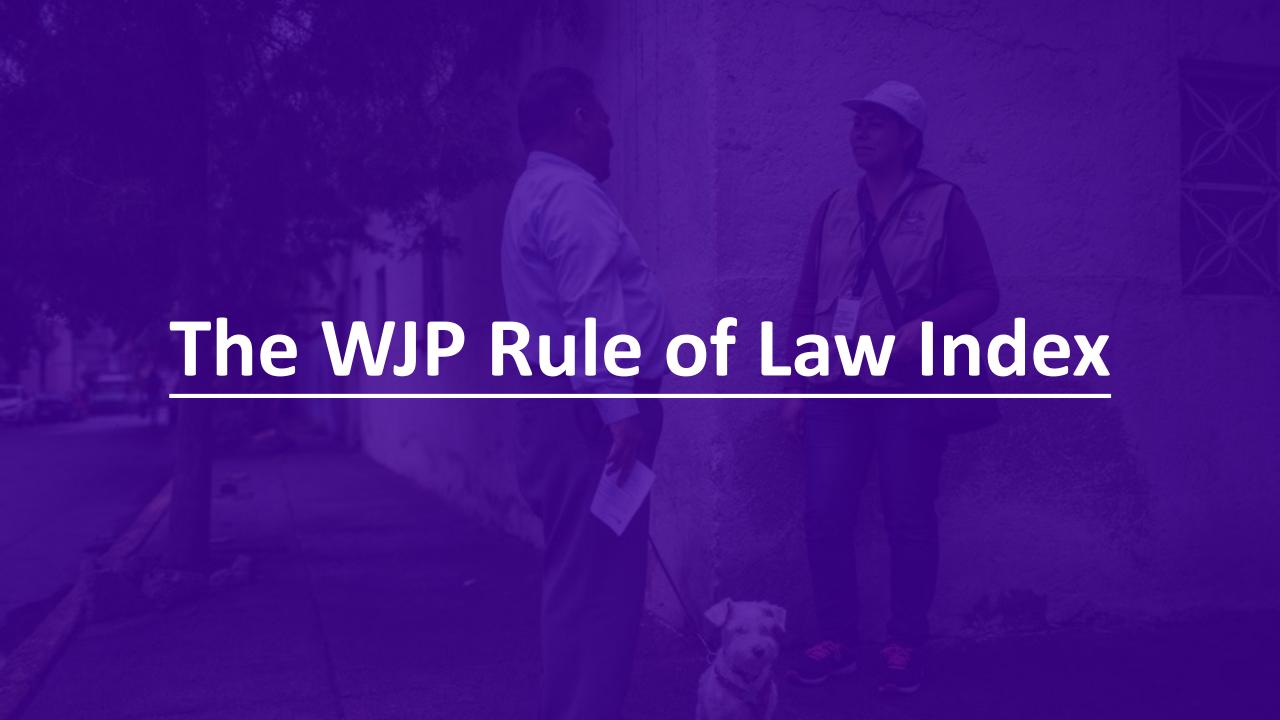


**Dr. Alejandro Ponce**November 2018



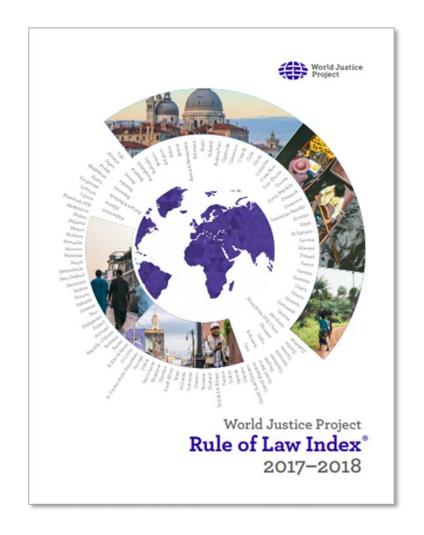


### The WJP Rule of Law Index in a Nutshell

The Rule of Law Index is a quantitative assessment tool designed to offer a comprehensive picture of the extent to which countries adhere to the rule of law in practice.

#### Contribution:

- 1. Comprehensive definition of rule of law
- 2. Quantification of rule of law outcomes obtained from more than 500 individual questions
- 3. New data





## **WJP Rule of Law Index**

#### Factors of the Rule of Law



Constraints on Government Powers



Absence of Corruption



Open Government



Fundamental Rights



Order and Security



Regulatory Enforcement



Civil Justice



Criminal Justice



Informal Justice



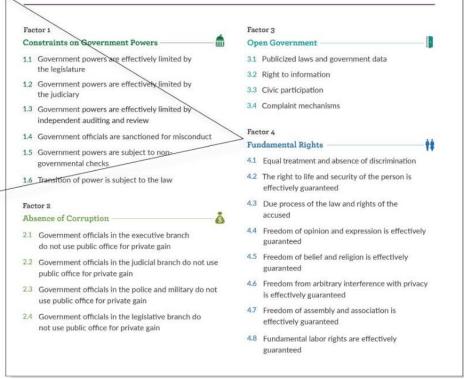
### **Rule of Law Sub-Factors**



Part One: Introduction

# Conceptual Framework of the WJP Rule of Law Index

The conceptual framework of the WJP Rule of Law Index is comprised of eight factors further disaggregated into 44 sub-factors. The WJP also collects data on a ninth factor, informal justice, which are not calculated into the aggregate scores and rankings. These factors and sub-factors are presented below and described in detail in the section that follows.





# **Measurement Approach**

- 1. Perspective of the ordinary person
- 2. Two sources of entirely new data:
  - A general population poll (GPP): Probability sample 1,000 respondents per country (three largest cities).
  - Qualified respondent's questionnaires (QRQ): Completed by in-country experts in civil and commercial law; criminal justice; labor law; and public health.

Botero J. and Ponce A., *Measuring the Rule of Law*. WJP Working Paper # 1 (2011). Available on-line at: www.worldjusticeproject.org



# Measurement Approach

	1. Constraints on Government Powers	6 Sub-Factors I 61 Question Items
\$	2. Absence of Corruption	4 Sub-Factors I 66 Question Items
	3. Open Government	4 Sub-Factors I 67 Question Items
ŤŤ	4. Fundamental Rights	8 Sub-Factors I 112 Question Items
6	5. Order & Security	3 Sub-Factors I 17 Question Items
X X	6. Regulatory Enforcement	5 Sub-Factors I 80 Question Items
	7. Civil Justice	7 Sub-Factors I 54 Question Items
ර්ට්	8. Criminal Justice	7 Sub-Factors I 98 Question Items



# **Fieldwork in 113 Countries**















#### **Variables Used to Construct the Index**

World Justice Pro	iect Rule of La	w Index 2017-2018
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Factor 1: Constraints on Government Powers | AVERAGE (1.1, 1.2, 1.3, 1.4, 1.5, 1.6)

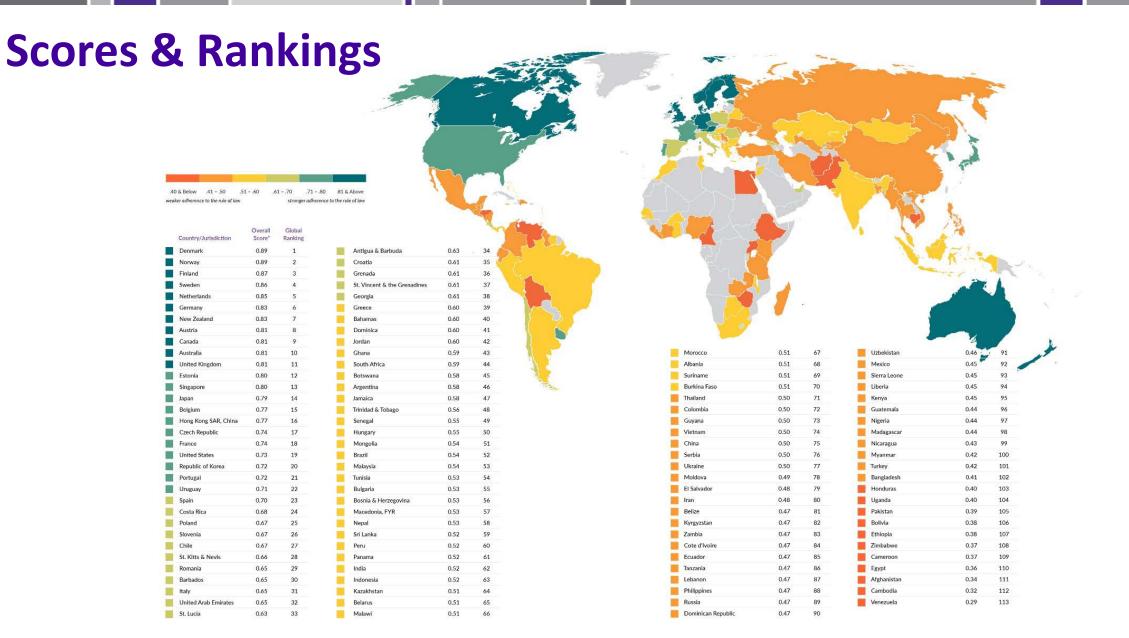
#### 1.1 Government powers are effectively limited by the legislature | AVERAGE (AVERAGE(QRQ1:QRQ4), GPP1)

QRQ1	QRQ (CC,CJ,LB)	Strongly Agree (0), Agree (.333),	In practice, the chief executive (President, Prime Minister, etc.) of [COUNTRY] rule		
		Disagree (.667), Strongly Disagree (1)	without regard to legislative checks		
QRQ2	QRQ (CC,CJ,LB)	Strongly Agree (1), Agree (.667), Disagree (.333), Strongly Disagree (0)	In practice, the government's power is not concentrated in one person, but is		
			distributed among different independent branches, for instance the President or		
			Prime Minister, the Congress or Legislative body, and the judges.		
QRQ3	QRQ (CC,CJ,LB)	Strongly Agree (1), Agree (.667),	In practice in [COUNTRY], opposition parties can freely express opinions against		
		Disagree (.333), Strongly Disagree (0)	government policies without fear of retaliation		
QRQ4	QRQ (CC,CJ,LB)	Strongly Agree (1), Agree (.667),	In practice, opposing factions within the dominant party can freely express		
		Disagree (.333), Strongly Disagree (0)	opinions in public without fear of facing substantial negative consequences		
	GPP	Very Likely (1), Likely (.667), Unlikely (.333), Very Unlikely (0)	Please assume that one day the President decides to adopt a policy that is clearly		
GPP1			against the [COUNTRY] Constitution: How likely is the National		
			Congress/Parliament to be able to stop the President's illegal actions?		

#### 1.2 Government powers are effectively limited by the judiciary | AVERAGE(QRQ5:QRQ12), AVERAGE (GPP2:GPP4))

QRQ5	QRQ (CC,CJ,LB)	Strongly Agree (1), Agree (.667), Disagree (.333), Strongly Disagree (0)	In practice, the government's power is not concentrated in one person, but is distributed among different independent branches, for instance the President or Prime Minister, the Congress or Legislative body, and the judges.			
QRQ6	QRQ (CC,CJ,LB)	Strongly Agree (1), Agree (.667), Disagree (.333), Strongly Disagree (0)	The government always obeys the decisions of the high courts, even when they disagree with these decisions			



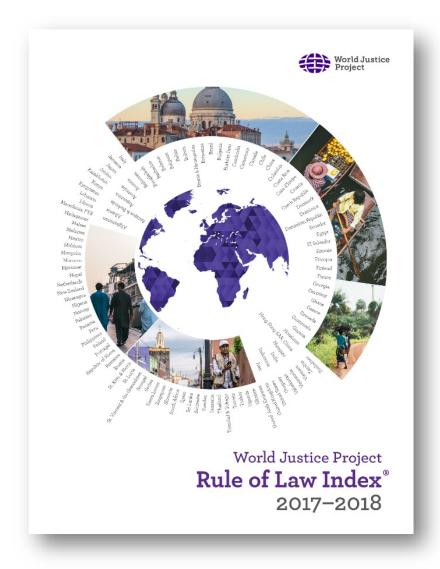




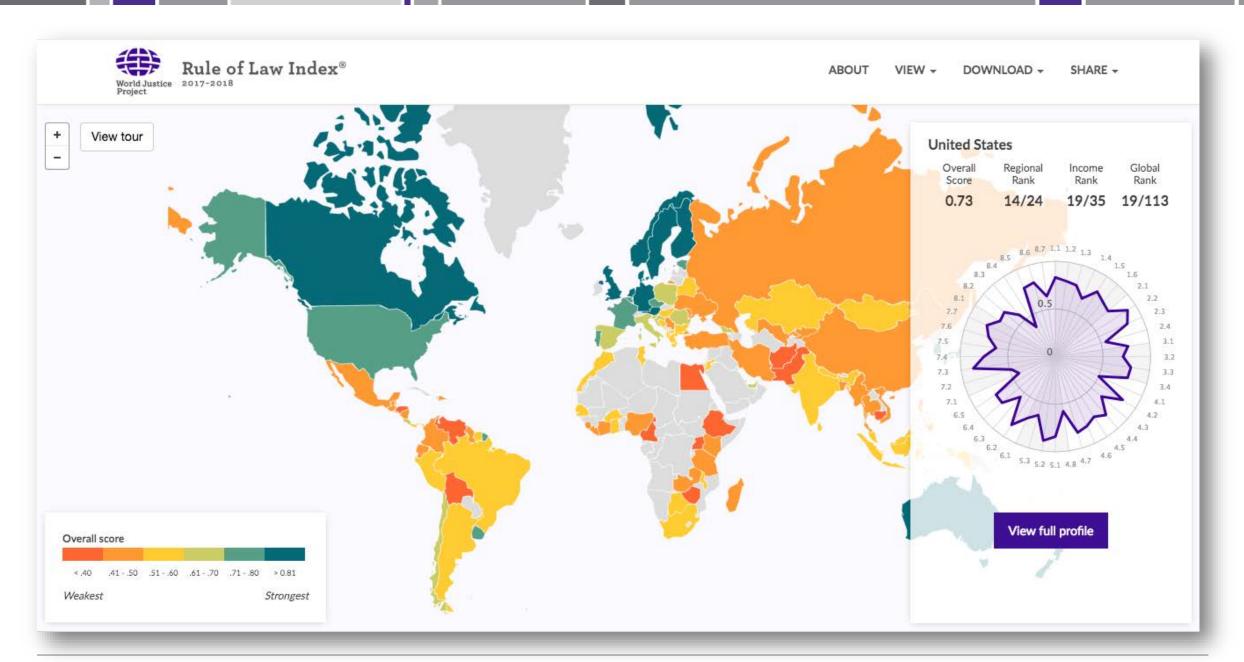
## **Rule of Law Index 2017–2018**

#### **Online Interactive Data**













# **United States Country Profile**

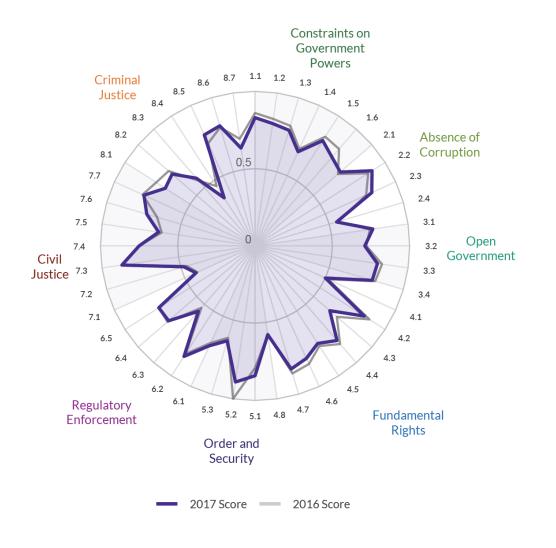
Regional Rank

Income Rank

Global Rank

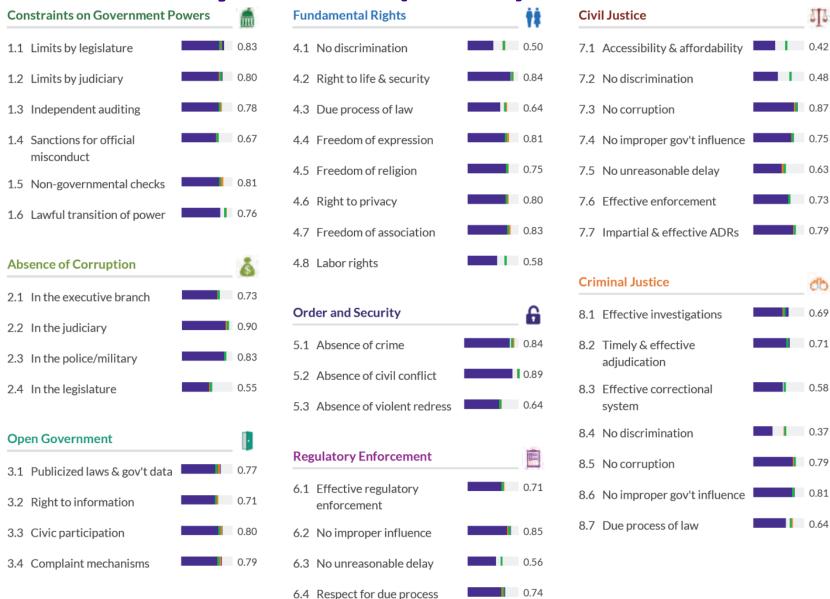
Overall Score

0.73	14/24		19/35		19/113	
Score Change	Rank Change	9				
-0.01▼	-1▼					
		Factor Trend	Factor Score	Regional Rank	Income Rank	Global Rank
Constraints Governmen		•	0.77	13/24	15/35	16/113
Absence of 0	Corruption	_	0.75	12/24	19/35	19/113
Open Gover	Open Government		0.77	11/24	13/35	13/113
<b>†‡</b> Fundamenta	al Rights	_	0.72	17/24	24/35	26/113
Order and S	ecurity	_	0.79	17/24	23/35	31/113
Regulatory	Enforcement	_	0.72	13/24	19/35	19/113
Civil Justice		_	0.67	15/24	25/35	26/113
Criminal Jus	tice	_	0.65	13/24	20/35	20/113
▲ Trending up ▼ Trending down Low Medium High						





# **United States Country Profile (cont.)**



6.5 No expropriation w/out adequate compensation 0.74



117

0.48

0.87

0.75

0.63

0.73

0.71

0.58

0.79

0.64

0.79

# 1. Deterioration in Factor 1, Constraints on Govt. Powers

All sub-factors in this dimension declined at least slightly from 2016

Score for lawful transition of power—based on responses to survey questions on confidence in national and local election processes and procedures declined most markedly





adequate compensation





### 2. Discrimination

Continuing a long-term trend, the U.S. scored notably poorly on several measurements of discrimination

78 out of 113 countries on all three sub-factors





adequate compensation





#### 3. Access to Civil Justice

Continuing a long-term trend, the U.S. ranked 96 out of 113 countries on measurements of accessibility and affordability of the civil justice system





adequate compensation



**Civil Justice** 

117



## 4. Due Process and Police Accountability

The U.S. ranked 28<sup>th</sup> out of 113 countries on measurements of due process of law.





6.5 No expropriation w/out adequate compensation

0.74



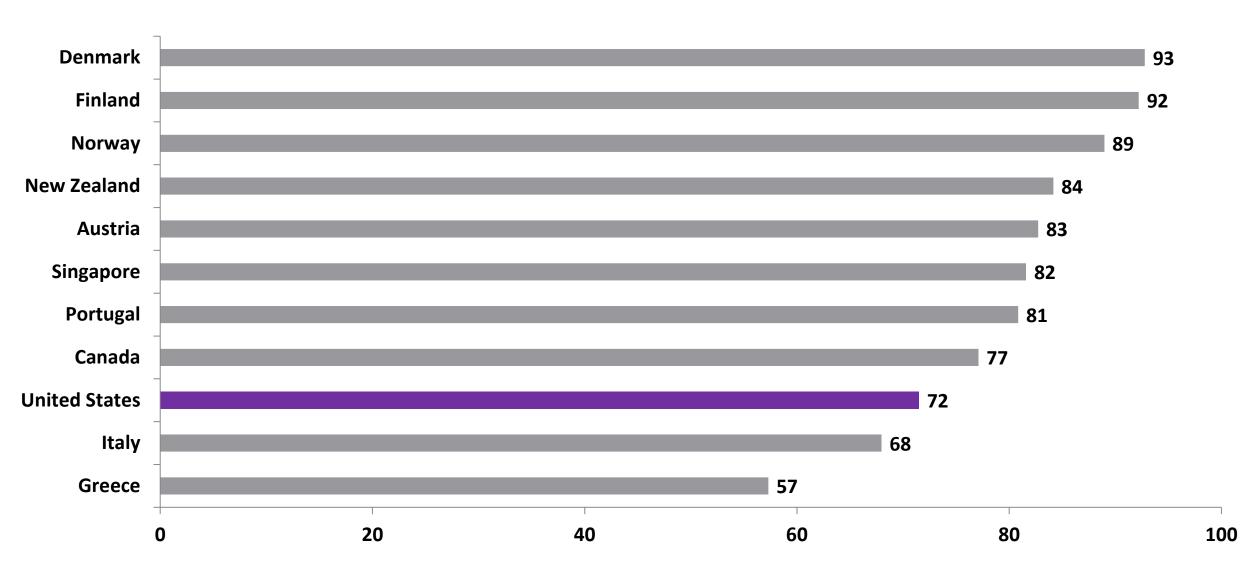
117

**Civil Justice** 



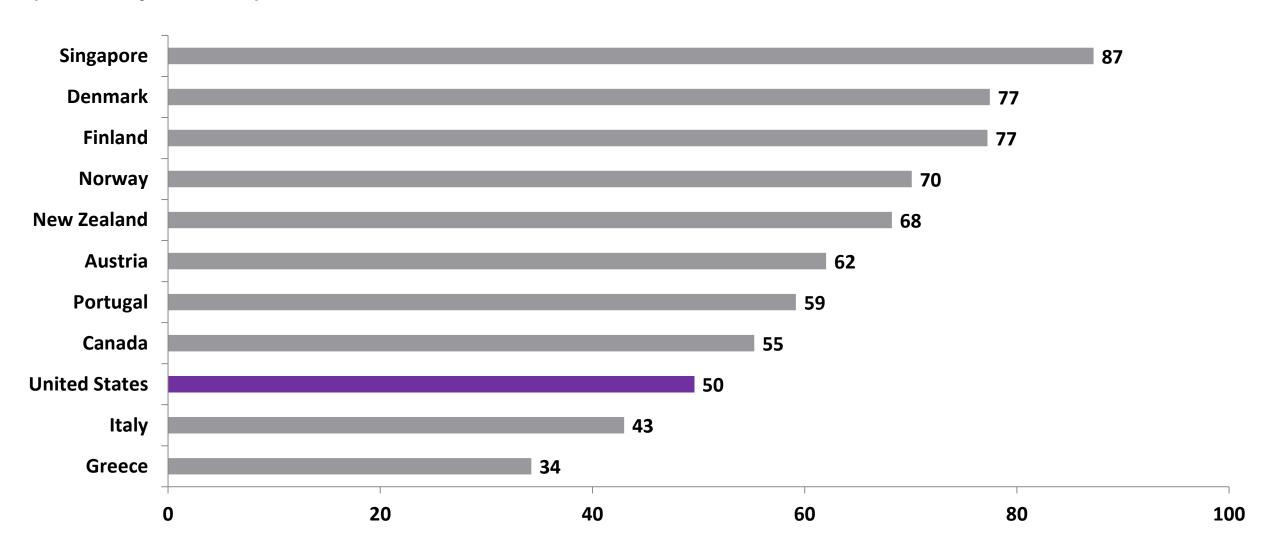
# 4. Due Process and Police Accountability (cont.)

The basic rights of suspects are respected by police (% Always/Often)



# 4. Due Process and Police Accountability (cont.)

If members of the police violate the law, they are punished for these violations (% Always/Often)



## 5. The Correctional System

The U.S. ranked 25<sup>th</sup> out of 113 countries on measurements of due process of law.





6.4 Respect for due process

6.5 No expropriation w/out adequate compensation

0.74

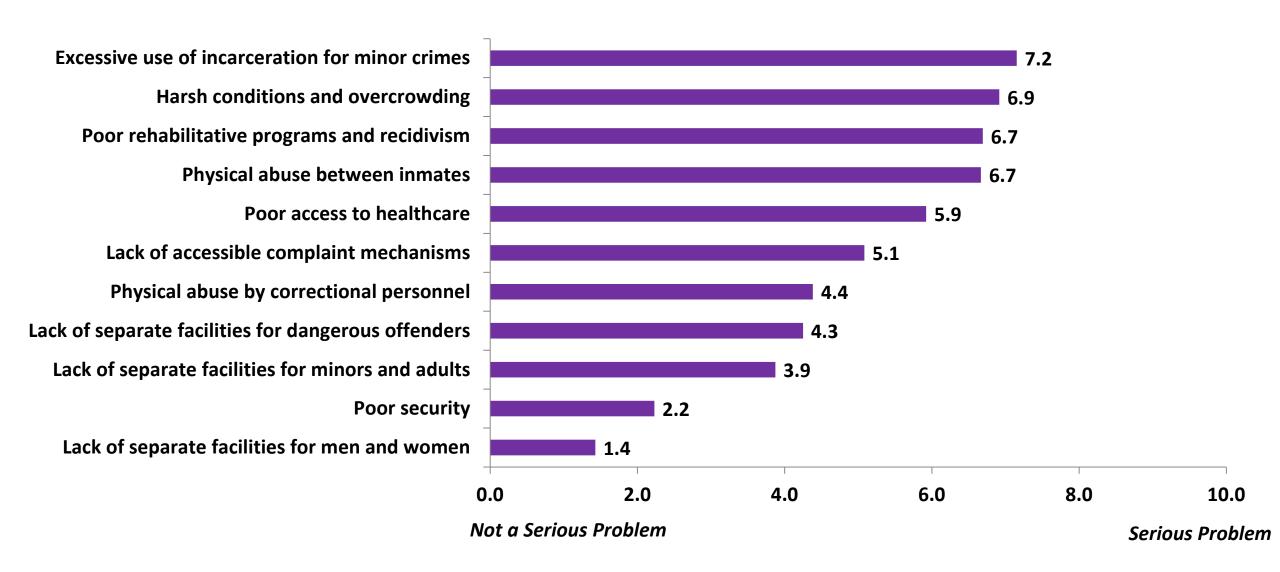
0.74





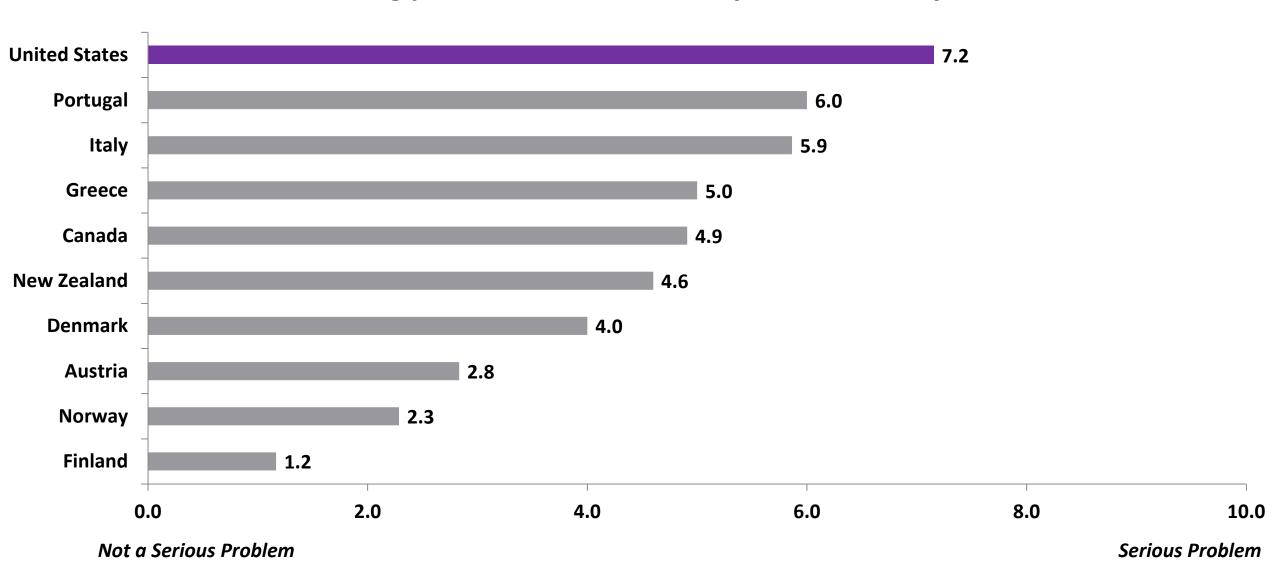
# **Correctional System**

On a scale of 1 to 10, how serious are the following problems for correctional institutions?



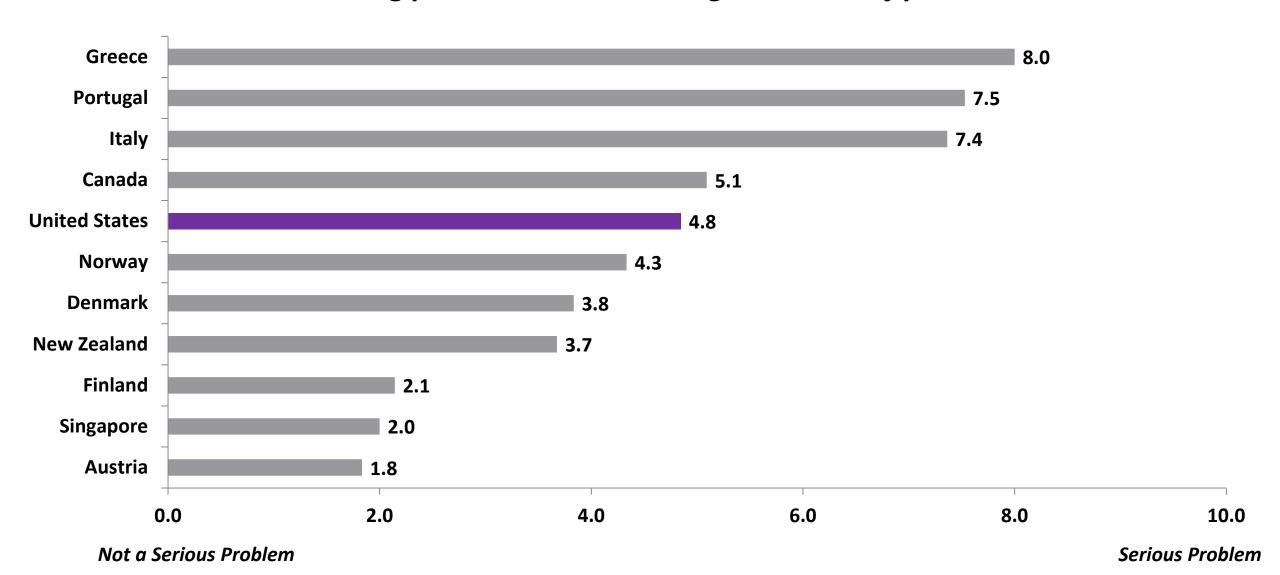
# **Correctional System**

How serious is the following problem: *Excessive use of incarceration for minor crimes* 



#### **Pretrial Detention**

How serious is the following problem: Excessive length and use of pretrial detention





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