failed to establish that the Tribe’s case must be dismissed for failure to  
6 state a claim. The Tribe’s complaint has set forth sufficient factual and legal grounds  
7 establishing that defendants, by and through their actions, violated and threaten to continue to  
8 violate the Tribe’s inherent authority and federal law over non-Indians committing crimes and  
9 violating tribal law on tribal lands.  
10

11 The Tribe has demonstrated that the Inyo County Sheriff is a County official with final  
12 policymaking authority, thereby making the County responsible for the Sheriff’s actions in  
13 arresting the Tribe’s police officer and for issuing his “Cease and Desist” order on January 6,  
14 2015. The Sheriff’s “Cease and Desist” order legally mischaracterizes the actions taken by the  
15 Tribe’s police officers as being violations of state law and that they are impersonating state  
16 officers, which has caused the Tribe to seek declaratory and prospective relief from the court.  
17 Finally, neither the Eleventh Amendment, the *Younger v. Harris* abstention doctrine nor the  
18 Anti-Injunction Act bars the Tribe’s action against the District Attorney. The Tribe is not  
19 seeking a stay to enjoin the pending criminal proceedings against Tribal Police Officer Daniel  
20 Johnson, its injunctive relief is for prospective relief and its declaratory relief will not interfere  
21 with or have the effect of an injunction on the pending criminal case.  
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25 Defendants’ Motions to Dismiss should be denied.  
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28



1 DATE May 19, 2015

CALIFORNIA INDIAN LEGAL SERVICES

2  
3  
4 By: /s/ Dorothy Alther

Dorothy Alther

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6 /s/ Jasmine Andreas

Jasmine Andreas

7 Attorneys for the Plaintiff  
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