

**Frequently Asked Questions
Bureau of Indian Affairs
Final Rule: Indian Child Welfare Act (ICWA) Proceedings**

General.....	3
What is this rule?.....	3
What does this rule do?.....	3
What is ICWA?.....	3
What does ICWA do?	3
Why is this rule needed now?	3
How will this rule help Indian children?.....	4
How will this rule help Indian families faced with involuntary removal of their children?	4
How will this rule help Indian families who wish to voluntarily put their children up for foster care or adoption?	4
How will this rule help Tribes?	4
How will this rule help State courts and State child-welfare agencies?.....	4
How will this rule help foster and adoptive parents?	5
Applicability	5
Who is subject to ICWA?	5
What if I don't want ICWA to apply to me?.....	5
Rule Specifics.....	5
Where will the rule be located?.....	5
What, specifically, does this rule do?.....	5
How does the rule clarify ICWA applicability?.....	6
How does the rule address the so-called “existing Indian family (EIF)” exception?.....	6
How does the rule emphasize the importance of early inquiry?	6
What are the rule’s requirements for emergency proceedings?	6
What are the rule’s requirements for notice?	6
What are the rule’s requirements for transferring child-custody proceedings to Tribal court?	7
What are the rule’s requirements for qualified expert witnesses?.....	7
Does the rule include the proposed rule’s list of qualified expert witnesses?.....	7
Does the qualified expert witness have to have knowledge of Tribal culture?.....	7
How do I find a qualified expert witness with knowledge of the Tribe’s social and cultural standards?	7
Are there any other limits on who can serve as a qualified expert witness?.....	7
What are the rule’s requirements for placement preferences?	8
Does the rule allow State courts to depart from the placement preferences if a child has bonded with a non-preferred placement?.....	8
What if no preferred placements are available?	8
How does the rule clarify ICWA’s applicability to voluntary proceedings?	8
Does the rule require notifying Tribes and extended family of voluntary adoptions?	8
How does the rule protect a birth parent’s privacy in voluntary proceedings?	8
Does this rule affect a parent’s right to choose who adopts their child in voluntary adoptions?	8
Effective Date.....	9
When is this rule effective?.....	9

If a child custody proceeding is already underway on the effective date of this rule, does the rule apply to the proceeding?	9
BIA Expertise	9
What role does ICWA provide for the Bureau of Indian Affairs (BIA)?.....	9
Implementation	10
Will BIA be offering any training to State courts and agencies?	10
How does this rule affect ICWA funding to Tribes?	10
Further Information	10
Who can I contact for more information about this rule?	10
Statute's Basics.....	10
Tribal Jurisdiction Over Decisions for Their Indian Children	10
Minimum Federal Standards for Removal of Indian Children	10
Preferences for Placement of Indian Children	11
Protections in Voluntary Foster Care and Adoptions.....	11

General

What is this rule?

This rule implements the Indian Child Welfare Act (ICWA), which Congress enacted in 1978.

What does this rule do?

This rule incorporates child-welfare best practices and promotes uniformity in State ICWA proceedings—no matter the child welfare worker, judge, or state handling the case—while still taking into account the unique circumstances of each child.

What is ICWA?

ICWA is a statute passed by Congress to address the agency policies and practices that resulted in the wholesale separation of Indian children from their families.

- Agencies were removing Indian children from their families at a disproportionately high rate and placing the children in non-Indian foster and adoptive homes.
- Congress determined that cultural ignorance and biases within the child welfare system were significant causes of this problem.
- Congress recognized that it is in the best interest of the child to maintain Tribal connections and that children are vital to Tribes' continued existence, and enacted ICWA to "protect the best interests of Indian children and to promote the stability and security of Indian Tribes and families."

What does ICWA do?

ICWA governs State child-custody proceedings in multiple ways, including: (1) by recognizing Tribal jurisdiction over decisions for their Indian children; (2) by establishing minimum Federal standards for the removal of Indian children from their families; (3) by establishing preferences for placement of Indian children with extended family or other listed foster or adoptive homes; and (4) by instituting protections to ensure that birth parents' voluntary relinquishments of their children are truly voluntary. (See "Statute's Basics" later in this list for additional information).

Why is this rule needed now?

While ICWA has been in place since 1978, compliance with ICWA has been inconsistent across, and even within, States. As a result, many of the issues that ICWA was intended to address continue to exist today:

- Native American children are still disproportionately more likely to be removed from their homes and communities than other children. Indian families continue to be broken up by the removal of their children by non-Tribal public and private agencies. Nationwide, based on 2013 data, Native American children are represented in State foster care at a rate 2.5 times their presence in the general population.¹

¹ *National Council of Juvenile and Family Court Judges, Disproportionality Rates for Children of Color in Foster Care tbl. 1 (June 2015).*

- This final rule promotes the uniform application of an important Federal law to protect the rights of Indian children, their parents, and their Tribes, regardless of the child welfare worker, judge, or State involved. In some States, Native American children are represented in State foster-care systems at rates as high as 14.8 times their presence in the general population of that State.²

How will this rule help Indian children?

The rule includes several protections for Indian children that reflect the “gold standard” in child welfare practices. The rule promotes stability for the child by requiring early inquiry as to whether ICWA applies, by minimizing unnecessary separation of children and families, and by maximizing early placements of the child with extended family and other preferred placements. The rule also incorporates the best practice in child welfare of favoring extended family placements, including placement within a child’s broader kinship community, and placement with siblings (regardless of whether they are also “Indian children”).

How will this rule help Indian families faced with involuntary removal of their children?

The rule will help Indian families by ensuring that they receive prompt notice of involuntary proceedings to allow them to participate in protection of their rights. The rule ensures that agencies take active efforts to prevent involuntary removal and to reunify the family. The rule also helps to minimize unnecessary separation of children and families and ensures that emergency placements without the full suite of protections in ICWA are limited in duration.

How will this rule help Indian families who wish to voluntarily put their children up for foster care or adoption?

This rule ensures that parents who choose to put their children up for foster care or adoption do so of their own free will, without threat of removal by a State agency. The rule requires parents’ consent to be in writing before a court with certain contents and requires the court to explain the terms and consequences to the parents and certify that the parents understood.

How will this rule help Tribes?

This rule will help Tribal government agencies by ensuring that they receive prompt notice of involuntary proceedings to allow them to exercise their jurisdiction and other rights and help identify preferred placements. The rule also encourages courts to allow alternative methods of participation in State child-custody proceedings, in recognition that Tribes may not have the capability to appear in person at multiple proceedings across the country. Tribes, like other governments, have a sovereign interest in the welfare of their citizens, and in particular, their children.

How will this rule help State courts and State child-welfare agencies?

This rule provides more certainty for State courts and State child-welfare agencies regarding how to comply with ICWA. The rule addresses everything from initially determining whether the Tribe or State (or both) have jurisdiction over the proceeding, to what recordkeeping is required after closing an ICWA proceeding. The rule will help State courts and agencies to identify whether ICWA applies, and if so, which provisions of ICWA apply to the

² *Id.*

type of proceeding at hand, helps clarify Federal interpretations of ICWA terms and requirements, and establishes parameters for exercising discretion in the application of ICWA's standards.

How will this rule help foster and adoptive parents?

This rule will help foster and adoptive parents who are non-preferred placements by minimizing late discoveries that ICWA applies. The rule will help foster and adoptive parents who are preferred placements by promoting their early identification as preferred placements.

Applicability

Who is subject to ICWA?

ICWA applies to any State child-custody proceeding involving an "Indian child," based on the child's political affiliation with the Tribe. A child is an "Indian child" only if:

- (1) The child is himself or herself a member of a federally recognized Tribe; or
- (2) The child's parent is a member of a federally recognized Tribe and the child is eligible for membership.

What if I don't want ICWA to apply to me?

ICWA applies only if the child or parent is a citizen of a federally recognized Tribe. Just like a citizen of France may renounce their citizenship, parents may choose to renounce their Tribal citizenship in which case ICWA would not apply if that child is not already a Tribal citizen.

Does ICWA allow Tribes to "claim" children?

No. ICWA applies only to children who are citizens of a federally recognized Tribe, or who are eligible for citizenship and the child's parent is a citizen of the Tribe.

Rule Specifics

Where will the rule be located?

The rule will be located at 25 CFR 23. The bulk of the rule will be in a new subpart I within 25 CFR 23.

What, specifically, does this rule do?

Provisions in the new rule:

- Clarify ICWA's applicability.
- Require State courts to ask, in every child custody proceeding, whether the Act applies.
- Establish limits on the duration of emergency placements before full ICWA rights are afforded to parents or Indian custodians and Tribes.
- Require notice to the parents and Tribe of involuntary proceedings.
- Clarify the procedures for transfer to a Tribal court and establish parameters of what is "good cause" to deny transfer.

- Clarify who may serve as a qualified expert witness.
- Clarify when placement preferences apply and what placement preferences apply in foster care, preadoptive, and adoptive placements, and establish parameters of what is “good cause” to depart from the placement preferences.
- Clarify requirements for voluntary proceedings.
- Confirm adult adoptees’ rights to information about their Tribal affiliation.
- Identify records States and BIA must maintain regarding implementation of ICWA.
- Highlight the statutory right to invalidate an action taken in violation of ICWA.

How does the rule clarify ICWA applicability?

The rule clarifies that ICWA applies to any child custody proceeding involving an “Indian child,” as that term is defined by the Act. Generally, ICWA applies to any Indian child custody proceeding, any emergency proceeding involving an Indian child, and any proceeding involving status offenses resulting in the need for out-of-home placement of the child.

How does the rule address the so-called “existing Indian family (EIF)” exception?

The final rule clarifies that ICWA applies whenever an “Indian child” is involved in a child-custody proceeding and codifies that State courts may not rely on certain listed factors (the factors a minority of courts continue to use in the EIF exception) as the basis for excepting a case from ICWA’s applicability.

How does the rule emphasize the importance of early inquiry?

The rule requires State courts to ask each participant in an emergency or voluntary or involuntary child-custody proceeding, whether the participant knows or has “reason to know” that the child is an Indian child. The rule also lists what factors indicate a “reason to know” a child is an “Indian child” as that term is defined by the Act.

What are the rule’s requirements for emergency proceedings?

The rule reinforces that emergency removals and emergency placements should occur only in limited circumstances (when there is imminent physical damage or harm to the child). The rule does not define “imminent physical damage or harm” and leaves interpretation open to emotional or mental harm that is imminent.

The rule also provides that emergency placements should be of a limited duration. Under the rule, when an emergency removal/placement has occurred, the emergency removal/placement may not last more than 30 days unless:

- (1) Restoring the child to the parent or Indian custodian would subject the child to imminent physical damage or harm; and
- (2) The court cannot transfer the child to the jurisdiction of the appropriate Indian Tribe; and
- (3) It is not possible to initiate a “child custody proceeding” subject to ICWA’s notice and other requirements.

What are the rule’s requirements for notice?

The rule incorporates the statute's requirements for notice in involuntary proceedings, requiring the party seeking placement to provide notice to the Tribe and parent or Indian custodian. The rule affords some flexibility by allowing notice by certified mail with return receipt requested, in lieu of registered mail with return receipt requested.

What are the rule's requirements for transferring child-custody proceedings to Tribal court?

The rule reinforces that, where the Tribe's jurisdiction and State's jurisdiction are concurrent, the State court must transfer the proceeding to the Tribe, absent good cause to the contrary, upon the request of the Tribe or parent/Indian custodian. The rule establishes parameters on what may be considered "good cause" to deny transfer, by establishing that the State court must not consider:

- Whether the foster-care or termination of parental rights proceeding is at an advanced stage if the parent, Indian custodian, or Indian child's Tribe did not receive notice of the proceeding until an advanced stage;
- Whether there have been prior proceedings involving the child for which no petition to transfer was filed;
- Whether transfer could result in a change in the placement of the child;
- The Indian child's cultural connections with the Tribe or reservation; or
- Socio-economic conditions or any negative perception of Tribal or BIA social services or judicial systems.

What are the rule's requirements for qualified expert witnesses?

The rule reinforces that the State court's order for foster care placement or termination of parental rights must be based upon evidence supported by the testimony of one or more qualified expert witnesses. The rule establishes that the qualified expert witness should have specific knowledge of the prevailing social and cultural standards of the Indian child's Tribe.

Does the rule include the proposed rule's list of qualified expert witnesses?

No. The final rule does not include the proposed rule's list of qualified expert witnesses; rather, it focuses on the fact that a qualified expert witness must be qualified to testify as to whether continued custody by the parent or Indian custodian is likely to result in serious emotional or physical damage to the child.

Does the qualified expert witness have to have knowledge of Tribal culture?

The final rule states that the qualified expert witness should have knowledge of the prevailing social and cultural standards of the Indian child's Tribe.

How do I find a qualified expert witness with knowledge of the Tribe's social and cultural standards?

The final rule provides that the Indian child's Tribe may designate a person as having knowledge of the prevailing social and cultural standards of the Tribe and that the court or any party may request the assistance of the Indian child's Tribe or the BIA office serving the Indian child's Tribe in locating persons qualified to serve as expert witnesses.

Are there any other limits on who can serve as a qualified expert witness?

Yes, the final rule prohibits the social worker regularly assigned to the Indian child from serving as a qualified expert witness in child-custody proceedings concerning the child.

What are the rule's requirements for placement preferences?

In any foster care, preadoptive, or adoptive placement of an Indian child, where the Indian child's Tribe has not established a different order of preference, preference must be given to placement of the child with the listed homes.

Does the rule allow State courts to depart from the placement preferences if a child has bonded with a non-preferred placement?

The rule establishes what may constitute good cause to depart from the placement preferences, and explicitly prohibits courts from relying solely on ordinary bonding or attachment that flowed from time spent in a non-preferred placement that was made in violation of ICWA. The child is best served by identifying, as early as possible, whether ICWA applies, and complying with ICWA's requirements to ensure initial placement with any available preferred placement.

What if no preferred placements are available?

If no suitable preferred placements are available, then a State court may find good cause to depart from the placement preferences, but only if it determines that a diligent search was conducted to find suitable placements meeting the preference criteria, but none has been located.

How does the rule clarify ICWA's applicability to voluntary proceedings?

The rule clarifies ICWA's applicability to voluntary proceedings by excluding voluntary placements in which the parent or Indian custodian may have the child returned upon verbal request without any formalities or contingencies. For all other voluntary placements, the rule requires a determination of whether the child is an "Indian child" and, if so, requires compliance with the placement preferences.

Does the rule require notifying Tribes and extended family of voluntary adoptions?

No. The final rule does not include the proposed rule's provisions that would have required agencies to notify a birth parent's extended family of a pending adoption. The final rule does require taking all reasonable steps to verify the child's status, if there is a reason to know the child is an Indian child. This may include contacting the Tribe of which it is believed the child is a member (or eligible for membership and of which the biological parent is a member) to verify the child's status.

How does the rule protect a birth parent's privacy in voluntary proceedings?

The final rule includes several protections to ensure confidentiality. Among these are the following:

- With regard to inquiry and verification of the Indian child's status and identifying preferred placements, the final rule provides that, where a consenting parent requests anonymity, both the State court and Tribe must keep relevant documents and information confidential. *See* FR § 23.107(d).
- With regard to a parent or Indian custodian's consent to a placement or termination of parental rights, the final rule provides that, where confidentiality is requested or indicated, the parent or Indian custodian does not need to execute the consent in a session of court that is open to the public. *See* FR § 23.125(d).

Does this rule affect a parent's right to choose who adopts their child in voluntary adoptions?

No. Parents may choose adoptive parents as long as they attest that they have reviewed the placement options, if any, that comply with ICWA's order of preference.

Effective Date

When is this rule effective?

The final rule is effective 180 days after its publication in the Federal Register.

If a child custody proceeding is already underway on the effective date of this rule, does the rule apply to the proceeding?

The rule will apply to all proceedings that begin on or after the date the rule becomes effective.

BIA Expertise

What role does ICWA provide for the Bureau of Indian Affairs (BIA)?

ICWA authorizes the Secretary of the Interior the authority to promulgate implementing regulations. The Secretary has delegated this authority down to BIA.

What expertise does BIA have in child welfare issues?

BIA has significant expertise in ICWA and child welfare issues and administers ICWA in many ways (see list below). BIA's Office of Indian Services, through its Division of Human Services employs a team of child protection social workers and employs an ICWA Policy Social Worker who serves as the central BIA expert and liaison on ICWA matters. Tribal and Department caseworkers are the first responders for child and family services on reservations in Indian country—this requires social-service workers to frequently engage families through face-to-face contacts, assess the safety of children, monitor case progress, and ensure that essential services and support are provided to the child and her family. This experience is critical toward understanding the areas where ICWA is or is not working at the State level, as well as the necessary standards to address ongoing problems.

The Department has also consulted extensively with the Children's Bureau of the Administration for Children and Families, Department of Health and Human Services, and the Department of Justice to produce a final rule that reflects the expertise of all three agencies.

How does BIA currently administer ICWA?

BIA administers ICWA in many ways. Among them are, that BIA:

- Collects information from Tribes on their ICWA activities for the Indian Child Welfare Quarterly and Annual Report,
- Ensures that ICWA processes and resources are in place to facilitate implementation of ICWA,
- Administers the notice process under Section 1912 of the Act,
- Publishes a nationwide contact list of Tribally designated ICWA agents for service of notice,
- Administers ICWA grants,
- Maintains a central file of adoption records under ICWA,

- Provides technical assistance to State social workers and courts on ICWA and Indian child welfare in general, including but not limited to assisting in locating expert witnesses and identifying language interpreters,
- Is a significant Federal funding source for Indian child-welfare programs run by Tribes.

Implementation

Will BIA be offering any training to State courts and agencies?

BIA plans to offer both on-line training modules and in-person training at regional locations for State courts and agencies on the rule.

How does this rule affect ICWA funding to Tribes?

This rule has no effect on ICWA funding to Tribes or other entities.

Further Information

Who can I contact for more information about this rule?

For questions regarding the regulation and its development, please contact Elizabeth K. Appel, Director, Office of Regulatory Affairs & Collaborative Action – Indian Affairs, Elizabeth.appel@bia.gov, (202) 273-4680.

For questions regarding implementation of this regulation, please contact Debra Burton, Indian Child Welfare Specialist, Office of Indian Services, Bureau of Indian Affairs, Debbie.burton@bia.gov, (202) 513-7610.

Statute's Basics

Tribal Jurisdiction Over Decisions for Their Indian Children

ICWA recognizes that Tribes have exclusive jurisdiction over child-custody proceedings in certain instances: specifically, where the child is domiciled or resides on the reservation, or the child is a ward of Tribal court. In all other cases, the Tribe's jurisdiction over the Indian child custody proceeding is concurrent with that of the State, and ICWA provides that, if the Tribe or parent/Indian custodian requests transfer of the proceeding to Tribal court, the State court *must* transfer the proceeding, in the absence of good cause to the contrary.

Minimum Federal Standards for Removal of Indian Children

ICWA's minimum Federal standards embody the best practices in child welfare of allowing families to remain together or be reunified. Specifically, ICWA:

- Limits emergency removals and emergency placements in use and in time;
- Requires notice to be given to Tribes and parents or Indian custodians of any involuntary foster care, preadoptive, or adoptive placement or termination of parental rights;
- Provides time for the Tribes and parents or Indian custodians to prepare for the proceeding;
- Requires qualified expert witnesses to testify at involuntary Indian child custody proceedings; and

- Establishes a clear and convincing evidentiary standard for involuntary foster care placement and beyond a reasonable doubt evidentiary standard for involuntary termination of parental rights.

Preferences for Placement of Indian Children

ICWA encourages kinship and community placements by requiring that Indian children be placed in preferred foster homes and adoptive homes (i.e., in accordance with placement preferences), in the absence of good cause to the contrary.

Protections in Voluntary Foster Care and Adoptions

ICWA imposes safeguards to ensure a parent's consent to removal and placement is truly voluntary.